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Transformations in bromo- and alkoxybenzotropones

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Abstract

The *ipso/cine* ratios in the displacement of bromine by methoxide changes from 9:1 in the case of 5-bromo-2,3benzotropone 1 to 2:7 in that of 2-bromo-4, 5-benzotropone 2. Under a possibility that an aryne-type mechanism operates, these regioselectivities show a considerable steric influence on the part of the *peri* hydrogen, implying a lateral or in-plane approach of methoxide towards the benzylic position of benzodehydrotropone intermediates.

None of the four derived alkoxybenzotropones furnished an oxonium salt on treatment with dimethyl sulphate but an unexpected transformation was mediated in the case of 3-methoxy-4.5-benzotropone 4b, the *cnue* product from 2, into its carbonyl-e-ther transposition product, 6-methoxy-2.3-benzotropone 9a. While a similar transformation cannot be apparent, for symmetry reasons, in the case of 4-methoxy-2.3-benzotropone 3b, the mechanism proposed suggests why the transformation does not take place in the case of the two remaining methoxybenzotropones 3a and 4a.

Key words : Regioselectivity, aryne mechanism, carbonyl transposition, bromo-, alkoxy- and dehydrobenzotropones.

1. Introduction

The formation of mixtures of *ipso* and *cine* substitution products¹ has been noticed in most of the not too many attempts to replace the halogen by an alkoxy group in substituted. halotropones². Bromobenzotropones 1 and 2 are no exception and mixtures of *ipso* and *cine* products are formed, in good total yield, when each is heated under reflux with sodium methoxide in methanol. But, while the *ipso* product **3a** is dramatically favoured over the *cine* product **3b** (96:4) in the case of bromoketone 1, there is a near reversal in the *ipso/cine* ratio **4a/4b** (22:76) in the case of bromoketone 2 (Scheme 1)³. No temperature dependence of the isomer ratio is apparent (in contrast with the case of direct amination of bromobenzotropones)⁴ but the reaction either does not take place or is very sluggish at room temperature.

Results from trapping experiments (employing either a strong nucleophile or a reactive diene)^{2c,5} and from regioselectivities observed in unsymmetrically substituted cases⁶ have indicated that dehydrotropone (aryne-like) intermediates are readily formed from tropone precursors, apparently more so than dehydrobenzenes from their precursors, because of lower strain in a 7-membered ring^{2,2,2,5n}. That benzodehydrotropones (6 and 7, respectively) are

^{*} For correspondence.



SCHEME 1.

formed when bromobenzotropones 1 and 2 are exposed to alkoxide was confirmed through adduction employing 1,4-diphenylisobenzofuran (see Experimental).

The dehydrohalogenations of halobenzenes can, apparently, occupy different positions in the spectrum between wholly concerted (E2; Scheme 2, taking system 1 as example) and wholly nonconcerted (ElcB; Scheme 2) since regioselectivities found with unsymmetrical



SCHEME 2.

arynes have been attributed to different factors in different cases: the relative rates of dehalogenation, the reversibility of the deprotonation⁷ step, the reactivity of the nucleophile⁸, the structure and stability of the aryne⁹ or the nature of the substituent(s) on the aryne¹⁰. The formation of benzodehydrotropones **6** and 7 from systems **1** and **2** is likely to be concerted (in spite of the necessity of *cis*-elimination) in view of the presence of the electron-withdrawing oxo group, the use of the strongly basic methoxide, the ability of bromine to behave as a good leaving group as well as the inability of the conjugate base to stabilise itself through delocalisation.

Marked electrophilicity has been associated with arynes and it may be enhanced in the cases of dehydrotropones due to the presence of the electron-withdrawing oxo group. Neither the enhanced electrophilicity nor the use of the strongly nucleophilic methoxide can be expected to contribute to high regioselectivity in the addition reaction^{8,9,11}. The regioselectivities observed^{11,12}, high in the case of bromobenzotropone **1**, can only imply that the intermediate benzodehydrotropones have appreciable lifetimes¹², comparable to those of naphthalynes^{7,10}, said to be stabler than benzynes due to a more effective overlap across a shorter dehydro bond. An effectively long lifetime affords an opportunity to the substituent(s) on the aryne to exert such influences as orienting the incoming nucleophile through electronic or steric means^{10,13}.

A recent *ab initio* study¹⁴, which took account of electron correlation, has indicated the presence of cumulene-like features in benzyne. It is usual, however, to picture the arynes as having a 'triple bond' with a weak 'third' bond because of the possibility of only a small

overlap of the in-plane (lateral) π -orbitals (Fig. 1)^{10,15}. These orbitals may be considered as the more reactive of the two sets of orthogonal π -orbitals constituting the dehydro bond and lateral approach by a nucleophile may afford a pathway of low energy change for bond formation ('faster' bond formation than when the approach is orthogonal to the ring). Such effectiveness of lateral (periplanar) approach raises the possibility that any steric demand that an *ortho* substituent may place will have a prominent role in determining the regioselectivity of addition: the addition of nucleophiles to 1,2-naphthalynes is known to take place preferentially at C-2 in spite of the higher reactivity of C-1 and this has been attributed to the steric hindrance offered by the *peri*-hydrogen (at C-8) when the approach is towards C-1¹⁶. The *peri* effect could be actually stronger in benzodehydrotopones 6 and 7 than in 1,2naphthalynes because the trajectory of periplanar approach of maximum overlap would be inclined towards the *peri*-hydrogen when the junction is between 6- and 7-membered rings.



Fig. 1.

There is, however, c possibility that methoxide adds to benzodehydrotropones 6 and 7 conjugatively through perpendicular attack. Since such attack deprives the *peri*-hydrogen of its ability to exert a steric effect, the modes of conveyance of polarisation along a conjugated chain need to be examined in attempting to explain the regioselectivities. Among the possible modes, mesomerism which draws away negative charge from the γ -carbon and localises it on the carbonyl oxygen and π -polarisation which produces an alternating charge distribution, leading to some concentration of negative charge at the α -carbon (Fig. 2 a and b; illustrated for an enone), could be most relevant to the present context.

2. Discussion of mechanisms

It appears, however, that neither of these modes can be very effective in polarising the triple bond in benzodehydrotropone **6** because, were one to consider the 'ethylenic pathway' as not very different from the 'benzo pathway', the triple bond will be seen to be symmetrically disposed with respect to the carbonyl. The remanent effect, then, is only inductive polarisation, operating through the σ -framework and falling off with the distance from the carbonyl (Fig. 2c). The electron-withdrawing (-I) effect of the benzo group can combine with that of the carbonyl and can polarise the dehydro bond in the manner that facilitates *ipso* attack (at C-5). While the effect can influence selectivity irrespective of the direction of approach of the nucleophile (perpendicular or periplanar), the steric demand of the *peri*-hydrogen can make its effect felt only if bond formation were through periplanar approach of the nucleophile assuming, of course, that the transition state is reached at the requisite (late) stage. It appears that this is the case with system 6, the inductive effect assisting in depositing the methoxide at the *ipso* centre (Scheme 3, path a).





In contrast with the possible neutralisation of the mesomeric and π -polarisation effects in benzodehydrotropone **6**, the two can reinforce each other to favour an approach of the nucleophile towards the *cine* centre (C-3) in benzodehydrotropone **7**. The -I effects of the benzo and carbonyl groups, however, oppose each other across the dehydrobond. At the same time, the approach of the nucleophile towards the α -carbon (C-2, the *ipso* centre) would be disfavoured by the electrostatic effect of a build up of negative charge at that centre as

well as the space effect of the carbonyl oxygen. One may then expect the predominance of the *cine* product in system 7 (Scheme 3, path c) to resemble that of the *ipso* product in system 6. But the predominance is only moderate (Scheme 1) and it could mean that the approach of the nucleophile is periplanar here also and the *peri*-hydrogen is able to make its steric effect felt.

In attempts made to obtain alkoxonium salts 8, keto-ethers 3a,b and 4a,b were independently treated with dimethyl sulphate in methanol¹⁷. While keto-ethers 3a, 3b and 4a were recovered unchanged, 4b was found to have been converted, to the extent of about 50%, into the keto-ether 6-methoxy-2,3-benzotropone 9a, the product of transposition of the carbonyl and alkyl ether groups (TLC and NMR evidence). No transformation was observed when keto-ether 4b was heated under reflux in methanol in the absence of dimethyl sulphate, establishing that the alkyl sulphate was necessary to mediate the transposition. An influence on the part of the solvent was also ruled out since a change of solvent from the polar protic methanol to the aprotic benzene did not suppress the transposition reaction¹⁸.

That only about 50% of keto-ether **4b** had been transformed appeared to indicate that the system was attaining a dimethyl sulphate-mediated thermodynamic equilibrium (Scheme 4a)¹⁹. As a partial test of the possibility of equilibrium, the 'rearranged' product **11a** was treated with dimethyl sulphate and a 20% conversion to the starting keto-ether **4b** was observed. A reason for the inequality in the two conversions could be a difference in the reactivities of the starting keto-ether **4b** and its 'rearranged' product, keto-ether **9a** whereby the latter is converted slowly.



This last received a measure of support from a further experiment. On treating the ketoether **4b** with diethyl sulphate it was found that an ethyl group had been introduced only into the rearranged product (**9b**), the methyl ether group remaining intact in the unrearranged material (**4b**). This result really does not negative the proposition that the 'rearrangement' is concomitant to a process of attainment of dynamic equilibrium between the two isomeric keto-ethers under the influence of alkyl sulphate because the factor behind the result could be the lower reactivity of the 'rearranged' keto-ether **9b** with dialkyl sulphate compared with the starting keto-ether **4b**. More important, however, is that the result demonstrates that the direct replacement of one alkyl group by another (Scheme 4b)²⁰ is not the primary process but an attack on dialkyl sulphate by the carbonyl oxygen of keto-ether **4b** (Scheme 4c; the carbonyl oxygen could be more reactive than the vinyl alkyl ether oxygen).

Finally, the reason for keto-ether **3b** not appearing to have undergone any 'reaction' is simply because no substituent is present to distinguish the starting 'material from the rearranged 'product'. The reason for the inertness of keto-ethers **3a** and **4a** under the rearranging

conditions appears quite clear: the establishment of mesomeric communication between the two oxygens for attainment of equilibrium (see Scheme 4c) cannot be facile in these cases since the canonical forms involved are without the 6π cyclic stabilisation and would, therefore, be highlyl endoenergetic.



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3. Experimental

Melting points, determined for samples taken in open capillaries using a standardised thermometer, are reported as observed. Infrared and ultraviolet spectra were recorded, respectively, with a Perkin–Elmer model 721 IR and a Shimadzu model UV-190 UV spectrophotometers. ¹H and ¹²C NMR spectra were obtained with a JEOL FX90-Q (90 and 22.5 MHz) NMR spectrometer for solutions in CDCl₃ with TMS as the internal standard and the solvent providing the internal lock. Mass spectra (LR and HR) were taken under 70 eV EI conditions employing a JEOL JMX-DX 303 mass spectrometer equipped with a D-3000 data processor. While TLC tests on silica-gel (Acme Synthetic) were by standard procedures, separation, isolation and purifications were carried out using a Harrison Research Chromatotton centrifugal TLC system in conjunction with an ISCO Retriever IV precision fraction collector. Solvents were repurified and dried just prior to use employing recommended methods²¹. Commercial samples of α - and β -methoxynaphthalenes were recrystallised prior to use.

TRANSFORMATIONS IN BROMO- AND ALKOXYBENZOTROPONES

3.1 Bromobenzotropones

The respective dibromocarbene adducts of α - and β -methoxynapthalenes rearrange spontaneously to yield bromobenzotropones 1 and 2²². Products obtained by following the published procedures satisfied all the characterisation data reported^{4,22} for the two bromobenzotropones.

3.2. Methoxylation of bromobenzotropones

A solution of the benzotropone (1 or 2; 950 mg, 4 mmol) in absolute methanol (10 ml) containing sodium methoxide (220 mg; 4 mmol) was heated under reflux in an atmosphere of ntrogen during 3.5 h. The product mixture was poured on to cold water and extracted with ether (15 ml \times 4). The combined ether extracts of the organics were dried over anhyd. sodium sulphate and the ether removed.

The residue from each reaction was tested by TLC, subjected to separation by centrifugal TLC over silica gel and the components taken to the identification procedures. That from the reaction of bromoketone 1 (498 mg; 67%) was a mixture of two components. The more mobile one was identified as the *cine*-product, 4-methoxy-2,3-benzotropone (**3b**; 20 mg; 4%) and the less mobile one as the *ipso*-product, 5-methoxy-2,3-benzotropone (**3a**; 478 mg; 96%).

The residue from bromoketone **2** (580 mg; 78%) consisted of three components. They were in the order of decreasing mobility: **4**,5-benzotropone (**5**; 12 mg; 2%), a product of reductive dehalogenation, 3-methoxy-4,5-benzotropone (**4b**), the *cine*-product (440 mg; 76%), and 2-methoxy-4,5-benzotropone (**4a**), the *ipso* product (130 mg; 22%).

The ratios of methoxylation products reported above were reconfirmed by repeating the alkoxylations.

3.3. Trapping experiment

Diphenylisobenzofuran (63 mg; 1 mmol) was stirred into a solution of the bromoketone (1 or 2; 240 mg; 1 mmol) in toluene (10 ml) and potassium *t*-butoxide (120 mg; 1 mmol) was added. The resulting suspension was heated under reflux in an atmosphere of nitrogen during 24 h and let to cool overnight. Cold-acidulated (HCl) water was added and the organic layer was shaken after adding ether. The partly crystalline residue which separated on removal of ether from the dried extract was recrystallised from ether.

Adduct **10** from bromoketone **1**: 20%; mp 130–132°; IR: 1653, 1596, 1446, 1272 cm⁻¹; ¹H NMR: 7.94–6.32 δ (complex multiplet); LRMS (m/e): 424 (M⁺), 286, 209, 172 (base



Compound	Physical	Mol. wt (by HRMS)	MS (m/z)	IR (cm ⁻¹)* (film)	UV nm [#] (log ∈)
3a	Yellow	186.0673@	186 (M ⁺)	1620	222 (4.08)
	oil		157, 128	1593	250 (4.04)
			115*	1575	272 (4.12)
				1539	282 (4.04)
3b	Yellow	186.0679®	186 (M+)	1644	227 (4.17)
	crystals		158, 143	1611	267 (3.54)
	mp 69-70°		128, 1154	1584	327 (3.44)
	-			1479	
49	Yellow	186.0667®	186 (M+)&	1626	238 (4.25)
	crystals		157, 128	1602	245 (4.22)
	mp 88°^		115	1542	275 (4.37)
4b	Yellow	186.0680@	186 (M ⁺)	1638	227 (4.12)
	crystals		158*, 143	1605	266 (4.48)
	mp 7273°		115	1593	285 (4.03)
				1575	
5	Yellow			1620	
	crystals			1580	
	mp 68°5			1540	
9a	Yellow	186.0679@	186 (M+)	1647	249 (4.63)
	oil		15ª, 115	1587	255 (4.60)
					263 (4.47)
9b	Light	200.0824+	200 (M*)	1650	
	Yellow		172, 144*	1590	
	needles mp 56°				

Table I Physical data on the benzotropones

* Smears for liquids and mineral oil mulls for crystalline materials. * Ca. 10⁴ molar solutions in spectra-grade ethanol. [@] MW cale. 186.0681 for MF C₁₂H₁₀O₂. * Base peak. * Lit. mp: 89,5–90*, D.S. Tarbet and J.C. Bill, J. *Am. Chem. Soc.*, 1952, 74, 1234–1234, * Lit. mp: 66–67°, ref. 23; * MW Cale. 200.0837 for MF C₁₁H₁₂O₂.

peak); HRMS: MW (Found) 424.1469; MW (Calc.) 424.1463 for MF C₃₁H₂₀O₂.

Adduct **11** from bromoketone **2**: 75%; mp 144–146°; IR: 1656, 1596, 1446, 1272 cm⁻¹; ¹H NMR: 7.24–7.72 δ (complex multiplet); LRMS (m/e): 424 (M⁺), 286, 209 (base peak); HRMS: MW (Found) 424.1515; MW (Calc.) 424.1463 for MF C₃₁H₂₀O₂.

3.4. Treatment of methoxybenzotropones with dialkyl sulphate

A solution of a mixture of each of the methoxyketones (3a, 3b, 4a or 4b; ca. 190 mg; 1 mmol) and dimethyl sulphate (2 mmol) in dry methanol (10 ml) was heated under reflux for 12 h. The residue obtained on removal of the solvent under vacuum was TLC tested and taken to centrifugal chromatography employing EtOAc: light petroleum as the cluant. The products from methoxyketones 3a, 3b and 4a were found to consist only of single materials identified as the unchanged starting methoxyketones.

		entre suodo memo	and the second se				
Compound	4	5	9	7	١.	2', 3' & 4'	Other s
3a	7.16 (d,1H,2.6*)		6.65 (dd, 1H, 10.8 & 2.6)	6.60 (d, 1H, 10.8)	8.50-8 66 (m, 1H)	7.50–7 70 (m, 3H)	3 88 (s, 3H, OMe)
3b	1	6 92 (br s, 1H)	7.24 (s, 1H)	6.52 (br s, 1H)	8.30-8.50 (m, 1H)	7.40–7.70 (m. 3H)	3.82 (s. 3H. OMe)
9a	7.16 (d, 1H, 12.6)	6,44 (dd, 1H, 12.6 & 3.6)	I	6.60 (d, 1H, 36)	8.44-8.60 (m, 1H)	7.52–7.70 (m, 3H)	3 80 (s, 3H, OMe)
9 b	7,18 (d, 1H, 12.6)	6.44 (dd, 1H, 12.6 & 3.6)	I	6.62 (d, 1H, 36)	8.448.60 (m, 1H)	7.52–7.70 (m, 1H)	1.46 (t, 3H, Me) 4.00
Correlated with:							(q, 2H, OCH ₂)
2, 3-benzotropone (A)	7.35 (d. 1H, 13.5)	6.70 (dd, 1H, 13.5 & 8.5)	7.15 (dd, 1H, 8.5 & 13.5)	6.95 (d, 1H, 12.0)	8.45-8.50 (m, 3H)	7 60–7.75 (m, 3H)	I
_	7.74 (d, 1H, 2.0)	1	7.28 (dd, 1H, 13.0 & 2.0)	6.74 (d, 1H, 13.0)	8.36-8.50 (m, 1H)	7 50-7 70 (m, 3H)	I
 4-Dímethylamino- 2, 3-benzotropone[®] (B) 	1	6.88 (d, 1H, 12.6)	7.15 (dd, 11, 12.6 & 2.2)	6.38 (d, 1H, 2.2)	8.12-8 38 (m, 1H)	7 20–7 62 (m, 3H)	2.97 (s, 6H, NMe ₂)
5-Dimethylamino- 2, 3-benzotropone [@] (C)	6.78 (d, 1H, 2.7)	I	672 (dd, 1H, 10.8 & 2.7)	6.14 (d, 1H, 10.8)	8.00-8 12 (m, 1H)	7.24-7 66 (m, 3H)	2.90 (s, 6H, NMe ₂)
* J (in Hz) placed at thi J. Tsunetsugu and S. E	s and where necessary bine, Bull Chem Soc	at corresponding local Jap., 1975, 48, 2395	tions in this table and in Ta 2396) but its ¹ H NMR sp	ble IIb. # This co ectrum has not 1	mpound has bee been reported 6	a described (M * See rof. 4.	Sato, T.

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TRANSFORMATIONS IN BROMO- AND ALKOXYBENZOTROPONES

Table IIa

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Compound	2	3	6	7	1'	2', 3' & 4'	Others
48		7.04 (s, 1H)	7. (AB-q,	27	.7	54-7.72 n, 4H)	3.96 (s, 3H, OMe)
49	6.40 -(d, 1H, 3.6)	1	7.32 (d, 1H, 14.4)	6.72 (dd, 1H, 14.4) & 3.6)	8.32-8.43 (br s, 1H)	7.59-7.66 (m, 3H)	3.34 (s, 3H, Me)
Correlated with: 5		7. (AB-q X 2,	06		.)	50-7.76 m 4H)	l
2*	I	8.44 (s, 1H)		24	, <i>T</i>	60-7.80 m, 4H)	ł
2-(Dimethyl) amino- 4, 5-benzotropone [@] (D)	I	6.90 (s, 1H)	7 (AB-q,	08		25-7.88 m, 4H)	2.86 (s, 6H, NMe ₂)
 (Dimethyl) amino- 5-benzotropone[®] (E) 	6.31 (d, 1H, 2.7)	ł	7.20 (d. 1H, 11.7)	6.66 (dd, 1H, 11.7 & 2.7)	7.88-8.08 (m, 1H)	7.14-7.64 (m, 3H)	2.82 (s, 6H, NMe2)
* See ref. 23; the specific a	assignments of H-2, 7	and H-3, 6 given in	this reference may 1	save to be interchan	iged. # See re	f. 22c. [@] See ref. 4	

Correlation of ¹H NMR shifts in the 2,3-benzotropone series

Table IIb

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Correlation

Compound	I	2	5	4	5	6	7	1'	2'	3'	<i>.,</i> r	Others
3a	182.56	135.69	136.02	110.26	158.68	132.15	130.82	131 71*	123.86	131.71*	128.61	55 75 (OMe)
3b	187.28	135.82*	135.82*	156.08	86 111	134.52"	127.80	134.52#	130.51	132.46^{5}	132.46 ⁵	55.21 (OMe)
9a	186.00	134.40	138.00	131.92	125.96	165.07	111.76	137.33	130.51	133.87	130.94	55.64 (OMe)
Correlated v	vith:											
A@	188.37	139,00	141.99	139.60	126.72	135.49	130.50	136.36	132 35	130.94	133 97	-
1	186.58	121.16	134.40	130.52*	137.68	140.28	138.59	134.56	132.47	133.12	130.52*	I
В	187.99	137.64	139.47	148.76	114.49	133.28	126.46	134.25	130.04	132.60	132.22	41.89 (NMe2)
c	188.27	135.33	136.77	109.45	152.37	130.27	127.01	129,48*	125.84*	129,48*	125.84"	40.92 (NMc ₂)
- # S A - older	and a solution	Total State				E						

Accidentally isochronous, " Letters A-C reter to compounds named in Table IIa.

Table IIIb

Correlation of ¹³C NMR data on the 4, 5-benzotropone series

Compound	I	2	2	4	5	6	7	Ι,	2'	3,	4'	Others
43	181.32	156.84	116.64	133.76	139.93	141.02	131.26	130.40	132.24	127.78	133.32	55.64 (OMe)
4b	185.34	112.10	161.50	131.81	133,44*	139.29	130.30	134.31	127.15	129.75	133 44*	55.75 (OMe)
Correlated w	vith:											
7	180.21	134.43	140.28	133,25*	133.25*	143.79	133.90	130.78*	133.50 ⁵	130.78*	133.50 ^{\$}	1
D@	184.55	152.71	121.31	139.44*	135,46	139.44*	131.37	129.72	132.37	126.62	132.59	41.71 (NMe2)
Е	186.99	118.66	159.57	133.92	135.13	137.90	130.50	135.46	128.72	129.16	132.59	43.26 (NMe ₂)
* # 5 Acciden	ntally isochre	onous. @ Le	tters D and	E refer to	compounds	named in]	Table II b.					

The product obtained from the reaction of methoxyketone 4b consisted of two components. The more mobile one (90 mg; 50%) was identified as 6-methoxy-2,3-benzotropone (9a) and the less mobile one (90 mg; 50%) as the starting methoxyketone 4b.

A 'reaction in reverse', carried out with 6-methoxy-2,3-benzotropone (9a) isolated from the previous experiment, under the conditions described above, provided a mixture of two components, identified as the starting material, the methoxyketone 9a (80%), and the 'rearranged' product, 3-methoxy-4,5-benzotropone 4b (20%).

The product from the reaction of methoxyketone 4b with diethyl sulphate was found to be a mixture of two components. The more mobile one was 6-ethoxy-2,3-benzotropone (9b; 50%), the ethoxylated 'rearranged' product, and the less-mobile one was the starting material, methoxyketone 4b (50%).

3.5. Structural assignments

Data on compounds characterised are assembled in Tables I–III. Structural assignments of the alkoxybenzotropones isolated are based on correlation of their ¹H and ¹³C NMR chemical shifts in related systems^{4,22c,23}, as shown in Tables IIa–IIIb. The bases of assignments in the latter systems have been fully discussed⁴.

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