## REVIEWS

# Micromachined High-Resolution Accelerometers

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Abstract | In this paper, we review the high-resolution, micromachined accelerometers by enunciating the development of their mechanical components, the electronic circuitry and the microfabrication processes. A survey of the literature suggests that the research in this area is mostly focused on improving microfabrication and electronic circuitry. The resolution of the accelerometers is dependent on the sensitivity of the mechanical components as well as the ability of the electronic circuitry in suppressing the noise. The noise comes from mechanical components as well as electronic circuitry and plays a detrimental role in achieving high resolution. This is reviewed in this paper after explaining the working principles of selected high-resolution micromachined accelerometers. Sensing acceleration by measuring the change in capacitance is widely used but it has many complications. These are discussed in detail by presenting various techniques for sensing capacitance. The microfabrication processes that dictate the design are reviewed by tracking the evolution of high-resolution accelerometers found in the literature and comparing their sensitivities and resolutions. This paper gives an account of the significant accomplishments, some challenges for the future, and a few novel concepts in achieving high resolution of the order of a millionth of g, the acceleration due to gravity.

#### 1. Introduction

The emergence of silicon microfabrication techniques has enabled miniaturization and batch fabrication of a number of sensors. This has resulted in an increase in their market viability and reduction in costs in addition to enhanced performance in most cases<sup>1</sup>. Accelerometers are some of the first sensors, whose miniaturization and subsequent cost-reduction have paved the way for their use in a number of applications<sup>2,3</sup>. From being used as sensors to deploy air bags in automobiles to inertial navigation and other consumer applications, micromachined accelerometers of varying specifications are found in different applications today. They all differ, as required

by the specifications of their applications, in their resolution, range, and bandwidth as well as the principle of transduction and microfabrication.

Typical ranges of acceleration for different applications are shown in Fig. 1 against the required bandwidth. High resolution may be needed in all of these applications depending on a specific task at hand. For example, as high a resolution as *micro-g* (i.e., one millionth of the acceleration due to gravity, i.e.,  $10^{-6} \times 9.81 \text{ m/s}^2$ ) is needed not only in space and inertial navigation applications but also in vibration monitoring to detect human footsteps for surveillance applications and for precise guidance in ballistics. Accelerometers of low to medium resolution have been reviewed in

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#### Resolution, range, and

bandwidth: Resolution of a sensor is the smallest signal that it can detect in the presence of noise. The range refers to the maximum value of the signal that the sensor can detect. And, the bandwidth is the range of frequency over which the sensor can perform.

#### Transduction: Conversion of

one form of energy to another form is called transduction. This is the basic principle of sensors wherein they convert a signal in one energy domain to, usually, an electrical signal.

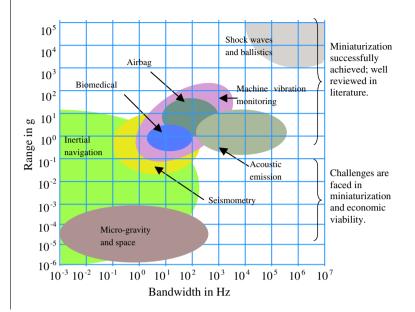
**Proof-mass:** The bulk portion of an accelerometer that acts like the primary mass source to experience the effect of the applied acceleration.

#### Compliant suspension:

Restrained mass is the basic mode in which an accelerometer works. The restraint comes from a compliant (i.e., flexible) spring. The proof-mass is suspended by this spring. Without the compliant suspension, the mass would simply accelerate and move freely instead of oscillating as it does when coupled with the suspension.

Sensitivity: The output voltage of a sensor per unit signal. The sensitivity of an accelerometer is expressed as V/g. The larger the sensitivity the better.

Offset: A non-ideality that shifts a quantity from its (usually) zero value. An offset voltage occurs in a real operational amplifier (OpAmp) because of mismatch between the transistors inside. Figure 1: Range vs. bandwidths required for various applications. (An enhanced version of the data given in S. Reyntjens PhD thesis at Katholieke Universiteit Leuven, 2002.)

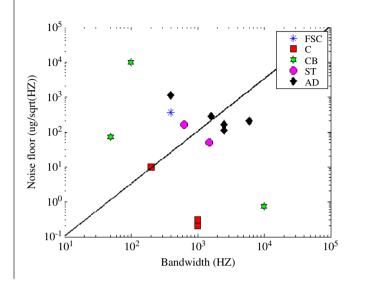


the literature<sup>4,5</sup>. Such accelerometers have been successfully miniaturized for applications such as air-bag sensors in automobiles, seismometry, vibration monitoring in machines, etc. On the other hand, miniaturization of high-resolution accelerometers has not been easy. There have been relatively few micro-g accelerometers reported in the literature<sup>6-19</sup>. There are many trade-offs in designing high-resolution accelerometers. Once successfully miniaturized and commercialized, these accelerometers might find widespread application in inertial navigation, in detecting micro-gravity in space, in achieving enhanced tilt-stabilization, and in consumer products such as camcorders, laptops, toys, etc. This paper gives an account of the relevant issues towards obtaining high resolution in accelerometers and attempts to present the evolution of microfarbication to the present state-of-the-art in fabricating them.

The basic working principle of most micromachined accelerometers is the same. They consist of a proof-mass attached to a compliant suspension. When an acceleration is applied, the proof-mass experiences an inertial force (D' Alembert's force), which is equal to the mass times the value of the applied acceleration. This force causes the proof-mass to move and thereby elastically deforming the suspension. The amount of deflection is decided by the stiffness of the suspension. This deflection can be converted to a proportional change in the voltage by a number of transduction principles such as piezo-resistive<sup>20,21</sup>,

capacitive<sup>22</sup>, piezo-electric<sup>23</sup>, electromagnetic<sup>24</sup>, tunneling<sup>15,16,25–29</sup>, optical<sup>11,12,30</sup>, resonant<sup>31,32</sup>, thermal<sup>33</sup>, and others. Each of these types of sensing techniques needs electronic signal conditioning to convert the signal to an amplified analog or digital output. An overview of the different transduction principles, their applications, advantages, and shortcomings is given in Table 1. Many commercially available micromachined accelerometers use capacitive or piezoresistive principle. A few representative ones are shown in Figs. 2 and 3 in which the resolution is plotted against bandwidth and sensitivity, respectively. Note that the resolution is indicated as  $g/\sqrt{Hz}$ . This is because noise, which is an important factor that determines resolution, varies with the frequency of the acceleration signal in this manner. This will be discussed later in the paper. As can be seen in Fig. 3, high sensitivity does not automatically imply high resolution. The high-resolution accelerometers seen in Figs. 2 and 3 are currently very expensive.

High-resolution accelerometers need to be highly sensitive so that the signal produced due to the slightest change in the acceleration can be detected<sup>34</sup>. Sensitivity of the accelerometer is dependent on both the mechanical and the electronic sensitivities. Increasing the mechanical sensitivity by increasing the proof-mass size and reducing the suspension stiffness leads to low dynamic performance (bandwidth) and working range. The sensitivity of the electronic circuit depends upon the amplifier used and the techniques Figure 2: Resolution Vs. bandwidth of some commercial micromachined accelerometers. FSC = Freescale semiconductors, C = Colybris, CB = Crossbow, ST = ST Microelectronics, and AD = Analog devices. The data shown is based on the specifications published by the respective companies at the time of this publication.

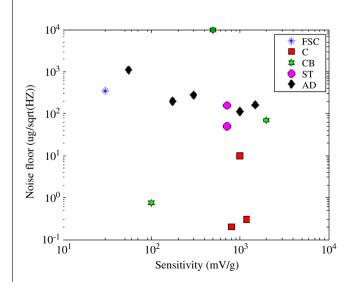


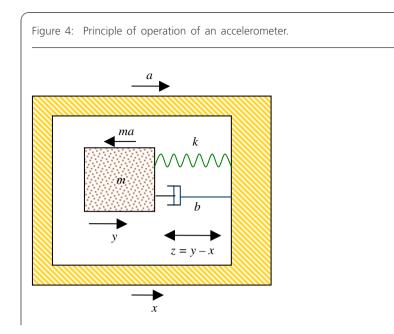
used to cancel the offsets and noise signals. For highresolution accelerometers, usually capacitive sensing techniques are used because of their advantages such as high sensitivity, low temperature-dependence, low drift, and amenability for feedback<sup>35</sup>. The basic working principle of the mechanical components and the principle of capacitance detection are explained in detail in Section 2. That section also gives a brief description of other principles suitable for high-resolution sensing.

The noise aspects that limit the mechanical and electronic resolution of the accelerometer<sup>13</sup> are detailed in Section 3. It is found that the electronic noise is more dominant than the mechanical noise in the accelerometer system. Hence, it is sensible to make the mechanical components more sensitive in addition to efforts in improving the electronic noise floor. Sensitive mechanical components, with their huge proof-mass and long, thin, and narrow (and hence flexible) suspensions require a large chip area. It is shown in Section 3 that a resolution of milli*g* to several *gs* can be achieved by most fabrication processes within a chip area of 500  $\mu$ m × 500  $\mu$ m. Most capacitive micro-*g* resolution accelerometers occupy an area of at least 1 mm × 1 mm.

To improve the dynamic behavior of highresolution accelerometers, they are operated in the force re-balance mode (i.e., in the closed loop mode), wherein the output voltage is applied as a feedback force to the accelerometer proof-mass in a direction opposite to that of the applied acceleration<sup>36</sup>. Some compensators or proportionalintegral-derivative (PID) controllers are used in the feedback path to make this system stable<sup>37</sup>. The closed-loop mode improves the bandwidth, linearity, and range of the accelerometer, however, the sensitivity and resolution decrease. These and various other implementation aspects of closed-loop operation are detailed in Section 4.

Figure 3: Resolution Vs. sensitivity of some commercial micromachined accelerometers. FSC = Freescale semiconductors, C = Colybris, CB = Crossbow, ST = ST Microelectronics, and AD = Analog devices. The data shown is based on the specifications published by the respective companies at the time of this publication.





One of the major aspects of achieving high mechanical sensitivities is the ability of the fabrication process to form a bulky proofmass to increase the force and sufficiently thin and narrow suspension beams to reduce the stiffness. Both of these help increase the measured displacement of the proof-mass. The mechanical components of the accelerometers are fabricated by either surface or bulk micromachining. Surface micromachining<sup>38</sup> gives very fine feature sizes, but thin proof-mass, whereas bulk micromachining<sup>22</sup> gives huge wafer-thick proof-mass but also thick suspensions. The evolution of the fabrication process towards a combination of both surface and bulk micromachining processes to get thick proofmass and compliant suspensions<sup>14</sup> is described in Section 5.

The resolution of accelerometers is mainly limited by the noise and the offsets in the electronic circuitry. Capacitance detection circuitries differ from each other in the manner in which they cancel these offsets and reduce the noise levels. A number of analog and digital techniques are reviewed in Section 6. Section 7 summarizes the main points of the paper and discusses further opportunities for improving the resolution of micromachined accelerometers.

In the next section, we present the basic working principles of mechanical and electronic components of micromachined accelerometers.

#### 2. Working Principles of High-resolution Accelerometers

#### 2.1. Mechanical components

For acceleration to be sensed, most accelerometers convert the effect of acceleration to displacement

and then transduce it to a voltage so that it can be signal-conditioned and worked upon further. As noted earlier, accelerometers have a proof-mass, which experiences an inertial force in the opposite direction of the acceleration that is to be measured. The deflection of the proof-mass caused by this force is determined by the suspension's stiffness and is converted to a voltage using a suitable principle of transduction (see Table 1). For frequencies of the input acceleration that are sufficiently smaller than the natural frequency of the device, the deflection is linear with the acceleration.

A lumped spring–mass–damper (k-m-b) model schematically represents an accelerometer as shown in Fig. 4. The acceleration of the frame (a), which is to be sensed, manifests as the inertial force (ma) on the mass. The equations below show the derivation of the sensitivity of the accelerometer using the fundamentals of mechanics and vibration theory<sup>39</sup>.

The movement of the frame is denoted by x and that of the mass by y. The net extension of the spring and the damper will thus be z = y - x. The equation of motion, by summing the forces—inertial, damping, and spring forces—to zero, is then given by:

$$m\ddot{y} + b(\dot{y} - \dot{x}) + k(y - x) = 0$$
(1)

By substituting z = y - x and assuming that frame's excitation is harmonic,  $x = X \sin \omega t$  with amplitude, *X*, and frequency,  $\omega$ , Eq. (1) can be re-written as follows.

$$m(\ddot{z} + \ddot{x}) + b\dot{z} + kz = 0$$
  
$$\Rightarrow m\ddot{z} + b\dot{z} + kz = -m\ddot{x} = m\omega^2 X \sin\omega t \quad (2)$$

By denoting the amplitude of z as Z, Eq. (2) can be solved to obtain the following result.

$$\frac{Z}{X} = \frac{m\omega^2}{\sqrt{(k - m\omega^2)^2 + (b\omega)^2}}$$
(3)

Now, by defining terms of the form  $\omega_n^2 = \frac{k}{m}$  and  $\xi = \frac{b}{b_c}$  where  $b_c = \sqrt{4km}$ , we recast Eq. (3) as

$$\frac{Z}{X} = \frac{\frac{\omega^2}{\omega_n^2}}{\sqrt{(1 - \frac{\omega^2}{\omega_n^2})^2 + (2\xi\frac{\omega}{\omega_n})^2}}$$
(4)

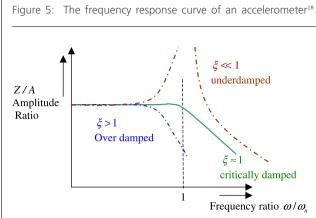
When operated at frequencies much less than the resonance frequency, i.e., when  $\omega \ll \omega_n$ , the denominator approaches unity. We then get  $Z = \omega^2 X / \omega_n^2$ . But  $\omega^2 X = a$  (equal to the acceleration) gives:

$$Z = \frac{a}{\omega_n^2} \tag{5}$$

Table 1: Various types of accelerometers and their sensing techniques

Type of sensing, Range of sensing, and Description	Applications	Advantages	Shortcomings
<b>1) Piezo-resistive</b> $(0.001-50 g)^{20.21}$ Bending of suspension beams due to proof-mass displacement produces strain in them. This is measured as a change in the resistance of a piezoresistor placed at the support end of the beam. Change in resistance is measured by using a Wheatstone bridge configuration.	Air bag deployment in automobiles; High-g accelerometers used for impact testing etc.	a) Simplicity in structure and fabrication. b) Simple readout circuitry generating a low-impedance voltage.	a) Large temperature sensitivity. b) Small overall sensitivity of 1–2 mV/g and thus requires a huge proof-mass.
<b>2) Capacitive</b> $(2\mu g$ - several $gs)^{22}$ Displacement of a mass due to applied acceleration leads to a change in capacitance between two plates, one fixed and the other one attached to the moving mass. The capacitance change can be due to a change in the overlapping area or due to a change in the gap.	Air bag deployment in automobiles, Inertial navigation, micro gravity detection, etc.	High sensitivity, good dc response, good noise performance, low drift, low power dissipation, low temperature sensitivity, amenability for feedback.	Parasitic capacitance, electromagnetic interference.
<b>3)</b> Tunneling $(10 ng- 5 g)^{15,16,25-29}$ This accelerometer consists of a proof-mass with a sharp tip separated from a bottom electrode. As the tip is brought sufficiently close to its counter-electrode (within a few Å) a tunneling current is established. This tunneling current is used as a measure for acceleration. This is operated in the closed-loop mode.	Inertial navigation, micro-gravity measurement in space.	High sensitivity, excellent resolution, linearity can be maintained by operating in the closed-loop mode.	Difficult to fabricate sharp tips; nonlinear variation of tunneling current with distance; high noise levels.
<b>4) Piezoelectric</b> (High $g$ ) <sup>23</sup> In this type, the sensing element is a crystal, which has the p roperty of creating a charge when subjected to mechanical strain. In the accelerometer, this crystal is bonded to a mass such that when the accelerometer is subjected to the inertial force, the mass strains the crystal that emits a signal. This signal is related to the imposed 'g' force.	Vibration sensors; active vibration control.	High bandwidth; simple in operation and can be incorporated in any application; amenable for actuation and thus vibration control.	Low resolution, low sensitivity, and high voltage requirements.
<b>5) Optical</b> (Nano- <i>g</i> to high $g$ ) <sup>11,12,30</sup> When light from a Light emitting Diode (LED) source is projected onto a moving membrane, the reflected light from it has a lower intensity. The intensity loss is a measure of the acceleration. Similarly, a change in wavelength, polarization as well as diffraction could be used.	Inertial navigation, detecting small vibrations in machines.	High resolution, low noise, can operate in places where other principles fail (e.g., when electromagnetic fields are present).	Large weight, complex fabrication process and detection circuitry.
<b>6)</b> Resonant $(5 - 5000 g)^{31,32}$ This type of acceleration measurement makes use of the shift in the natural frequency of the structure with applied acceleration.	Vibration sensors in machine tools.	High dynamic range, sensitivity, bandwidth, adaptable to digital circuits.	Leakage of signal, high noise levels.
<b>7) Thermal</b> $(0.5 mg$ to high $g)^{33}$ It consists of a substrate which is sealed with air or any other gas with a heater exactly in the middle. Two thermocouples are present at the ends of the substrate. When the acceleration is applied, the hot air around the heater is pushed to one of the ends by denser cold air thus giving a temperature difference in the thermocouples which is proportional to the acceleration.	Inclination sensing (Dual axis), automotive, electronic and gaming applications.	Low cost, low noise, less drift, simple signal conditioning circuitry.	Low resolution, batch fabrication can be difficult, temperature- sensitivity, etc.
<b>8)</b> Electromagnetic $(0-50 g)^{24}$ It consists of two coils, one on the proof-mass and the other on the substrate. A square pulse is given to the primary and a voltage is induced in the secondary, which is proportional to the distance between the proof-mass and the substrate	Air bag deployment.	Good linearity, simple signal conditioning.	High power, low resolution, low sensitivity.

By observing the above formulae, it is evident that the natural frequency has to be low for high sensitivity. But this has the side-effect of reducing the bandwidth, which is dependent on both the fundamental frequency and the damping factor. This is shown in Fig. 5 where the frequency response is schematically illustrated. For underor over-damped situations, the amplitude ratio remains constant for shorter range of frequencies than the critically damped frequency. Thus, the greater the sharpness at resonance the greater the available operating range for the frequency of the accelerometer (see Fig. 5). The sharpness at resonance is quantified by the quality factor,  $Q = 0.5/\xi$ . However, the under-damped conditions result in a ringing response, where the transients do not die down quickly. Thus, most accelerometers are operated at critical damping conditions<sup>36</sup> so



as to have a high bandwidth and good sensitivity. Closed-loop operation, which will be discussed in detail in Section 4, makes it possible to have underdamped or even vacuum-packaged accelerometers (i.e., extremely low damping) but the problems associated with transients need to be dealt with carefully.

The displacement of the proof-mass is transduced into an electrical quantity (e.g., capacitance in capacitive accelerometers). The size of the proof-mass and the stiffness and damping of the suspension decide the sensitivity, which is the change in capacitance per unit acceleration. The resolution depends on the noise, both mechanical and electronic, in the system. Figure 6 shows the noise floor against the bandwidth of different types of accelerometers. Of the various sensing techniques summarized in Table 1, only capacitive, tunneling, and interferometric methods give high sensitivity and fine resolution. The dashed orange line is the best linear fit to the data. The solid magenta line is based on a metric defined to be the ratio of the noise floor and the bandwidth raised to 1.5 (i.e.,  $a_{nf}/BW^{3/2}$ ) that can be used to

Figure 6: A plot of the accelerometer noise with the sensor natural frequency. While the performance of most of these accelerometers, which were demonstrated in research labs, nicely align with the theoretical relationship (the solid line with a slope of 1.5), the commercial ones shown in Fig. 3 do not This implies that many factors such as packaging and environment significantly affect the performance. (Note: the two commercial ones shown here (ADXL05, 105) do lie close to the line).

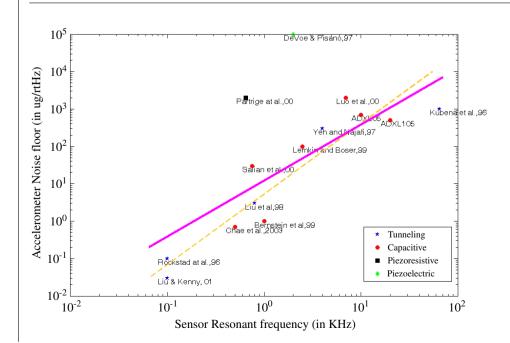
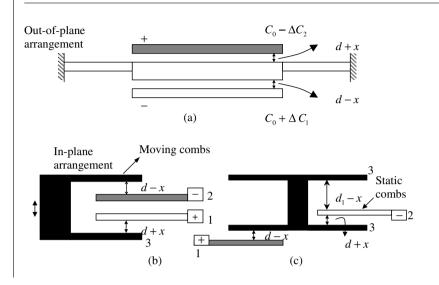


Figure 7: Differential Capacitance arrangement (a) differential capacitance arrangement in for an out of plane Z-axis accelerometer, (b) Differential capacitance arrangement for an in-plane accelerometer with positive and negative static electrode along the same side of the proof-mass, (c) for the in-plane accelerometer with positive and negative electrodes on either side of the proof-mass.



compare different accelerometers. The rationale for this metric can be understood from Eq. 5, which shows the amplitude of the proof-mass motion as the ratio of the acceleration signal to the square of the natural frequency. This means that, we can obtain the amplitude of motion (and hence the ensuing electrical signal) for the minimum resolvable acceleration,  $a_r$ .

$$Z_{\min} = \frac{a_r}{\omega_n^2} \simeq \frac{a_r}{BW^2} \simeq \frac{a_{nf}\sqrt{BW}}{BW^2} = \frac{a_{nf}}{BW^{3/2}} \quad (6)$$

This metric has a slope of 1.5 in the log-log plot shown in Fig. 6. Furthermore, the lower the metric the better the accelerometer. Next, we briefly describe the three transduction principles.

#### 2.2. Capacitance detection

The deflection of the proof-mass is converted to a change in capacitance. This is usually done with a differential capacitance arrangement as it gives high sensitivity and good linearity. Many other arrangements are possible<sup>40</sup>. In the differential capacitance arrangement, the electrode attached to the proof-mass moves between two other static electrodes of opposite polarity. Figure 7a shows a *z*-axis accelerometer, where the proof-mass acts as the moving electrode with two static electrodes above and below it.

In-plane accelerometers usually use combfingers (see Fig. 7b-c), which protrude from the proof-mass to measure capacitance-change. Two kinds of arrangements for these comb-drives are explored here. Type 1: In the first arrangement, as shown in Fig. 7b, the two static electrodes of opposite polarity are situated along the same side of the proof-mass<sup>41</sup>. In this arrangement, alternative static combs, which are of opposite polarity (i.e., 1 and 2), need to be isolated from each other to prevent shorting. Isolating these combs may not be possible in most fabrication processes because a number of connections need to cross one another as shown in Fig. 8a.

Type 2: In this type (see Fig. 7c), the stationary negative combs are connected on the one side and the positive combs on the other<sup>42</sup>. This means that two combs on either side make one differential capacitance arrangement. There will be some parasitic capacitance between the moving electrode and the static electrodes marked 1 and 2 in Fig. 7c. There is a loss in sensitivity due to this type of arrangement, which is explained later in this subsection. The advantage here is that the positive and the negative static electrodes are connected together as shown in Fig. 8b without having to interweave with each other.

The change in capacitance between the moving combs and the two static electrodes is measured as an voltage-change. The circuit diagram to measure this voltage-change is shown in Fig. 9<sup>40</sup>. The two variable capacitors indicated in the figure are the capacitances between the static and the moving combs.

High-frequency pulses V of opposite phases are applied to nodes 1 and 2 as shown in Fig. 9. This

means that in any cycle, if the voltage at node 1 is V, the voltage of node 2 is -V. The output is taken at node 3. This output voltage is given by

$$V_{out} = V - \frac{(C_0 - \Delta C_2) 2V}{2C_0 - \Delta C_2 + \Delta C_1}$$
(7)

which simplifies to

$$V_{out} = \frac{(\Delta C_1 + \Delta C_2)}{2C_0 - \Delta C_1 + \Delta C_2} V \approx \frac{\Delta C_1 + \Delta C_2}{2C_0} V$$
$$= \frac{\Delta C_1 + \Delta C_2}{C_s} V \text{ for } \Delta C_1 - \Delta C_2 \ll 2C_0(8)$$

where  $C_s = 2C_0$  is the sense capacitance.

Changes in capacitance  $\Delta C_1$  and  $\Delta C_2$  are obtained by change in the distance between the electrodes *d*. Referring to Fig. 7b, the output voltage is given by

$$V_{out} = \frac{\frac{\varepsilon_0 A_p}{d-x} - \frac{\varepsilon_0 A_p}{d+x}}{\frac{2\varepsilon_0 A_p}{d}} V$$
$$= \frac{xd}{d^2 - x^2} V \approx \frac{x}{d} V \text{ for } x \ll d \qquad (9)$$

where  $A_p$  is the area of overlap between the electrodes and  $\varepsilon_0$  is the permittivity of air.

$$\Delta C = \Delta C_1 - \Delta C_2 = \frac{\varepsilon_0 A_p}{d - x} - \frac{\varepsilon_0 A_p}{d + x}$$
$$= \frac{\varepsilon_0 A_p}{d} \left( \frac{\frac{2x}{d}}{1 - \left(\frac{x}{d}\right)^2} \right) = C_0 \frac{\left(\frac{x}{d}\right)}{1 - \left(\frac{x}{d}\right)^2} \quad (10a)$$

For small displacements, i.e., when  $x \ll d$ , we get

$$\Delta C = C_0 \frac{\left(\frac{x}{d}\right)}{1 - \left(\frac{x}{d}\right)^2} \approx C_0 \frac{x}{d} \implies \left(\frac{\Delta C}{C_0}\right)_a = \frac{x}{d}$$
(10b)

In the case of Fig. 7c, the change in capacitance is given by

$$\Delta C = \frac{\varepsilon_0 A_p}{d - x} - \frac{\varepsilon_0 A_p}{d_1 - x} - \frac{\varepsilon_0 A_p}{d + x} + \frac{\varepsilon_0 A_p}{d_1 + x}$$
$$= C_0 \frac{\left(\frac{x}{d}\right)}{1 - \left(\frac{x}{d}\right)^2} - C_1 \frac{\left(\frac{x}{d_1}\right)}{1 - \left(\frac{x}{d_1}\right)^2}$$
(11a)

For small displacements, i.e.  $x \ll d$ , we get

$$C_{0} \frac{\left(\frac{x}{d}\right)}{1 - \left(\frac{x}{d}\right)^{2}} - C_{1} \frac{\left(\frac{x}{d_{1}}\right)}{1 - \left(\frac{x}{d_{1}}\right)^{2}} \approx C_{0} \frac{x}{d} - C_{1} \frac{x}{d_{1}}$$
(11b)

where  $C_0 = 2\varepsilon_0 A_p/d$  is the base capacitance between a pair of combs. The expression  $C_1 = 2\varepsilon_0 A_p/d_1$  is the capacitance between the stationary comb of one pair and the moving comb of the other pair, which can be termed as the cross-capacitance. The ratio of the change in capacitance of this type to the base capacitance can then be given as

$$\left(\frac{\Delta C}{C_0}\right)_b = \frac{C_0 \frac{x}{d} - C_1 \frac{x}{d_1}}{C_1 + C_2} = x \frac{\left(\frac{1}{d_1}\right)^2 - \left(\frac{1}{d}\right)^2}{\frac{1}{d_1} + \frac{1}{d}}$$
$$= \frac{x(d_1 - d)}{d_1 d} \tag{12}$$

So, by comparing the two types of sensing, the ratio of the fractional change in capacitance in type 2 to that of type 1 is given by

$$r_{a/b} = \frac{(\Delta C/C_0)_b}{(\Delta C/C_0)_a} = \frac{d_1 - d}{d_1}$$

by taking

$$\frac{d_1}{d} = \alpha, \quad r_{a/b} = 1 - \frac{1}{\alpha} \tag{13}$$

Figure 8: Arrangement of the differential capacitance configurations (a) for the type 1 arrangement with positive and negative static electrodes on the same side of the proof-mass (b) type 2 arrangement where the positive and the negative electrodes are on either side of the proof-mass.

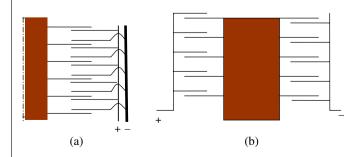
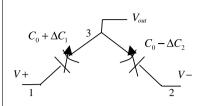


Figure 9: Circuit diagram to measure the differential capacitance change.

Noise floor: The noise, usually peak-to-peak, found in the output voltage in the absence of a real signal. It is expressed as  $g/\sqrt{\text{Hz}}$  for an accelerometer. Thus, the noise-floor is inherently dependent on the frequency. The lower the noise floor the better.



From Eq. 13, it can be seen that for a large value of  $\alpha$ , the loss in sensitivity for type 2 is minimized. In both cases, if the sensing distance *d* is reduced, the sensitivity of the accelerometer increases. This is evident from Eq. 10b. However, a small sense gap warrants a tight tolerance in the fabrication process, which is usually hard to achieve. This is further elaborated in Section 5.

#### 2.3. Tunneling principle

The tunneling principle is one of the most sensitive of all the available transduction principles for converting the displacement to voltage. It consists of two electrodes separated by a very small distance d. When a bias voltage  $V_b$  is given between the electrode plates, there is a current flowing between them, which is given by<sup>25</sup>

$$I \propto V_b e^{(-\alpha d\sqrt{\phi_s})} \tag{14}$$

where  $\alpha = 1.025 (\text{\AA})^{-1} \text{eV}^{-1/2}$ ,  $\phi_s$  is the work potential between the two surfaces through which tunneling takes place. The current increases by an order of magnitude for a change corresponding to 1 Å displacement<sup>25</sup>. Using this principle for accelerometers, the moving electrode is attached to a proof-mass, while the static electrode is kept beneath it. For tunneling to be effective, the moving electrode has to have a very sharp tunneling tip as shown in Fig. 10. A number of tunneling accelerometers are presented in the literature<sup>25–29</sup>. These accelerometers are sensitive and have a low noise floor. But they are also extremely difficult to fabricate because they require a sharp conducting tip. Furthermore, there is a chance of the tunneling tip to wear out if there is a constant or intermittent contact. To overcome this, tunneling accelerometers are operated in the force re-balance mode. This is illustrated for capacitive accelerometers in later sections.

#### 2.4. Interferometric principle

The optical interferometric principle gives a displacement resolution that matches that of the tunneling method<sup>11,12</sup>. In this, a pair of

interdigitated comb fingers is attached to the fixed substrate and the moving proof-mass; one set to the substrate and the other to the proof-mass. When the suspension-restrained proof-mass moves up and down, one set of fingers move along with it. The laser light that falls on the fingers then creates a diffraction pattern, whose intensity is measured and calibrated for acceleration. This is pictorially shown in Fig. 11. Some are of the the Michelson type<sup>43</sup> and the others Fabry–Pérot cavity type<sup>11,12</sup>. In one embodiment of the latter type, a laser diode and photo detector were integrated along with the micromachined chip in an acrylic package of 8.6 cm<sup>3</sup> volume. Because the intensity of the diffracted light varies linearly with the relative motion between the stationary and moving fingers, this accelerometer can be operated in the open-loop mode. This simplifies the control and electronic circuitry. However, the size is not in favor of this type of accelerometer. But opportunities do exist for miniaturization<sup>12</sup>.

#### 3. Noise sources in accelerometers

Noise in accelerometers occurs due to the mechanical components as well as the electronic components. The white-noise floor is expressed in terms of the spectral densities of the noise signal, which is constant for all frequencies<sup>40</sup>. The actual noise which limits the resolution of the system thus depends upon its spectral density and the range of the operating frequencies, i.e., the bandwidth of the system. The spectral density  $d_{sp}$  of the noise-floor at the output of the accelerometer is expressed in  $V/\sqrt{\text{Hz}}$ . If *BW* denotes the bandwidth of the accelerometer in Hz, then the *rms* value of the noise floor is given by<sup>44</sup>

$$V_{rms} = d_{sp} \times \sqrt{BW} \times c \tag{15}$$

where *c* is a number chosen based on experience\*. Therefore, for a large bandwidth, the minimum detectable signal is large. This limits the resolution for a given noise-floor. It can be seen from Fig. 6

<sup>\*</sup>Analog Devices Inc. recommends 1.6 for c.

Fluctuation-dissipation

theorem: Any dissipative process has fluctuations even in equilibrium. For example, an electrical resistor has a fluctuating voltage across its terminals even when it does not carry any current. Similarly, a constrained mass vibrates in the absence of a force The fluctuation-dissipation theorem states that the response of thermodynamic system in equilibrium for a perturbation is the same as that due to a fluctuating force.

Nyquist relation: Nyquist relation gives the value of the fluctuating force on a dissipative mechanical system in equilibrium in terms of damping and temperature. It is the mechanical analogue of the Johnson's noise found in electrical resistors. that the noise-floor is low for devices with low bandwidth. This relationship is evident from the concentration of the previously published accelerometers along the dashed orange line in the log-log plot. If the bandwidth of the system is small, then the resolution of the device improves. This means that the devices with very low bandwidth would be able to resolve minute changes in acceleration better than those with large bandwidth. However, this is not consistently true with the commercial accelerometers shown in Fig. 3. Possible reasons for this might be that packaging and environmental factors are different and the reported values of noise floor might not be applicable for the full range of the bandwidth in all cases. There are also other sources of noise as explained ahead.

#### 3.1. Mechanical noise

To probe the possible noise sources in a mechanical system, we make use of the Fluctuation-Dissipation theorem<sup>46</sup>, which states that if there is a mechanism for dissipation in a system, then there will also be a component of fluctuation directly related to the dissipation. This is because any random motion generated within the system decays if there is an energy dissipating mechanism. This might lead to the temperature of the system becoming less than that of the surroundings. To account for this, there is an associated fluctuating force. This force acts as noise. In a spring–mass–damper model (see Fig. 12), the energy is dissipated through the damper and hence there should be a component of the force at the input due to fluctuations.



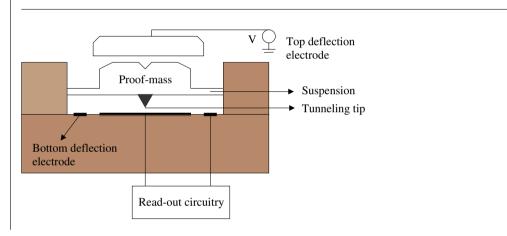
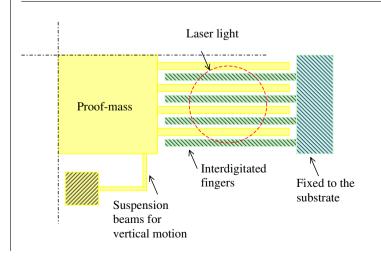


Figure 11: Schematic illustration of a quarter of the micromachined Fabry–Pérot type interferometric accelerometer<sup>12</sup>. The interdigitated fingers (shown in different colors) attached to the vertically movable proof-mass are exposed to laser light. In the presence of acceleration, when the movable fingers displace to a different height, the diffracted light intensity changes linearly with acceleration.



Signal-to-noise ratio: The ratio of the mean-square signal to mean-square noise is called the signal-to-noise ratio. A large of value of this ratio is important for a high-resolution accelerometer.

Quality factor: Quality factor of a vibrating structure refers to the sharpness of its resonance as seen in its frequency response. It is directly proportional to the mass and natural frequency and is inversely proportional to damping. The larger the quality factor of a sensor the better.

Johnson's noise: Johnson's noise refers to the fluctuating voltage seen across the terminals of a resistor regardless of the applied voltage and current. It is a consequence of thermal-mechanical vibrations of the charge carriers in the lattice of the solid resistor.

Flicker noise: A low-frequency noise component found in electronic elements such as diodes and field effect transistors. It is also called 1/f noise due to the shape of its spectral density function. It occurs because of capture and release of electrons in localized traps inside a semiconductor material.

Transconductance: It is a contraction of "transfer conductance" that refers to the ratio of the output current to the input voltage across the terminals of an electronic element. It is expressed in Siemens (1 ampere per volt).

Quantization noise: A noise model to account for the inevitable error that occurs when a continuous analog signal is converted into a discrete digital signal. It depends on the bit resolution, sampling interval, the load resistance and the analog voltage. By using the Nyquist relation, we can determine the spectral densities of the fluctuating force as shown below.<sup>46</sup>

$$F_f = \sqrt{4K_B bT}$$
 where

- $F_f$  = Fluctuating force in N/ $\sqrt{\text{Hz}}$
- $K_B$  = Boltzmann's constant in N–m/K (16)
  - b = Damping coefficient in N-s/m
- T = absolute temperature in K

Thus, any complex mechanical system can be analyzed for thermo-mechanical noise by adding a force-generator along with a damper. If the frequency components in the noise signal are within the operating range, then we can express the displacement of the mass as follows.

$$X_n = \frac{\sqrt{4K_B bT}}{k} \text{ in m / } \sqrt{\text{Hz}}$$
(17)

The detected displacement of the proof-mass due to a signal expressed in the spectral density form, can be given by

$$X_s = \frac{A_s}{\omega_0^2} \text{ in } m / \sqrt{Hz}$$
 (18)

Where  $A_s$  is the amplitude of the spectral density of the acceleration noise-floor. Now, the signal-tonoise ratio (*SNR*) is as shown below.

$$SNR = \left(\frac{X_s}{X_n}\right)^2 = \frac{k^2 A_s^2}{4K_B b T \omega_0^4} = \frac{M^2 A_s^2}{4K_B b T}$$
$$= \frac{M A_s^2}{4K_B T \omega_0} \left(\frac{M \omega_0}{b}\right) = \frac{M A_s^2 Q}{4K_B T \omega_0}$$
(19)

From the above equations, it can be inferred that increasing the mass and the quality factor along with decreasing the natural frequency can reduce the noise considerably. But this limits the bandwidth of the system. In general we can conclude that increasing the sensitivity as well as decreasing the noise in an accelerometer involves decreasing the natural frequency, thus limiting the range of operating frequencies.

#### 3.2. Electronic noise sources

The main source of electronic noise is due to the amplifier used to amplify the signal obtained from the differential capacitance setup. An amplifier has a number of stages of amplification. Thus, the noise in the first stage is amplified in the successive stages of the op-amp<sup>40</sup>. This noise is mainly limited by the Johnson's noise due to resistors in the circuitry. Another equally important noise source is the 1/f or the flicker noise. This is predominant in low frequency signals and occurs due to trapping and subsequent release of electrons along the semiconductor<sup>40</sup>–oxide interface in circuits implemented using CMOS technology. The front-end amplifier thermal noise spectral density is given by

$$S_{n-amp} = 4k_B T\left(\frac{2}{3g_m}\right)(1+F_n) \qquad (20)$$

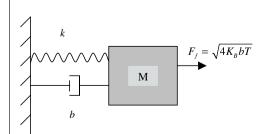
where,  $g_m$  is the transistor transconductance at the operating point of the op-amp, and  $F_n$  the noise factor. The 1/f noise is given by

$$S_{n-1/f} = \frac{K_f}{WLC_{ox}f} \tag{21}$$

where,  $K_f$  is a scale factor,  $WLC_{ox}$  is the gate-tochannel capacitance. These terms are well defined in a book by Senturia<sup>40</sup>. In digital circuits, there is an additional noise. This is called the quantization noise<sup>6</sup>. It is given by

$$n_{rms} = e_{rms} \frac{\pi^2}{\sqrt{5}M^{2.5}}$$
 (22)

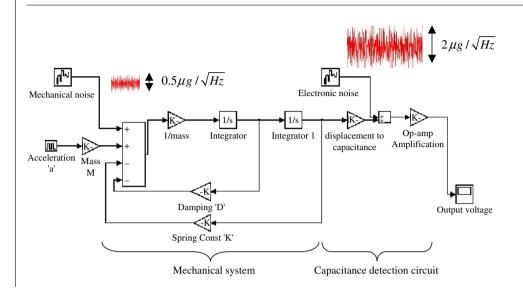
Figure 12: Lumped characterization of the mechanical noise.



#### Force re-balance mode:

When the proof-mass is brought back to its undeformed state by applying a feedback force, the accelerometer is said to be operating in the force re-balance mode or close-loop mode. The feedback force is then used to estimate the acceleration. The force re-balance method helps improve the bandwidth of an accelerometer and keeps the mechanism sensor element (especially the compliant suspension) stav within the linear (i.e., small deformation) regime.

Figure 13: Block diagram of the capacitive detection circuit including mechanical and electronic noise sources.



where  $e_{rms}$  is the unshaped value of the quantization noise for a  $\Sigma - \Delta$  converter and M is the oversampling ratio<sup>13</sup>. These are elaborated in Section 6.

Kulah et al.<sup>13</sup> showed that the electronic noise  $(\approx 2\mu g/\sqrt{\text{Hz}})$  dominates when compared to the mechanical noise ( $\approx 0.5 \,\mu g / \sqrt{\text{Hz}}$ ). This means that the mechanical signal should be more sensitive than the electronic noise floor for small changes in acceleration to be detected. Kulah et al.13 also note two other sources of noise. The first is the Source Charging Reference Voltage (SCRV) noise. This occurs due to the noise in the reference voltage that is used to charge the sense capacitor in each cycle. This becomes dominant at high sampling frequencies. The second is the residual motion of the proof-mass in the closed-loop operation. Here, the proof-mass will not be completely stationary in the changing acceleration environment. This contributes to additional noise in the measurement circuitry. This noise is inversely proportional to the square of the frequency. Hence, it is dominant at the low frequencies. This is attributed to decreased resolution in the closed-loop mode as compared with the open-loop resolution<sup>13</sup>.

#### 3.3. Achieving $\mu$ -g resolution: an example

It is now clear that the resolution of the accelerometer is limited by the mechanical and electronic noise sources. This means that the smallest signal that can be detected would have to be greater than the noise floor in the circuit. These two noise sources can be included in the circuit model of the accelerometer system as shown in Fig. 13. For

most capacitive detection circuits, a resolution of 1 part per million can be safely assumed. This means that if the base capacitance is  $C_0$ , a capacitance change  $10^{-6}$  can be detected. However, there are some capacitance detection circuits, which can detect a minute capacitance change as low as 10 aF. Furthermore, the sense-capacitance  $C_0$  should be more than the parasitic capacitance in the circuit, which is usually around 0.5 pF. For detecting 1  $\mu$ g acceleration, these constraints can be represented as follows.

$$\frac{\Delta C}{C_0} \approx \frac{x}{d} > 1e - 6 \tag{23}$$

For a sense-gap of around 1.5  $\mu$ m<sup>27</sup>, the deflection required for the proof-mass of the accelerometer is around 1.5 × 10<sup>-6</sup>  $\mu$ m. A proof-mass of size 2.4 mm × 1 mm, with a suspension stiffness of 17 N/m<sup>27</sup> is able to give a displacement of 1.2 × 10<sup>-6</sup>  $\mu$ m, which promises  $\mu$ g resolution. The overall chip-area of such an accelerometer is around 3 mm × 2 mm. The proof-mass thickness is 460  $\mu$ m. If the area is reduced to 1 mm × 1mm, the resolution, with the same electronic circuitry falls to 16  $\mu$ g. With a 500  $\mu$ m area, the minimum acceleration that can be detected is around 400 $\mu$ g. Thus, we can see that a large chip area is needed to make the mechanical components sufficiently sensitive.

It is further seen from the above analysis that the maximum detectable acceleration is less than 1 g because, at this acceleration, the moving electrode comes into contact with one of the static electrodes. Furthermore, a large proof-mass and a flexible suspension reduce the natural frequency and thus

State-space transfer function: The function relating the Laplace transforms of two quantities (usually output and input) in the state-space representation. the bandwidth of the accelerometer. To circumvent these problems, these accelerometers are operated in force re-balance (closed-loop) mode. This is described in detail in the next section.

#### 4. Closed-loop Operation

High-resolution accelerometers are usually operated in the closed-loop mode to increase their bandwidth and the range of operation. In this mode, the output voltage of the capacitance detection circuit is fed back to the proof-mass and an electrostatic force in a direction opposite to that of the acceleration is applied<sup>14</sup>. In other sensing modes, a suitable actuation is necessary for providing the feedback. The feedback ensures that the deflection of the proof-mass is very small and thus it increases the range of operation. Additionally, the minute proof-mass movement improves the linearity of the system. Feedback improves the bandwidth by a factor equal to loop gain<sup>45</sup>. Furthermore, feedback improves the dynamic range, drift, and temperature sensitivity. Sensitivity of an open-loop accelerometer is inversely proportional to the spring constant of its suspension which in turn is proportional to the cube of the length as well as the thickness of suspension beams. So, a small change in processing causes a large change in the sensitivity. By operating sensing element in electronic force feedback loop, strong dependence of accelerometer on processing parameters can be reduced substantially<sup>47</sup>. However, operating in the closed-loop mode may make the system unstable for large feedback gains. There might also be a decrease in the sensitivity of the system. In this section, we study the effects of some controllers used for feedback, their effect on the bandwidth, sensitivity, and the stability of the system.

Figure 14 shows a block diagram of the of a closed-loop accelerometer, where each block depicts a state-space transfer function of the various components of the accelerometer. The mechanical component is represented by a second order transfer function, while the amplifier and the modulation are represented by simple gains in the circuit ( $K_a$ and  $K_v$  respectively). The feedback is represented by a gain  $K_{fb}$ .

If the feedback was just a gain factor  $K_{fb} = K$ , the closed-loop transfer function of the accelerometer becomes

$$TF_k = \frac{V(s)}{A(s)} = \frac{mK_vK_aK}{ms^2 + ds + k + K_vK_aK}$$
(24)

By substituting s = 0 in Eq. (24), it can be seen that a simple proportional feedback has a steady-state error associated with it. With a large feedback gain, the system response tends to oscillate as it moves farther away from the real axis, thus decreasing the system's damping.

By using a controller with an extra pole along the feedback path, i.e., by having  $K_{fb} = K/(1 + \tau s)$ , we get an additional degree of freedom in designing the circuit. However, it can be seen from the transfer function below that the steady state error is still not overcome. The farther the electronic pole from the origin  $(-1/\tau)$  the better the bandwidth and the dynamic behavior of the system<sup>40</sup>.

$$TF_{k} = \frac{V(s)}{A(s)} = \frac{mK_{v}K_{a}K}{(ms^{2} + ds + k)(1 + \tau s) + K_{v}K_{a}K}$$
(25)

To overcome the problem of the steady-state error, a PID controller of the form  $K_{fb} = K (1 + \beta/s + \gamma s)^{37}$  is used. The closed-loop transfer function of the system is then given as follows.

$$TF_{k} = \frac{V(s)}{A(s)}$$
$$= \frac{mK_{v}K_{a}K(s+\beta+\gamma s^{2})}{(ms^{2}+ds+k)s+K_{v}K_{a}K(s+\beta+\gamma s^{2})}$$
(26)

The integral term  $\beta$  helps reduce the steady state error. But increase in its value leads to oscillations. The differential term  $\gamma$  helps reduce the ringing response of the system. A value of  $\beta \approx 100\gamma$  is chosen for good system response. The PID controller is widely used in most closed-loop accelerometer circuits. In the above analysis, it is assumed that the amplifier is ideal and there is no noise in the system. However, in reality, the amplifier will have some bandwidth of its own and the noise in the circuit does affect the closed-loop response of the system<sup>40</sup>. It is further seen that the closed-loop noise floor is more than the open-loop noise floor<sup>13</sup>. In practice, it is seen that the closed-loop noise floor is sometimes ten times more than that of the open-loop noise floor. The authors attribute this to the environmental factors<sup>13</sup>. This issue needs further investigation.

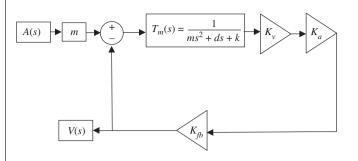
#### 4.1. Feedback to the proof-mass

The proof-mass is subjected to a feedback force by applying the output voltage of the electronic circuit to a set of feedback combs. However, it is to be noted that the forces produced by the voltage applied between two electrodes is always attractive in nature. Assuming an area of overlap of A and a sense gap of d, the electrostatic force between two electrodes is given by

$$F_{el} = \frac{\varepsilon_o A V^2}{d^2} \tag{27}$$

Pull-in voltage: The voltage at which two conductors with an electrostatic force between them (usually two plates in parallel) experience a catastrophic instability and pull themselves to each other.

Figure 14: Mathematical model of the analog closed-loop accelerometer.



It can be seen from the above equation that the electrostatic force varies nonlinearly with the applied voltage. To linearize the force vs. voltage relationship, the arrangement shown in Fig. 15 is used<sup>34,36</sup>. Here, a dc bias is applied to two static combs so that the net force on the moving comb is zero. The feedback voltage is then added to the dc bias on one comb and subtracted from it in the other comb.

The feedback force is given by

$$F_{fb} = \frac{\varepsilon_0 A n_{fb} (V_b + V_{fb})^2}{2(d-x)^2} - \frac{\varepsilon_0 A n_{fb} (V_b - V_{fb})^2}{2(d+x)^2}.$$
(28)

By assuming  $d \gg x$ , Eq. 28 can be simplified as follows.

$$F_{fb} = \frac{2\varepsilon_0 n_{fb} A V_b V_{fb}}{d^2} \tag{29}$$

where  $n_{fb}$  is the number of feedback combs. It can be seen from Eq. 29 that the feedback force is proportional to the voltage  $V_{fb}$ . It is further seen from Eq. 28 that the maximum value of the feedback voltage  $V_{fb}$  is the bias voltage  $V_b$ . This is because the second term of the right-hand side of the equation becomes zero at this voltage. This determines the operating range of the accelerometer. Care should be taken to see that the dc bias  $V_b$  is far from the pull-in voltage of the structure. Since, the maximum voltage applied on combs (as seen from the left set of feedback combs in Fig. 15, when  $V_{fb} = V_b$ ) is  $2V_b$ , the bias voltage  $V_b$  should be less than half the pull-in voltage.

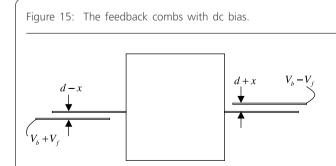
In the first four sections, we have outlined the basic components of the high-resolution accelerometers. In the next section, we describe the evolutionary development of the accelerometers towards achieving inertial grade resolution by considering representative examples from the literature.

#### Evolution of high-resolution, high-sensitivity accelerometers

It was mentioned in the previous section that highresolution accelerometers require a large proof mass and flexible suspensions, which in turn depend on the effectiveness of the fabrication processes. We chronologically illustrate the developments in the design and fabrication innovations that lead to the high-sensitivity accelerometers and make a comparison of these in terms of their sensitivities and resolutions.

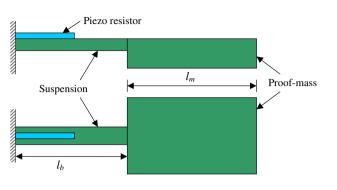
#### 5.1. Bulk micromachined piezo-resistive

accelerometer (Roylance and Angell, 1979)<sup>21</sup> It appears that the first bulk-micromachined accelerometer was made by Roylance and Angell (1979). It was a *z*-axis accelerometer consisting of a cantilever support holding a huge proof-mass. Piezo-resistors were embedded at the support end of the cantilever beam where the maximum strain is



Torsional suspension: The mechanical restraint which allows torsional (i.e., twisting) motion of the suspending beams and thus enabling the rotation of the proof-mass.

Squeezed-film damping: The damping (and spring force) provided by a thin film of fluid trapped in the gaps between two surfaces. It is widely analyzed in lubrication theory. It becomes important in micromachined structures which have narrow gaps. Figure 16: A bulk micromachined piezo-resistive accelerometer by Roylance and  $Angell^{21}$  with schematic views from the side and the top.



experienced. Figure 16 shows a schematic of this accelerometer.

By using the linear beam theory for the suspension, the stiffness of this accelerometer can be obtained as

$$K_{st} = \frac{12EI_b}{l_b(4l_b^2 + 6l_ml_b + 3l_m^2)}$$
(30)

where  $I_b$  is the area moment of inertia of the beam, *E* the elastic modulus, and  $l_m$  and  $l_b$  are as shown in Fig. 16.

Further improvement on the structure could have two or more beams on either side of the mass to reduce the cross-axis sensitivity. A similar structure could be used for capacitive sensing<sup>41</sup>. Two electrodes were patterned on glass slabs and wafer-bonded on the top and the bottom of the mass. Since small gaps are required for large sensitivities, the distance between the electrode and the mass should be small. Through slits on the wafer reduce damping that arises due to small gaps below the proof-mass. Wet etching in silicon (110) wafers helps obtain straight vertical side-walls on the wafer. A schematic is shown in Fig. 17.

#### Fabrication:

In Roylance an Angell' accelerometer, silicon wafers of (110) orientation were taken and wet-etched to determine the suspensions as well as the slits. The entire substrate thickness was used for the mass to increase its value. Finally, electrodes were deposited on the glass plates and they were wafer-bonded to the wet-etched silicon wafer so that differential capacitance could be sensed. The electrodes were used for both sensing as well as actuation in a closed-loop mode. The major processes required for this fabrication were wet etching and wafer bonding. Since these are simple processes, these types of accelerometers were popular and were batch-fabricated. Advantages:

- (a) Simple design and fabrication process.
- (b) High base-capacitance of close to 20 *pF*, which helps eliminate the parasitic capacitance to a great extent.
- (C) Low cross-axis sensitivity.
- (d) Ability to incorporate slits that reduce damping.

Disadvantages:

- (a) Low stiffness for inertial sensing is tough to obtain.
- (b) Small gaps below the proof-mass are also not possible for inertial-grade sensitivity.
- (c) Temperature-sensitivity is high if there is a mismatch in the coefficient of expansion of the glass plate and the silicon, which are bonded together.

#### 5.2. A capacitive accelerometer using pressure sensor fabrication technology - Rudolf et al. (1983)<sup>22</sup>

This too is a *z*-axis accelerometer but uses a torsional suspension as shown in Fig. 18. As in the previous case, the electrodes were deposited on the glass plates and the glass plates were wafer bonded to the silicon chip. If d, h and L are the width, thickness and the length of the torsion bars, respectively, the torque required to twist the bar by unit radian is given by the formula:

$$\frac{T}{\alpha} = \frac{2Gdh^3}{3L} \tag{31}$$

The torque is related to the acceleration by the formula  $T = a \int r \, dm$  where *r* is the distance of the differential mass dm from the rotational axis. The moving plate rotates about the torsional beams and thus creates a capacitance-change between the upper and lower electrodes.

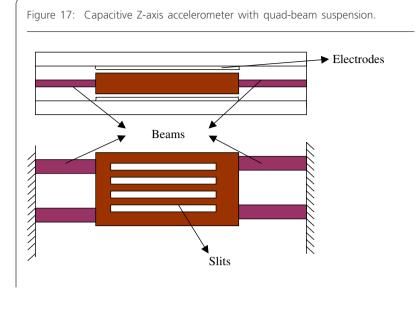
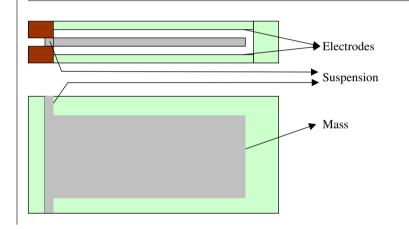


Figure 18: Capacitive torsional silicon accelerometer<sup>22</sup>.



#### Fabrication:

This particular structure used the pressure sensor technology. A slightly p-doped silicon (100) wafer was back-etched up to a certain depth and then electrochemical etch-stop was used to thin the membrane further down. The etch-stop was obtained by doping (n-type) of the wafer before the process, which defines the suspension. Then, the electrodes were deposited on the glass plates and were wafer-bonded onto silicon and then diced. The entire device was vacuum-packaged.

Advantages:

- (a) A very thin suspension is obtained which can reduce the stiffness of the device.
- (b) Fabrication is easy and its repeatability is good due to the use of the electrochemical etch stop.
- (c) Vacuum packaging reduces Brownian noise and thus increases the resolution of the device.

#### Disadvantages:

- (a) The entire device is of uniform thickness, thus the proof-mass is reduced.
- (b) Vacuum packaging reduces the damping factor and thus even small vibrations do not die out quickly enough.

#### 5.3. Surface Micromachined Accelerometers.

In the mid-1990's when the field of microelectromechanical systems (MEMS) was attracting researchers' interest, there was a constant effort to integrate electronics as well as the inertial sensing components in the same chip. Thus, the complementary metal oxide semiconductor (CMOS) processes to fabricate surface micromachined accelerometers started to gain predominance because they were amenable for monolithic integration of electronics on the same chip. The monolithic integration had the advantage of good capacitance resolution and low parasitics. Furthermore, the power consumed by the entire device is low. Surface micromachining on the other hand, could give smaller gaps, and thus larger sensitivity, than the bulk micromachined accelerometers. However, these accelerometers could not be made to be of inertial-grade quality because of their poor sensitivity due to small size of the proof-mass. Furthermore, low stiffness and small gaps decrease the pull-in voltage, which reduce the sense voltage that can be applied for sensing. These accelerometers are best suited for batch-fabrication and for applications such as air-bag deployment in automobiles.

Surface-micromachined accelerometers can be for *z*-axis as well as x - y axis acceleration signals. The *z*-axis accelerometers have high sense-capacitances, but suffer from squeeze-film damping<sup>48</sup>. The x - y accelerometers suffer from low damping but require a number of comb drives to increase the base-capacitance. Fringing fields contribute to the majority of the capacitance in the x - y axis accelerometers. Some of the popular x - y as well as *z*-axis surface-micromachined accelerometers are discussed below.

#### 5.3.1. A Z-axis surface-micromachined accelerometer with integrated CMOS circuitry - Lu et al. (1995)<sup>49</sup>

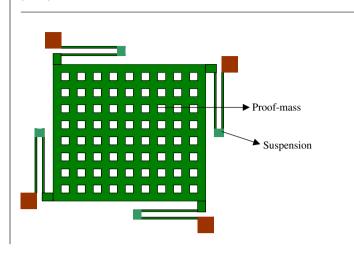
The structure of this accelerometer consists of a rectangular mass with L-shaped beams in a pin-wheel arrangement as shown in Fig. 19. The L-shaped beams give very low stiffness in the zdirection and low cross-axis sensitivity. If  $l_b$  is the length of the beam and  $l_b$  its area moment of inertia, the stiffness of the entire structure with four beams is given by:

$$K_{str} = \frac{24EI_b}{l_b^3} \tag{32}$$

edges.

Fringing fields: The crowding

of the electric (or other) field lines at the corners and Figure 19: Surface Micromachined z-axis accelerometer by Lu et al. (1995)<sup>49</sup>.



#### Fabrication:

This structure was fabricated by surface micromachining. First, an oxide layer of thickness equal to the desired gap between the electrodes was deposited. It is then patterned to define the anchors of the suspension. Then a polysilicon layer of the desired thickness was deposited and patterned to shape the mass and the suspension. Etch-holes, which help decrease damping, were then made. The sacrificial oxide is then etched away to release the proof-mass and the suspension.

#### Advantages:

- (a) High sense-capacitance.
- (b) Low stiffness leading to high sensitivity.

#### Disadvantages:

 (a) Fabrication process needs to take care of the stiction problem.

- (b) Low stiffness leads to a low pull-in voltage.
- (C) Low *z*-axis stiffness may also lead to sagging of the mass due to self-weight.
- (d) Small gaps lead to large squeeze-film damping.
- 5.3.2. Surface micromachined lateral (x y)commercial accelerometer (ADXL-50) -  $(Kuehnel and Sherman, 1994)^{41}$

This accelerometer, shown in Fig. 20, is one of the earliest of the accelerometers manufactured by Analog Devices Inc. It uses a surface micromachined proof-mass, suspension, and comb-drives for lateral sensing. The accelerometer was incorporated with a closed-loop circuit. The suspension used in this consisted of a pair of simple guided cantilevers on either side. If  $l_b$  is the length of each beam, and  $I_b$ is the area moment of inertia of the cross-section of the beam then the stiffness of the suspension is given by

$$K_{str} = \frac{48EI_b}{l_b^3} \tag{33}$$

The fabrication process of this accelerometer is similar to any typical surface micromachining process. Advantages of these types of accelerometers are that low stiffness is achievable without the problems associated with sagging due to self-weight.

#### 5.4. Micro-g resolution accelerometers fabricated by a combination of surface and bulk micro machining- Chea et al. (2004-5)<sup>17,18</sup>

It is evident from the previous examples that surface micromachining can provide low gaps and sufficiently flexible suspensions. However, low mass decreases the overall sensitivity and increases noise. Bulk-micromachining, on the other hand, provides a huge mass, a large capacitance area, but has the

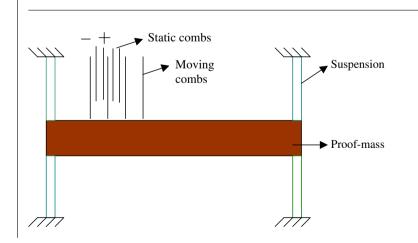


Figure 20: ADXL 50 surface micromachined lateral accelerometer by Analog Devices, Inc.

"sticking friction" encountered in released micromachined structures that tend to stick to the substrate underneath.

Stiction: A contraction of

#### Surface and bulk

micromachining: Surface and bulk micromachining of silicon refer to two fundamentally different techniques in realizing shapes at the micron scale. In surface micromachining, thin films are deposited and etched on top of a silicon wafer or some other substrate to create layered microstructure. In contrast, in bulk micromachining, the silicon wafer (or another substrate) is carved (by chemical etching or by other means) to create desired geometrical features. The thickness of the micromachined structure is an order of magnitude larger in bulk micromachining than that in surface micromachining

Figure 21: A high-sensitivity *z*-axis accelerometer using combined bulk and surface micromachining (re-drawn after reference [7]).

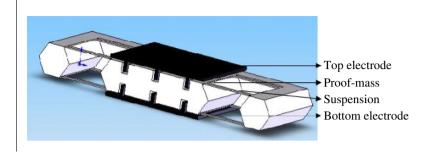
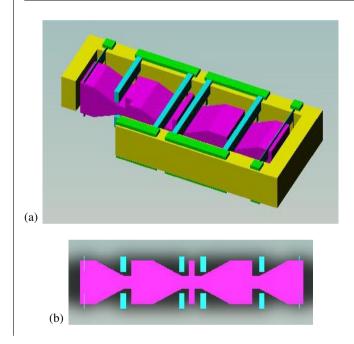


Figure 22: A high-sensitivity In-plane accelerometer using combined bulk and surface micromachining by Chae et al.  $(2004)^{42}$  (a) A perspective view showing the proof-mass, suspension beams, comb-fingers that form the capacitors, and bond pads on the frame. (b) a cross-section view of the proof-mass, suspension beams, and the comb-fingers.



drawback of stiff suspensions and large minimum gaps. Then, the gradual evolution for accelerometers towards high resolution and sensitivity led to the combination of the advantages of surface and bulk micro machining processes where huge proof-mass could be obtained by bulk-micromachining while small gaps and suspension stiffness could be realized by surface-micromachining.

## 5.4.1. A z-axis accelerometer using bulk and surface micromachining (Chae et al., 2004)<sup>7</sup>

The proof-mass of this accelerometer, which is shown in Fig. 21, has an area of  $2000 \times 2000 \mu \text{m}^2$ . The thickness of the proof mass is 450  $\mu$ m having a mass of 2.07 mg. There are eight suspension springs

each 700  $\mu$ m long, 3  $\mu$ m thick, and 40  $\mu$ m wide providing a stiffness of 14 N/m. The electrodes are at the top and bottom of the proof mass maintaining a gap of 1.5–2  $\mu$ m. This gap was obtained by surface micromachining, i.e., by depositing polysilicon on a sacrificial oxide layer. The electrode has considerable number of damping holes to reduce the mechanical noise. The electrode has vertical stiffeners to decrease its deflection under the internal forces.

## 5.4.2. An In-plane accelerometer using bulk and surface micromachining (Chae et al., 2000)<sup>42</sup>

The fabrication procedure for this accelerometer, which is shown in Fig. 22, is the same as that of

Table 2: A comparison of various capacitive accelerometers discussed in S	Section 5	
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Accelerometer structure (with reference)	A torsional capacitive accelerometer (Section 5.2)	Bulk micromachined capacitive accelerometer (Section 5.1)	Surface micromachined accelerometer (Section 5.3)	Accelerometer with combination of bulk and surface micromachining (Section 5.4)	Accelerometer with high aspect ratio etching using DRIE (Section 5.5)
Mass in kg	1e-9	4e-6	0.5e-9	2.76e-6	1.8216e-6
Stiffness N/m	0.158	572	0.94073	14	90.4
Natural frequency in Hz	2000	1835	7500	250	2140
Base Capacitance in pF	1	12.3	0.88	8	32.1
Sensitivity in $(\Delta C/C)/g$	0.04	0.0148	0.0052	0.25	0.0249
Resolution (assuming a circuit with 10 ppm resolution)	250µg	650µg	1.9 <i>mg</i>	1.5µg	400 <i>µg</i>

the above *z*-axis accelerometer shown in Fig. 21. Deep trenches were made on the proof mass and the electrodes were deposited in these trenches. The gap, obtained by sacrificial etching of the oxide, is around 2  $\mu$ m. The stiffness of the suspension is given by

$$K_{stiff} = 96EI_b / l_b^3 \tag{34}$$

where  $l_b$  is the length of the suspension length and  $I_b$  is the area moment of inertia of the suspension springs. The capacitance obtained in the lateral case is slightly less than that of the out-of-plane case. The sensitivities (i.e., the relative change in capacitance per applied *g* acceleration) of these accelerometers, expressed as the fractional change of the base capacitance, is reported to be 0.25, although calculations indicate that it can be as high as five.

#### 5.5. A bulk-micromachined accelerometer using deep reactive etching technique (DRIE) Chae et al. (2005)<sup>17</sup>

The design of this accelerometer is very simple; it consists of a mass with comb drives on both sides of the mass as shown in Fig. 23. A gap of 2  $\mu$ m ass obtained within the comb drives, the aspect ratio of 1:60 permits the thickness of the mass and the comb drives to be 120  $\mu$ m. To overcome the disadvantage of large gaps obtained by traditional wet etching and wafer bonding techniques, a high aspect ratio etching technique called the DRIE (Deep- Reactive Ion Etching) was used by Chae et al.  $(2004)^7$ . This process is a combination of alternate etching and deposition. The etching creates cavities into the wafer and deposition protects the sidewalls from being etched away. This process produces deep trenches with aspect ration of around 1:60. Thus, small but deep gaps between the fixed and the movable plates were realized in capacitive accelerometers.

Table 2 summarizes the physical and performance parameters of the high-resolution accelerometers described above. The physical dimensions determine the mass, stiffness and the base-capacitance. The natural frequency, sensitivity and the resolution are decided by the overall performance of the accelerometer. It can be noticed in Table 2 that less than 1  $\mu g$  resolution is possible but its bandwidth is decreased to 250 Hz.

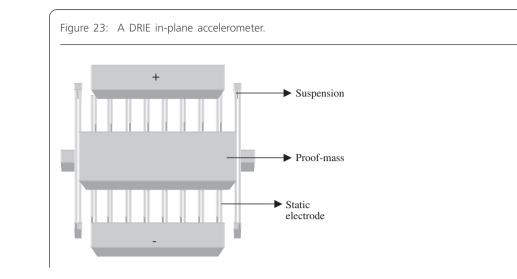
It was noted in Section 3 that the ability of the electronics circuitry to cancel amplifier offsets and noise determines the resolution of the electronic circuit. In the next section, we review the different types of capacitance measurement methods.

#### 6. Capacitance Measurement Techniques

The displacement of the proof-mass due to an applied acceleration might be as small as a few Angstroms. The electronics circuitry has to be sensitive enough to detect such a small displacement. So, the capacitance-sensing circuit should be able to sense the capacitance-change in the range of femto to atto Farad. Various techniques are investigated for measuring such a minute change in capacitance. In this section, various capacitive sensing techniques are described first. This is followed by descriptions of both digital and analog closed-loop systems used in accelerometers.

#### 6.1. Analog circuits

In micromachined accelerometers, a sensing circuit is designed to obtain low noise levels and high sensitivity. Operational Amplifiers are used in circuits to obtain high gain and thereby increase the sensitivity. But an op-amp itself contains many non-idealities such as input offset, 1/f noise, thermal noise, etc. Hence, challenge in designing sensing circuit for an accelerometer is in increasing the sensitivity while keeping the noise level low.



There are several capacitive sensing techniques that are used to detect changes in capacitance in an accelerometer. Some of them are:

- 1. Switched capacitor  $^{19,47,49,50-56}$
- 2. Correlated double sampling<sup>45,52,57–59</sup>
- 3. Chopper stabilization methods<sup>50,60</sup>

Switched capacitance is widely used to reduce the offset of the op-amp. In this technique the circuit offset is measured at a fixed time and is stored in a capacitor which can be later brought in series with the input in order to cancel the offset. Charging and discharging of the capacitor is controlled by the switches. This technique is also known as auto zeroing (AZ). This is a simple method to eliminate the offset.

At higher operating frequencies, the value of 1/f noise keeps on decreasing and it reduces below the thermal noise mark at some frequency. This frequency is known as the corner frequency. To remove all the noise, the auto zeroing frequency should be higher than the noise corner frequency. The residual noise is almost white. However, it is not equal to the thermal noise floor, as it is increased by the ratio of the unity-gain bandwidth of the amplifier and the auto zeroing frequency. The reason for this is that due to the sampling action, high-frequency components are folded back to the baseband. The higher the bandwidth of the amplifier, the more the sampled noise on the capacitor. The advantages and disadvantages of the switched capacitor technique are as follows:

#### Advantages:

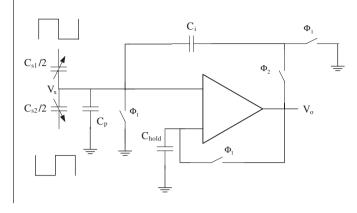
- (a) Relatively easy to realize.
- (b) Good for discrete, sampling based system.

#### Disadvantages:

- (a) It suffers from noise folding.
- (b) Overall noise level is more than the thermal noise floor.
- (c) High kT/C noise at low capacitance.
- (d) Suffers from switching noise.

Correlated double sampling (CDS) technique is an extension of the switched-capacitor technique. The CDS technique, which was originally introduced to reduce the noise produced in charged-coupled devices (CCD's)<sup>58,59</sup>, can be described as an AZ operation followed by a S/H (sample and hold). It also reduces the 1/f noise along with the offset. The offset of an Op Amp is independent of the input signal, but 1/f noise depends on the input frequency. In this method, sampling is done twice in each cycle. First, the 1/f noise and the offset of the Op-Amp are stored in a capacitor. Next, the stored noise and offset are subtracted from a sample signal which is corrupted with 1/f noise and offset. One such circuit is shown below in Fig. 24. Here, the sense-capacitors ( $C_{s1}$  and  $C_{s2}$ ) correspond to the differential capacitors of the accelerometer. During the first phase – the offset store phase –  $\phi_1$  is closed and  $\phi_2$  is open resulting in the storage of 1/f noise and offset onto the holding capacitor  $(C_{hold})$ . In the second phase - the signal amplification phase the signal proportional to the difference between the two sense capacitors gets amplified and appears at  $V_{\rho}$ . Overall, CDS is superior in terms of noise reduction compared to simple switched capacitor circuit as it not only reduces the offset but also helps reduce the 1/f noise. But as it still relies on switched capacitors, it suffers from the switching noise. The advantages and disadvantages of the correlated double sampling technique are as follows.

Figure 24: Correlated double sampling technique.



#### Advantages:

- (a) kT/C noise is reduced significantly.
- (b) It can also be used to enhance the effective gain of the op-amp.

#### Disadvantages:

- (a) It uses two phase switches which have to be controlled and timed precisely.
- (b) It suffers from noise folding.
- (C) Suffers from switching noise.

Chopper stabilization is based on modulation and demodulation principle. Initially, the signal modulates a high-frequency carrier ( $f_c$ ), also called chopping, signal. The effect of 1/f noise and offset is eliminated by up-converting the signal to a high frequency. The sensed signal is then amplified at this high frequency. Finally, the signal is demodulated to the baseband signal. The chopping frequency is chosen such that Brownian noise instead of 1/fnoise will decide the noise floor. This frequency is generally in the range of 1–2 MHz<sup>60</sup>. Consequently, the baseband noise of the chopper amplifiers is almost equal to Brownian white noise.

As the signal is modulated to a high frequency before passing trough Op Amp, it does not get affected by offset of the Op Amp because the offset is dc. When the signal is de-modulated, this dc offset gets modulated to a high frequency which can be filtered out. This way, the signal is always kept away from the offset to reduce the effect of the offset on the signal. The principle of chopper stabilization is depicted pictorially in Fig. 25. Here *u* is input signal, *n* the noise, p(t) is the modulating signal and  $p(t - t_0)$  is the de-modulating signal which is delayed version of p(t), to account for the signal propagation delay through the Op-Amp. For ease of implementation the chopping signal is square wave rather than sine wave. This results in up-conversion of signal and subsequently the noise at odd harmonics of the chopping signal. However all the higher harmonics are filtered out using a low-pass filter<sup>60</sup>.

As shown in Fig. 25, modulating signal p can take values between +1 or -1, If n is noise signal at the low frequency, then  $p^2 = 1$  and the output y = (up + n)Ap = uA + A(np). Thus, the amplified signal is in the base-band but the amplified noise is up-converted to high frequency through multiplication by p. If p has period  $T_p$  with 50% duty cycle, its Fourier coefficient denoted by  $P_k$  is given by<sup>60</sup>.

$$P_{k} = \frac{1}{T_{p}} \int_{0}^{T_{p}} P(t) e^{-jk(2\pi/T_{p})t} dt$$
  
=  $\frac{2}{jk\pi}$  if  $k = \pm 1, \pm 3, \pm 5, \dots$   
=  $0$  if  $k = 0, \pm 2, \pm 4, \dots$  (35)

If  $S_n(\omega)$  denotes the power spectral density (PSD) of *n*, then PSD of *pn* becomes

$$S_{pn}(\omega) = \frac{4}{\pi^2} \sum_{k=-\infty}^{\infty} \frac{1}{(2k+1)^2} S_n \left( \omega - (2K+1)\frac{2\pi}{T_p} \right)$$
(36)

Thus, the effect of  $S_n$  is strongly reduced in baseband.

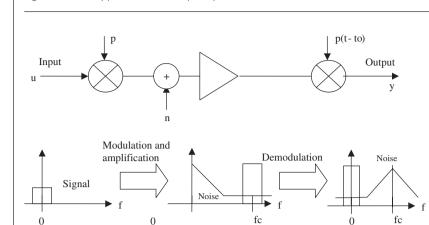
The circuit realization of chopper stabilization can be done in various ways. One of them is by arranging switches at the input of amplifier to alternately route the signal to + and - inputs of the Op-Amp . Thus the input is alternately multiplied by +1 and -1. The output of this circuit is demodulated. Demodulation is done either by using the same kind of switching arrangement or by using a multiplier circuit. Finally, the output is filtered to remove the offset component of the

#### Limit cycle: A closed trajectory in the state-space representation of a dynamical system into which at least one other trajectory spirals into it. It refers to a sustained oscillation of a nonlinear dynamic system.

Single-bit coding: The process of converting a continuous amplitude analog signal into binary-valued digital signal.

ADC/DAC: Analog to Digital Converter (ADC) transforms an analog signal into a digital word. The higher the number of bits in the digital word, the higher the efficiency of transformation. Digital to Analog Converter (DAC) performs the inverse transformation of ADC.

Delta modulator: The Delta modulator uses the modulation scheme where the output of the modulator is responsive to the difference in input signal samples at two time instances, rather than the absolute magnitude of the input signal.



signal. High order low-pass filtering such as  $3^{rd}$  or  $5^{th}$  order Butterworth or any other active filter is generally used. The cut off frequency of the filter should be more than highest frequency expected at the input but less than chopping frequency. The advantages and disadvantages of the chopper stabilization technique are as follows.

Figure 25: Chopper stabilization principle.

#### Advantages:

- (a) Noise floor is decided by white noise instead of 1/f noise.
- (b) Good choice for continuous time system like MEMS based accelerometer where low baseband noise is an important requirement.
- (c) Unlike the AZ technique, the chopper modulation does not introduce aliasing of the broadband noise.

#### Disadvantages:

- (a) Effective gain of the op-amp is usually slightly reduced.
- (b) Suffers from switching noise.

#### 6.2. Analog feedback

Although analog feedback has been discussed earlier as an example of a closed-loop system, in this subsection the electronics aspect of the analog feedback is discussed. In analog feedback, the feedback is proportional to the sensor output. The constant of proportionality is such that it counters the force applied and tries to bring the proof-mass to nominal position. This force acts as an equivalent electrical stiffness. All the forces—viz., damping, stiffness and electrical feedback—co-exist and act simultaneously on the proof mass. But in order to get negligible displacement even when constant force is applied, the feedback force has to be integrated before being applied to the proof-mass. This can be done by introducing proportional-integral (PI) controller in the feedback. The block diagram of such an analog force feedback system is as shown in Fig. 26 <sup>37</sup>.

Finding exact values of  $K_p$  and  $K_i$  is an iterative method. For tuning PID many methods are available to start with better approximated values. One of them is Ziegler–Nichols Step–Response Method. The step-response of the system can be predicted using a software tool such as Simulink<sup>61</sup>. Here, we get approximate values of  $K_p$  and  $K_i$  based on open-loop step-response of the system. Based on this approximate value, the PID gains can be finetuned to meet specific parameters such as the final displacement of the proof-mass or the response time.

The main advantage of using analog feedback loop is that it does not have the problem of limit cycles that we see in digital feedback loops. Furthermore, unlike digital loop, analog closedloop accelerometers do not require additional signal processing after the final sense-signal is obtained. Additionally, the proof-mass is not subjected to high frequency oscillations as there are no limit cycles. The only disadvantage of analog closed-loop is that the final output signal is analog. Digital signal is always a preferred form of final output as digital signal is easy to transmit and store. Furthermore, an analog signal is more vulnerable to noise.

## 6.3. Digital feedback (Use of Sigma-delta modulation)

In 1962–63, Inose and Yasuda published two important papers<sup>62,63</sup> in which they introduced the concept of the single-bit-coding method. The basic idea was to have an integrator followed by a delta modulator with negative feedback. That was the first basic first-order sigma delta modulator. Though it was introduced as a coding method, it

**One-bit quantizer:** Analog to Digital Converter with one-bit resolution.

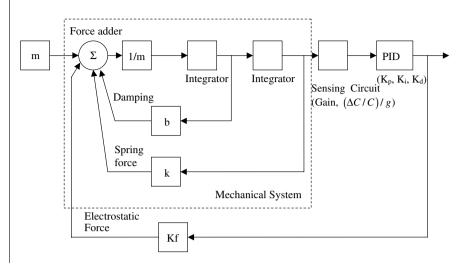
Over-sampling: For an ADC, the analog signal has to be sampled at a minimum frequency (Nyquist rate) which is twice the bandwidth of the signal. The process of taking the samples at a rate faster than the Nyquist rate is called Over-sampling.

Noise-shaping: Noise shaping is a technique to reduce the quantization noise in ADCs. The quantization error is put in a feedback loop to minimize the in-band noise.

Decimation filter: The Sigma Delta modulator produces a bit stream at a very high frequency. The purpose of the Decimation filter is to average the bit stream and reduce its rate to the required value.

#### Tyspkin or Hammel's method:

This is a technique to analyze the Limit cycle instability in a closed loop feedback system. Figure 26: Block diagram of analog force feedback accelerometers (based on a reference<sup>37</sup>).



soon became popular as ADC/DAC at places where low or medium frequency and high resolution were required. Sigma-Delta ADC/DAC is an extension of the concept with the addition of a low pass filter after the modulator, which may be analog or digital depending on whether required output is digital or analog. As the VLSI technology progressed, the need for integrating more blocks on chip arose which made Sigma-Delta method popular. As its building blocks are simple, the Sigma-Delta modulation method became the first choice for designers in many low-frequency applications. With the advent of micromachined devices, the method found its application in accelerometers. The Sigma-Delta feedback was first applied to micromechanical element in a closed-loop accelerometer by Henrion in 1990<sup>64</sup>. The Sigma-Delta modulation system was selected because of its wide dynamic range possibilities. Use of a Digital Sigma-Delta modulator resulted in an all-digital closed-loop force-balance sensors.

The name Sigma-Delta modulator comes from putting the integrator (sigma) in front of the delta modulator<sup>65</sup>. As expected, there is an integrator ahead of a comparator, which acts as normal delta modulation, and there is a feedback through DAC. The final signal is passed through a filter for decimation. The filter can be either digital or analog depending on the type of the signal we need at the output.

Figure 27 shows a simple block diagram of a first order Sigma-Delta Analog-to-Digital Converter (ADC). The input signal X comes into the modulator via a summing junction. It then passes through the integrator, which feeds a comparator that acts as a one-bit quantizer. The comparator output is fed

back to the input-summing junction via a one-bit digital-to-analog converter (DAC). The signal also passes through the digital filter and emerges at the output of the converter. The feedback loop forces the average of the signal W to be equal to the input signal X (see Fig. 24). The in-band noise power and the signal-to-noise ratio is given by<sup>29</sup>

$$\sigma_{ey}^2 = \sigma_e^2 \frac{\pi^2}{3} \left(\frac{2f_B}{f_s}\right)^3 \tag{37}$$

And SNR in dB is given as follows.

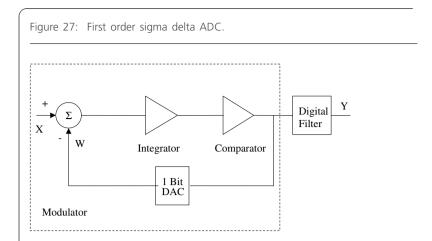
$$SNR = 10\log(\sigma_x^2) - 10\log(\sigma_e^2)$$
$$- 10\log\left(\frac{\pi^2}{3}\right) + 30\log\left(\frac{f_s}{2f_B}\right) (dB) (38)$$

Assuming an over-sampling ratio,  $\frac{f_s}{2f_B} = 2^r$ , Eq. (37) becomes

$$SNR = 10\log(\sigma_x^2) - 10\log(\sigma_e^2) - 10\log\left(\frac{\pi^2}{3}\right) + 9.03r(dB)$$
(39)

It can be seen from Eq. (39) that for every increment in r, SNR improves by 9 dB, equivalent to a resolution increment by 1.5 bits. Similarly it can be shown that if second order sigma-delta modulation is used, SNR increases by 15 DB, equivalent to resolution increment by 2.5 bits. This is the advantage of using sigma delta over all other digital modulation techniques.

The Sigma-Delta feedback was first applied to Micromechanical element in a closed loop



accelerometer by Henrion<sup>64</sup>. The basic block diagram an accelerometer that uses a Sigma-Delta modulator can be represented as shown in Fig. 28<sup>37</sup>.

The Sigma-Delta modulation involves two important techniques namely over-sampling and noise-shaping. It is known that for a faithful reproduction of any signal, it needs to be sampled at a frequency higher than the Nyquist rate, which is twice the bandwidth of signal. The basic idea of over-sampling is to trade-off resolution in time for resolution in voltage. This means that when the signal is sampled at a rate much higher than the Nyquist rate, the samples are averaged to give better resolution at the output. The output of the Sigma-Delta modulator, therefore, has to be downsampled to the base-band frequency. That is done through a special type of filter called the decimation filter. Decimation filter can be on-chip or it can be off-chip using a signal processing algorithm. Various approaches of decimation filter design are available in the literature<sup>66,67</sup>.

The main advantage of the Sigma-Delta feedback is that it gives digital output. In certain cases, if limit cycles are controlled properly, it also gives better resolution than analog closed-loop accelerometer. But as mentioned earlier, it requires extra-decimation filter and it suffers from limit cycles which is a kind of instability. There are a few ways to reduce the limit cycles to have improved minimum detectable displacement due to the applied acceleration. In the next sub-section we will see how to reduce the limit cycles.

#### 6.3.1. Limit Cycles

The presence of nonlinear elements in the feedback loop can produce stable bounded oscillation called a limit cycle, Here, the nonlinearity of one-bit quantiser gives rise to a limit cycle. Force feedback is unstable without compensation because of the 180° phase delay from sensing element for frequencies above the resonant frequencies. There are three approaches to solve this issue, namely, electronically limiting the loop-bandwidth; over-damping the proof-mass<sup>69</sup>; and compensating with a lead filter<sup>49,64</sup>.

The first approach uses electronic low-pass filter to reduce the loop-bandwidth to a frequency well below the resonance frequency of the proof-mass. But this technique is applicable only in situations where low sensitivity and limited bandwidth are tolerable. Thus, it reduces some benefits of feedback. The second solution is simple but, because of high Brownian noise floor of an over-damped low mass-sensing element, it is practical only for lowperformance applications. The third approach of

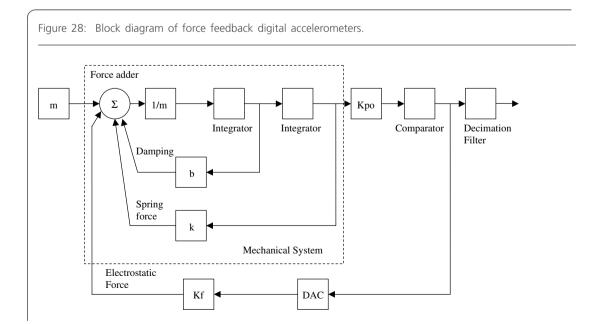
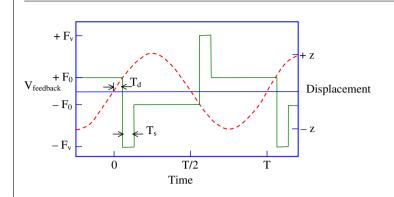


Figure 29: Limit Cycle waveforms of linearised feedback force with compensation (solid lines) and vertical displacement (Dashed line)<sup>70</sup>.



using a compensation filter requires extra circuitry but there are no other trade-offs involved in it. The basic strategy is to add a left-half plane zero to the loop transfer function in order to decrease the phase delay at unity gain frequency. A limit cycle waveform with lead compensation is shown in Fig. 29.

Kraft<sup>37</sup> has predicted some tendencies in the comparison of limit cycles, which imply that, for frequencies much smaller than that of the limit cycle, flat frequency response and linear transfer characteristic are expected; and higher the sampling frequency higher the limit cycle frequency hence the higher the bandwidth of the transducer. Furthermore, for higher limit cycle modes a lower cut-off frequency is expected, and finally, an increase in the feedback voltage results in an increase in the bandwidth.

A limit cycle can be found by mathematical analysis by deriving the transfer function of the feedback loop<sup>39</sup>. There is another digital signal processing approach for finding limit cycles used by Colinet et al.<sup>68</sup> where they used two-step methods. The first step is the determination of system's response for a candidate limit cycle, which is done by Tsypkin or Hamel's Method. Software implementations of these methods were done through FFT algorithms. In the second stage, the consistency of sign-change at comparator input with those of candidate limit cycle is verified.

#### 6.3.2. Recent Developments

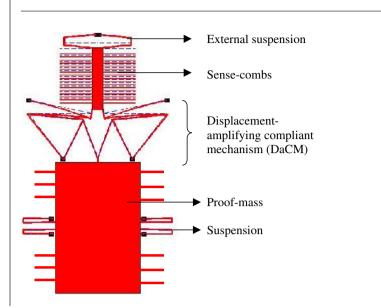
The CMOS technology scaling in the last few years has continuously improved the speed of the transistor and the circuits built thereof. The 65 nm CMOS technology has enabled the tera Hz transistors. Since the Sigma-Delta technique exploits the resolution in time to provide a very high resolution in voltage, the performance of Sigma-Delta converters has steadily improved over the last several years with very high frequency and high resolution converters implemented in a variety of applications<sup>71,72</sup>. Recently a higher order Sigma-Delta micro-*g* accelerometer has been realized with a capacitive resolution of 2 aF and with a dynamic range of 95 dB at 20 Hz<sup>73</sup>. It appears that the digital feedback with Sigma-Delta modulator will become a preferred technique to implement the closed loop accelerometers, with further improvements in the CMOS technology in future.

#### 7. Summary, Recent Developments, and Future Trends

In this paper, an attempt has been made to enunciate various aspects of micromachined accelerometers that help in achieving a very fine resolution. Some of the salient points noted regarding achieving high resolution are summarized below.

- (a) The resolution of the accelerometer is mainly limited by the electronic and the mechanical noise floors.
- (b) These noise floors have a strong dependence on the frequency of operation (bandwidth) of the system.
- (C) Electronic noise dominates the mechanical noise in most analog and digital accelerometers, and thus requiring a high mechanical sensitivity to overcome the electronic offsets and noise.
- (d) A high mechanical sensitivity requires bulky proof-mass and flexible suspensions, thus reducing the bandwidth of the system.
- (e) Microfabrication processes which make use of a combination of surface and bulk micromachining processes help in achieving a high mechanical sensitivity.
- (f) Improved bandwidth, linearity, and range can be obtained by operating the accelerometer in a force re-balance mode. Feedback can be either analog or digital.

Figure 30: An Accelerometer with a displacement-amplifying compliant mechanism (DaCM)



Dithering: Dithering is a process of adding a small amount of random noise, with amplitude that is half of the least significant bit, to minimize the effect of quantization noise. However, the white noise floor increases slightly, which may be tolerable in a variety of applications. (g) Electronic noise is overcome by a number of techniques such as chopper stabilization, co-related double sampling, etc. Capacitance change as low as 2 *aF* can be detected. Electronic noise can be reduced to 100 nV.

Overall, miniaturization of micro-*g* resolution accelerometers is possible within the capabilities of the microfabrication technology. Better noise cancellation techniques and methods to increase the mechanical sensitivity are worth investigating to achieve micro-*g* and sub micro-*g* resolutions over a wide bandwidth.

Since an accelerometer involves many specialized fields such as structural mechanics, structural optimization, microfabrication, capacitive sensing, signal processing, and feedback control systems, new developments in any of them will contribute to improvement in its performance. Mechanical sensitivity can be enhanced with a large proof-mass and a flexible suspension. Recent developments have shown that a combination of surface and bulk micromachining processes are able to give the required proof-mass thickness and suspension stiffness, thus achieving micro-g resolution within a chip area of  $2 \text{ mm} \times 1 \text{ mm}$ . Though the sensitivity of such structures is high, their natural frequency is low, thus decreasing their bandwidth. To overcome this problem, a displacement-amplifying compliant mechanism (DaCM) can be used to amplify the displacement of the proof-mass to increase its sensitivity<sup>74,75</sup>. The displacement is sensed from the output portion of the DaCM, where the sensecombs are placed. The inertia at the output of the

DaCM, which displaces by a large amount, is very small compared to the proof-mass. Thus, its natural frequency is larger than that of the conventional accelerometers with the same sensitivity. However, the flexibility of the output of the DaCM leads to large cross-axis sensitivity, which can be limited by adding a suspension at this location. Figure 30 shows such an accelerometer with a DaCM. When, using such a device in the force re-balance mode, feedback has to be applied to the proof-mass and the sense-combs at the output of the DaCM<sup>75</sup>.

On the electronics front, there have been attempts to reduce offset up to 100 nV using nested chopper stabilization<sup>76</sup>. Such a development in terms of offset and noise reduction can be applied to the accelerometer. Similarly, there have been attempts to increase sensitivity by introducing dithering to increase resolution<sup>77</sup>. Recently, there has been work on using floating gate transistors in sensing circuits, with a claim to improve performance in terms of improving SNR and reducing power consumption  $^{78,79}$ . There has also been a development of simple one-mask process using thin-film SOI technology<sup>80</sup>. Thus, despite several decades of research in this area, it is still a dynamic field to work on and to apply various new ideas. Further research and increased use of the high-resolution accelerometers might help in bringing their cost down.

#### Received 5 August 2007; revised 11 September 2007.

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