



Swarming in Bacteria: A Tale of Plasticity in Motility Behavior

Rahul Jose and Varsha Singh*

Abstract | One of the most fascinating sights in nature is to witness certain insects, birds, and fish move together in a very coordinated and precise fashion for food search, to avoid predation and for migration. The collective movement is called swarming. In 1885, Gustav Hauser, a German pathologist discovered collective movement in a bacterium he later named *Proteus mirabilis* (Armbruster and Mobley in, Nat Rev Microbiol 30: 186–194, 2013). It was not until 1972 when this mode of bacterial movement was characterized and classified by Henrichsen (Bacteriol Rev 36: 478–503, 1972). Several bacteria are now known to exhibit swarming. Here we describe the how and why of swarming with a focus on plasticity.

1 What is Swarming?

Swarming in bacteria can be defined as the locomotion of a population of flagellated bacteria on a semi-solid surface. To understand swarming, it is important to understand other modes of movements that most bacteria employ (Fig. 1). In liquid growth media, also called the planktonic phase, a bacterium can swim using the rotation of its **flagella**. When it comes to solid surfaces, bacteria can use a wide range of modes for motility. These include sliding which is a passive spreading of cells due to the push from the dividing cells; gliding—the use of focal adhesion complexes to attach to the surface to move—and twitching—**pilus** retraction as a means of pulling itself forward. These modes of movement (except sliding) are single-cell motilities and this is where swarming stands apart. Swarming is a mode of motility on semi-solid surfaces where the cells make use of flagella and by far it is the fastest mode of motility bacteria use on a surface². The striking feature of the swarming motility is that unlike the other modes, swarming is a **quorum-sensing** dependent collective movement of cells in many if not all swarming bacteria. A list of swarming bacteria with different features is presented in Table 1.

2 Swarm Patterns and Plasticity

Swarming bacteria are recognizable by the pattern they produce during swarming as shown for a few in Fig. 2. *Proteus mirabilis* has unique

swarming behavior. Unlike other species that have a single initiation into the swarming phase, *P. mirabilis* proceeds through iterative swarming and consolidation (**dedifferentiation**) steps to create a bulls-eye pattern of colony³ (Fig. 2). *Pseudomonas aeruginosa* forms a swarm composed of tendrils or dendrites. *Paenibacillus dendritiformis* forms a curly branched pattern. *Escherichia coli* and *Rhizobium etli* show no pattern. *Bacillus subtilis* forms a featureless mat.

The **plasticity** of swarm patterns has been investigated at the phenomenological and molecular levels in *P. aeruginosa*. This bacterium does not swarm on nutrient-rich media such as brain heart infusion agar or Luria–Bertani agar, but it can swarm easily on minimal media or peptone growth media (Fig. 4); however, the number of dendrites and area coverage are dependent on media used⁴. Tendrils in *P. aeruginosa* swarms can sense other tendrils and change the direction of movement⁵. Recent studies also show that tendrils of a *P. aeruginosa* swarm can avoid non-biological and inert obstacle reflecting the plasticity of swarming⁶. Bacteria taken from non-swarming (nutrient-rich) media can easily form dendrites when introduced to minimal medium (unpublished observation from Varsha Singh) suggesting adaptability of the bacteria. It is believed that a trace element present in rich media prevents swarming. For example, iron limitation induces swarming in *P. aeruginosa* while an excess of iron

Flagellum: A lash-like locomotory appendage extending from the cell membrane. It is associated with a motor protein for rotation, driven by a proton or sodium ion pump.

Pilus (plural pili): A hair-like appendage on the bacterial cell surface to facilitate adhesion, infection, and conjugation.

Quorum sensing: A mechanism by which bacterial populations regulate gene expression or behavior depending upon cell density in the population.

Dedifferentiation: Reversal of cell type to its previous form.

Plasticity (or phenotypic plasticity): “The ability of an individual organism to alter its phenotype (observable traits of an organism) in response to changes in environmental conditions”⁷³.

¹ Department of Molecular Reproduction, Development and Genetics, Indian Institute of Science, Bangalore 560012, India. *varsha@iisc.ac.in

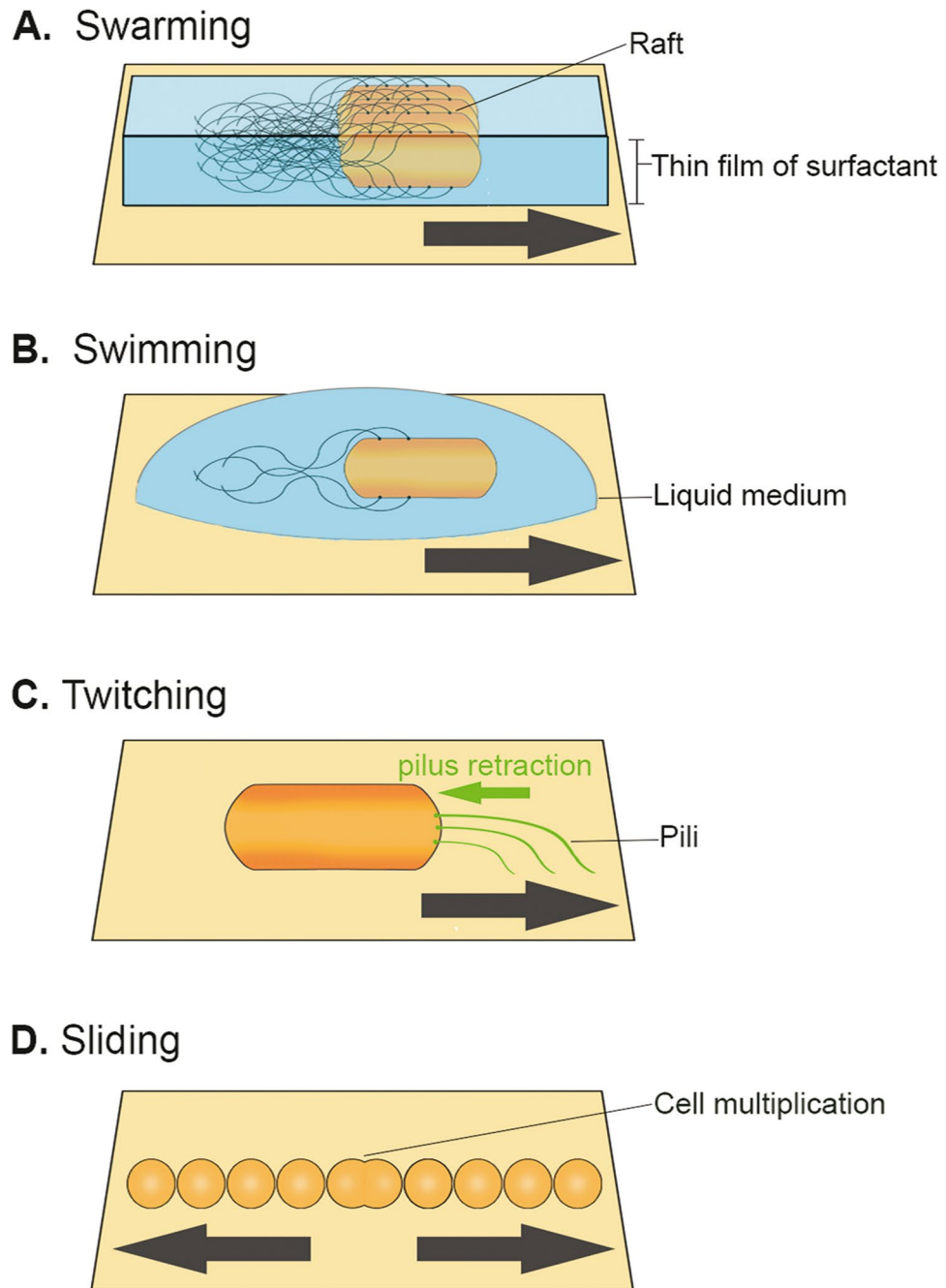


Figure 1: Modes of locomotion in bacteria.

can suppress swarming⁷. Phosphate limitation can also induce swarming⁸. There is evidence to suggest that the number of flagella at the pole of *P. aeruginosa* rod-shaped cells determines the pattern of swarming. Mutation in *fleN*, a regulator of flagella number, can generate 2–5 flagella per cell and causes loss of dendrites in a *P. aeruginosa* swarm. While non-flagellated mutants are non-swarmers, multi flagellated *fleN* mutants have a swarm pattern resembling that of *E. coli* and are

classified as hyperswarmers⁹. The ratio of non-flagellated and flagellated cells can determine swarm patterns¹⁰. Studies in *P. aeruginosa* indicate that both environmental and genetic perturbations can influence swarming motility in this bacterium making it a plastic behavior. As discussed later, plasticity allows the bacterium to swarm over antibiotics and to spread when the host is compromised.

Table 1: Some of the swarming bacteria with their flagella type, quorum sensing requirement, and surfactant.

Name	Flagella type in swarming	Quorum sensing during swarming	Surfactant
<i>Proteus spp.</i>	Peritrichous ⁴¹	Yes ¹⁹	capsular polysaccharide
<i>Salmonella spp.</i>	Peritrichous ⁴²	?	LPS (?) ²⁷
<i>Bacillus subtilis</i>	Peritrichous ⁴³	Yes ¹⁹	Surfactin ²⁵
<i>Escherichia coli</i>	Peritrichous ⁴²	Yes ¹⁹	LPS (?) ²⁷
<i>Pseudomonas aeruginosa</i>	Lophotrichous ¹⁶	Yes ¹⁹	Rhamnolipid, HAA ^{16, 26}
<i>Serratia marscescens</i>	Peritrichous ²⁰	Yes ¹⁹	Serrawettin ²⁴
<i>Vibrio spp.</i>	Peritrichous ⁴⁴	Yes ¹⁹	?
<i>Burkholderia dolosa</i>	Polar/Peritrichous ⁴⁵	Yes ²³	Rhamnolipid ²³
<i>Yersinia enterocolitica</i>	Peritrichous ⁴⁶	Yes ⁴⁷	?
<i>Aeromonas spp.</i>	Peritrichous ⁴⁴	?	?
<i>Azospirillum spp.</i>	Peritrichous ⁴⁴	Yes ⁴⁸	?
<i>Clostridium tetani</i>	Peritrichous ⁴⁹	?	?
<i>Chromobacterium spp.</i>	Peritrichous ⁵⁰	?	?
<i>Rhizobium leguminosarum</i>	Subpolar ⁵¹	Yes ²²	Long-chain N-acyl homoserine lactones ²²
Myxobacteria	No flagella ⁵²	?	

3 Substratum and Swarming

The bacterial cells undergo **differentiation** for swarming upon a semi-solid surface. The solid nature of the surface is crucial for this motility. In the laboratory, swarming is observed on a bacterial growth medium solidified with a moderate concentration of agar, though a few species can swarm on a higher concentration of agar (up to 3%)¹¹. Swarmer bacteria can be classified into two groups on the basis of the percentage of agar it can swarm on. Robust swarmer can swarm across hard agar surfaces of up to 3% agar while temperate swarmer can swarm only on softer agar surface (0.5–0.8% agar). *Proteus mirabilis* and *Vibrio spp.* are robust swarmer while *Escherichia coli*, *Salmonella spp.*, *Bacillus spp.*, and *Pseudomonas spp.* are temperate swarmer¹². On a single swarming surface, different bacteria can produce different patterns of the swarm (Fig. 2). It is also dependent on media used⁴ (Fig. 4). This suggests that swarming pattern is rather plastic.

How do bacteria sense the substratum/surface to switch their physiology and morphology to become swarm proficient? In *V. parahaemolyticus*, the role of flagella in sensing appears to be important. When cells encounter increased viscosity, the flagella rotation is restricted and there is a decrease in sodium-ion flow into flagellar motor proteins. The reduction of flagellar rotation triggers the induction of lateral flagella. Hence

flagella serve a dual role of motility appendage and **dynamometer**^{13–15}. Interestingly, in *P. aeruginosa*, type IV pili are also required for swarming¹⁶. Type IV pili are involved in surface sensing¹⁷ and they may perform the same function on the swarm surface or they facilitate locomotion.

4 Swarm Lag

When cells are transferred from the planktonic phase to a soft agar surface, there is a period of growth due to expansion (sliding) where the cells multiply and grow as a circular colony without a recognizable swarm pattern. This period is called the swarm lag. The duration of swarm lag depends on the species and the nutrient media used⁴. It is believed that the swarm lag is used to produce wetting agents or surfactants which facilitate the movement of a population of cells since the surface tension on the agar surfaces is not suitable for the movement of the cells. In many cases, the **surfactant** production is dependent on reaching a certain cell density and quorum sensing¹⁸. Swarm lag can be reduced with an increase in cell density transferred to swarm agar plate¹⁸. This suggests that a specific threshold of cells is required to initiate swarming motility. This could be in turn due to insufficient wetting agent or surfactant production, which is under the regulation of quorum

Differentiation: A process by which a cell changes from one type to another with a defined function.

Dynamometer: A device used to measure force, torque or power.

Surfactant: Molecules which lower the surface tension between a liquid and another phase.

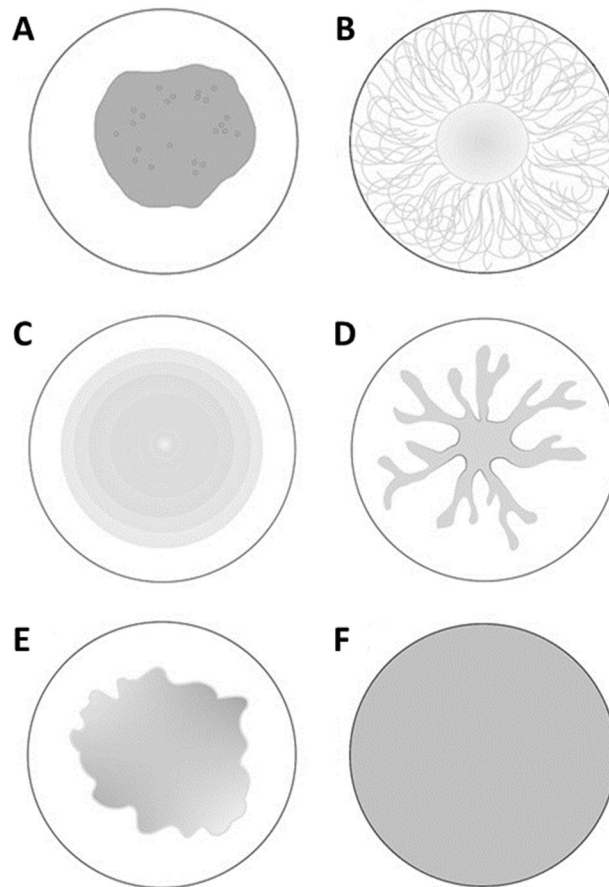


Figure 2: Examples of swarm patterns seen in nature. Swarm patterns of **a** *Escherichia coli*, **b** *Paenibacillus dendritiformis*, **c** *Proteus mirabilis*, **d** *Pseudomonas aeruginosa*, **e** *Rhizobium etli*, **f** *Bacillus subtilis*.

sensing^{19–23}. Hence, the bacterial cells collectively secrete surfactant molecules to modify the surface properties. Providing purified surfactant to a freshly spotted *B. subtilis* significantly reduced their swarm lag¹⁸, this could mean that there is a critical amount of surfactant, not cell density per se, required to initiate swarming. An increase in cell density could allow the population to reach the critical surfactant amount quickly.

The surfactant molecules, mostly glycolipids or lipopeptides, vary from species to species. These include surfactin produced by *B. subtilis*; serrawettin by *Serratia* spp.; long-chain *N*-acyl homoserine lactones by *Rhizobium* spp., capsular polysaccharide by *Proteus mirabilis*; rhamnolipid and its precursor HAA (3-(3-hydroxyalkanoyloxy) alkanolic acid) by *Pseudomonas aeruginosa*^{16, 18, 24–26}. LPS-O-antigen is believed to be used by *Salmonella* spp. and *E. coli*²⁷. In many species, surfactant production is under the regulation of quorum sensing^{19–23}. In *P. mirabilis*, Rsba a sensory protein is involved in sensing the environment to

initiate swarming motility³. In *Serratia*, an auto-inducer identified as *N*-butanoyl-L-homoserine lactone (BHL) is involved in quorum sensing. In *P. aeruginosa* also quorum sensing is a core requirement to initiate swarming and surfactant production²⁸. In all, swarm lag is used by different bacteria to generate surfactant using a number of strategies, most relying on reaching a high cell density.

5 Heterogeneity in Swarming Population: Cell Elongation

One notable difference in swarming and swimming cells is that the former is longer. In *B. subtilis*, the cell aspect ratio of 4.9, of **wild type** cells, supports effective swarming when compared with mutants of either lower or higher aspect ratios²⁹. Cells can become elongated due to inhibition of cell division³⁰. The proliferation of cells happens in swarmer cells of *V. parahaemolyticus* in a length-dependent fashion. The shorter cells divide in the middle while longer cells divide at a

Wild type: A phenotype and genotype (genetic makeup) found in nature and used as a reference in research.

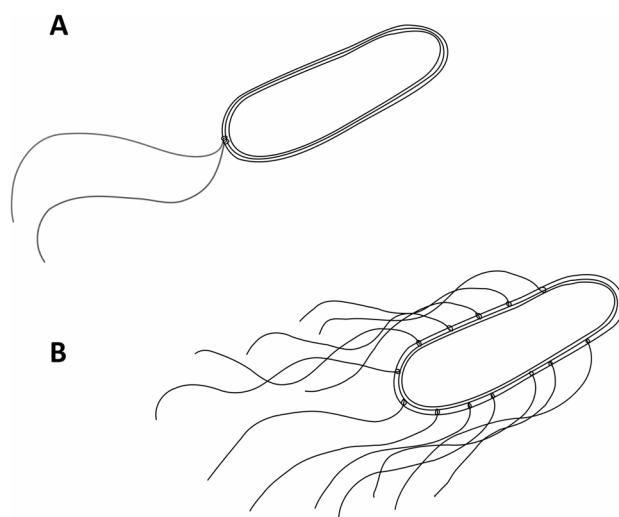


Figure 3: Types of flagella. **a** Lophotrichous, **b** Peritrichous.

distance away from the middle ensuring that there are enough elongated cells after the divisions³¹. This ensures that elongated cells have more area to accommodate lateral flagella and adequate cell-to-cell contact to increase swarming efficiency³². In *P. mirabilis*, the length of individual cells increased with an increase in agar concentration and with enhanced population migration³³. Swarming proficiency associated with cell elongation comes with a trade-off, the elongated cells have a higher susceptibility to osmotic pressure and antibiotics due to changes in thickness and composition of peptidoglycan cell walls³⁴. In general, cell elongation is more common in robust swimmers than in temperate swimmers¹².

6 Heterogeneity in Swarming Population: Modification of Flagella

As motility is a requirement of swarming, flagella being the major motility appendage in bacteria undergoes several modifications during swarming. Swarm proficient cells have additional **peritrichous** flagella in *Aeromonas caviae*³⁵ or a second polar flagellum (**lophotrichous**) in *P. aeruginosa*^{16,36} (Fig. 3). The latter can also produce a specialized set of stator motors associated with flagella³⁶ that enhance the propeller function of the flagellum. These modifications help swarmer cells to overcome surface friction and might also help in hydration³⁷. The morphological changes in bacteria upon exposure to swarming agar is important for their differentiation into swarm proficient cells. When differentiated cells are

directly transferred onto a new surface, they swarm without any lag¹⁸. These cells are able to revert to swimmers when exposed to liquid media³⁸.

In many bacteria, regulators of flagella are upregulated upon exposure to a solid surface and overexpression of such a regulator can reduce the swarm lag. In *E. coli*, the presence of additional flagella makes cells swarm proficient without a lag³⁹. The experimental evolution of *P. aeruginosa* produces hyperswarmer populations with a mutation in a flagella synthesis regulator called *fleN*⁹. Many bacteria produce additional flagella during swarm lag^{13,18,40}.

7 Chemotaxis and Swarming

Chemotaxis is the movement of organisms to or away from chemicals. Swimming is chemotaxis-driven motility. The cells swim towards nutrients and away from harmful substances. Chemotaxis is an essential component of swarming in certain species. In *Myxococcus xanthus*, the rate of swarm expansion increases in presence of prey⁵³. In *Vibrio parahaemolyticus* and *Serratia marcescens*, iron limitation induces swarming and the mutation in iron acquisition genes affects swarming^{54,55}. Swarming is induced when certain amino acids like glutamate, aspartate, histidine, or proline are provided as the sole nitrogen source in the agar media¹⁶. In *E. coli*, chemotaxis systems sensing serine, aspartate and maltose are required for swarming, while the identity of **chemoeffector** remains unknown⁵⁶. Many other species also show a similar requirement of chemotaxis

Peritrichous: Arrangement of flagella on all sides of a rod-shaped cell, usually along the length of the cell (Fig. 3).

Lophotrichous: Multiple flagella arise from a single point of the cell, usually at the polar end (Fig. 3).

Chemoeffector: An attractant or repellent molecule to which the chemotaxis system responds.

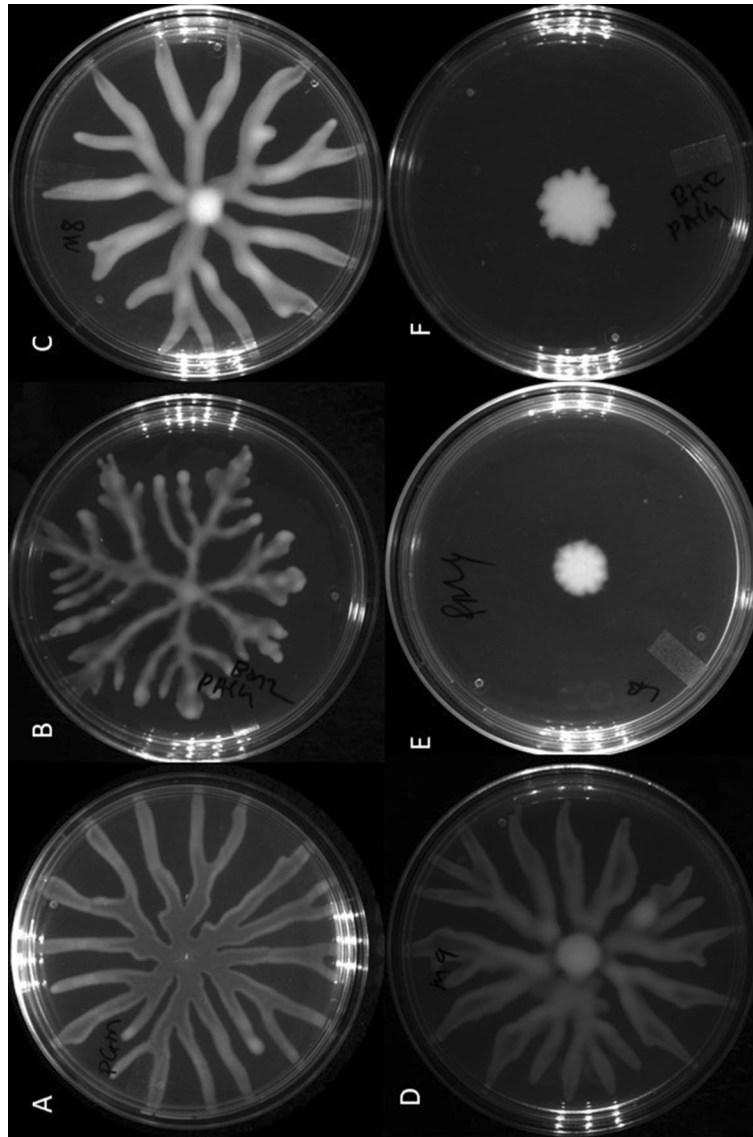


Figure 4: Media dependent plasticity in swarming in *Pseudomonas aeruginosa* PA14. A 24 h swarm on **a** Peptone growth medium (PGM)-0.6% agar, **b** BM2 minimal medium-0.6% agar, **c** M8 minimal medium-0.6% agar, **d** M9 minimal medium-0.6% agar, **e** Luria-Bertani (LB)-0.6% agar and **f** Brain heart Infusion (BHI)-0.6% agar.

systems^{18, 57}. Some exceptions to this rule exist in *P. mirabilis* and *Rhodospirillum centenum* where swarming appears to be unaffected by mutations in chemotaxis systems^{58, 59}.

8 Collective Movement in a Swarm

In a swarming population, peritrichous flagella on individual cells bundle together and rotate in a counter-clockwise direction to push the fluid behind. The cells swarm in a thin film of surfactant with a height close to the cell width on the agar surface⁶⁰ (Fig. 1). Frequently, a cell can undergo a tumble when a single flagellum rotates in the opposite direction opening the flagellar bundle and changing the orientation of the cell. This can play a role in short-range interactions⁶¹.

Bacteria in a moving swarm also orient their flagella to form a packet of cells called a **raft**, with the cells having the same direction and motility (Fig. 1). In the swarm interior, each cell tries to swim straight but collides with other cells. These collisions keep the population in a consistent direction⁶² leading to the formation of rafts. Cells move in and out of rafts continuously. Raft formations promote swarming as the cells isolated from these rafts becomes non-motile but regain motility when returned to the raft^{2, 63}. This evidence again supports the fact that swarming is indeed a collective movement. In *B. subtilis*, extracellular proteolytic activity contributes to swarming. Though the exact reason for this is not known, it is speculated that proteolytic cleavage of certain cell surface proteins enhances cell-to-cell interactions and raft formation⁶⁴.

Microscopy studies on *E. coli* show that at the edge of swarm there are cells that are jammed and stalled^{32, 62, 65}. These non-motile cells continue to rotate their flagella to pump fluid to the virgin agar ahead³². This layer is followed by highly motile cells in the interior which sometimes dart through the layer of jammed and stalled cells only to become a part of it. When stalled cells in the lagging layers encounter moving cells, they reverse their direction by switching the direction of flagellar rotation (without changing the cell orientation), join the motile layer and regain their forward motility^{32, 62}. Modeling based on experimental observations in *E. coli* suggests that the flow of wetting fluid around the swarm in a clockwise direction could be used for long-range communication. This constitutive flow is speculated to be achieved by the rotation of flagella sticking outward from the swarm⁶⁰.

The movement of the cells in a population of *B. subtilis* and *S. marcescens* follows

super-diffusion consistent with Levy walks⁶⁶. It is defined as a movement composed of longer displacements between shorter localized movements. This is likely to be facilitated by the collective flow of the population, rather than a single cell-based mechanism. This movement is widely used to describe foraging mechanisms in other systems⁶⁶. Bacteria could also use swarming as a foraging mechanism along with other goals.

Is swarming a surface phenomenon? Can swarming happen in the planktonic or liquid phase of bacterial growth? These questions often initiate discussions on the physics of coordinated motion in liquid and on dilution effects in the liquid phase. Swimming motility of bacteria in the liquid phase as well as swarming motility on surface have shared features such as the use of flagella. Unlike swarming, swimming does not depend on quorum or on surfactant. However, bacteria can produce quorum signals as well as some surfactants in liquid phase making swarming a possibility in the liquid phase of growth. However, a number of things might make swarming hard to achieve in liquid phase: (i) surface sensing might be necessary to achieve threshold level of surfactant, (ii) surfactant can readily diffuse or dilute away in liquid phase and (iii) random collision required for raft formation is less likely to happen in three-dimensional liquid phase. Molecular and system-level understanding of swarming in different bacteria such as the effect of surface sensing on flagella, raft formation, and surfactant production will enhance our understanding.

9 Division of Labor in a Swarm

In a swarm, the population at the center of the colony have more **vegetative cells** compared to elongated, multi-flagellated cells at the growing edges. This is also reflected in the level of gene expression⁶⁷. Cells at the center express genes involved in oxidative and copper stress responses, while those at the edges show upregulation of genes involved in energy metabolism including components of electron transport chain and ATP production. This along with the observations of **biofilm**-like biomass at the center of *P. aeruginosa* swarm suggests that cells at the edges take up the role of expanding into new surfaces, while the vegetative cells establish new settlements⁶⁷. Another study suggests that shorter cells (presumably undifferentiated) of *V. parahaemolyticus* are released from flooded swarm colonies into a liquid environment, but the longer cells remain stuck to the surface. Thus, swarm colonies can

Raft: An arrangement of bacterial cells along their length.

Vegetative cells: Refers to the cells which have not differentiated to swarming state or which has dedifferentiated from a swarming state.

Biofilm: A group of cells adhering to each other using secreted extracellular matrix to form a functional community. It can evade the immune system of hosts and share nutrients.

serve as a source of cells for colonisations elsewhere⁶⁸.

10 Advantages of Swarming

Proteomic and lipidomic studies comparing swimmer and swarmer populations of *Paenibacillus polymyxa* show upregulation of genetic regulators involved in signaling, antibiotic resistance and surfactant production in swarming populations⁶⁹. Swarming *P. aeruginosa* shows resistance to as many as 13 different antibiotics⁷⁰. This resistance is believed not to be an intrinsic ability of the cells, but an effect of multicellularity and rapid movement. Upon encountering an antibiotic patch, the swarming population continues to swarm such that a small fraction of the population dies acting as a shield for the rest of the population¹¹. **Virulence** and invasion capabilities of *P. mirabilis* have also been attributed to its swarming behaviour⁷¹. Swarming *P. aeruginosa* also shows upregulation of virulence-related genes⁷². Effect of swarming on antibiotic resistance and virulence has therapeutic implications in the field of medicine.

Virulence: Ability of a microbe to infect and harm a host organism.

11 Future Perspectives

A wide range of bacterial species engage in swarming motility. An increase in speed, collective antibiotic resistance, and virulence-associated with swarming suggests that swarming motility might have evolved in bacteria to impart survival advantage in a competitive environment. Specific chemotactic cues for swarming and external factors that influence this behavior are of clinical and medical importance. Bacterium specific pattern of swarming suggests that continued investigation will lead to the discovery of additional environmental factors, flagella modulation, and surfactant production strategies. Patterns of swarming also present an interesting problem for understanding and modeling of fluid flow, resource allocation and optimal utilization of space by bacteria on a swarming surface.

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evolution.

Rahul Jose I'm a fifth-year BS-MS student at the Indian Institute of Science. Currently, I'm working on cooperation and cheating in the swarmer population of *Pseudomonas aeruginosa* in Varsha Singh's Lab. My interests are in cooperative behavior and



Varsha Singh She is an Assistant Professor in the division of Biological Sciences at the Indian Institute of Science. Her research interests include the evolution of sensory mechanisms in bacteria and animals that allow them to adapt and improve survival in changing environments. Her work focuses on olfactory receptors in *Caenorhabditis elegans* and on two-component system of signaling in *Pseudomonas aeruginosa*.