

Dielectric-lined rectangular metal waveguide

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Abstract

The propagation characteristics of electromagnetic waves in a dielectric-lined rectangular metal waveguide have been studied. The lining on the two side walls (E-plane) together with the air space in between them is considered as a homogeneous equivalent dielectric medium whose equivalent dielectric constant is derived by using electrostatic theory. The theoretical work is based on the fact that LSE and LSM modes can be propagated in a rectangular metal waveguide lined in the two longer sides (H-plane) by dielectric lining. The phase constant, guide wavelength, phase velocity, cut-off frequency, relative intensities, power flow, attenuation constant and power handling capacity of different LSE_{nm} and LSM_{nm} modes have been determined. Experimental verification of the guide wavelength at 'X', 'ku' and 'Ka' bands and cut-off frequency are reported.

Key words: Inhomogeneous waveguide, LSE and LSM modes

1. Introduction

The successful development of microwave techniques and their utilization for microwave communication created interest in the design and development of various types of microwave components based on the properties of inhomogeneous waveguides, viz., guides partially loaded with dielectrics, ferrites, etc. Introduction of dielectrics or ferrites in waveguides results in changes of (i) cut-off frequency, (ii) phase constant, (iii) power flow, (iv) band width, (v) phase velocity, (vi) attenuation constant, etc., and may in some cases permit the use of a guide of smaller cross-section for a given cut-off frequency.

Previously several workers have studied rectangular waveguides loaded by dielectric slabs in the H-plane¹⁻⁶ and rectangular waveguides loaded by dielectric slabs in the E-plane⁹⁻²². The square waveguide with a dielectric lining has been studied by Tsandoulas²³. In most of these cases, E or H modes and in some cases LSE, LSM and EH modes have been considered.

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In this paper an attempt has been made to make an exhaustive analytical study of as many aspects as possible of the problem of propagation of electromagnetic waves in a dielectric-lined rectangular metal waveguide and verify experimentally some of the theoretical results. The theoretical work has been based on the fact that LSE and LSM modes can be propagated in a rectangular metal waveguide lined on two sides by dielectric lining.^{4,5,7} The lining on the two side walls (*E*-plane) together with the airspace in between them is considered as a homogeneous equivalent dielectric medium whose equivalent dielectric constant has been derived by using electrostatic theory. The work has been motivated by the fact that there is sufficient scope for theoretical and experimental work on the propagation characteristics of a dielectric-lined rectangular metal waveguide on which very little information is available in published literature, and it is felt that this will add to our knowledge of the subject.

Deriving the field components for LSE and LSM modes using Hertz potentials, and applying boundary conditions, the characteristic equations have been derived and solved numerically for varying parameters like dielectric constant and thickness of dielectric coating. The modal analysis shows that the propagating modes can be classified into two categories, namely, (i) completely sinusoidal and (ii) partly sinusoidal and partly hyperbolic. Relative intensities of different LSE_{*m**n*} and LSM_{*m**n*} modes, the power flow, attenuation constant, cut-off frequency, phase velocity, group-velocity and power handling capacity (by two methods) have been determined. Experimental verification of the guide wavelength at *X*, 'Ku' and 'Ka' bands and of the cut-off frequency are reported,

2. Geometry of the problem

The dielectric-lined waveguide (Fig. 1) is divided into five regions and the structure is modified (Fig. 2) by using the concept of equivalent dielectric constant as explained later. It is assumed that the walls of the metal waveguide have infinite conductivity, the dielectric lining has constants ϵ_{rs} , $\mu_r = 1$, $\sigma = 0$, and the equivalent dielectric region 1 of Fig. 1, *b* has constants ϵ_{req} , $\mu_r = 1$ and $\sigma = 0$.

Considering the three regions 1, 4 and 5 of Fig. 1 as equivalent to three capacitors in series, the equivalent dielectric constant ϵ_{req} of the composite medium made up of the above three media is derived as follows:

$$\frac{1}{A_1 \epsilon_0 \epsilon_{req}} = \frac{1}{A_1 \epsilon_0 \epsilon_{rs}} + \frac{1}{A_1 \epsilon_0 \epsilon_{rs}} + \frac{1}{A_1 \epsilon_0 \epsilon_{rs}} \quad (1)$$

where A_1 = area of the capacitor plate

ϵ_0 = permittivity of free space

and d = thickness of the dielectric lining

Solving eqn. (1) for ϵ_{req} , we obtain

$$\epsilon_{req} = \frac{a \epsilon_{rs} \epsilon_{rs}}{2d \epsilon_{rs} + \epsilon_{rs} (a - 2d)} \quad (2)$$

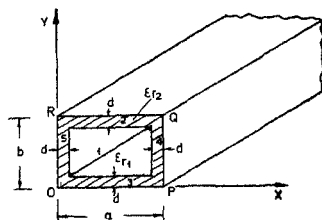


FIG. 1a. Dielectric-lined rectangular waveguide.

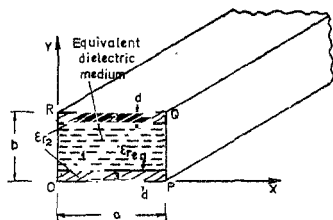


FIG. 1b. Equivalent of Fig. 1a.

d —Dielectric lining thickness; a —Width of the guide; b —Height of the guide; ϵ_{res} —Equivalent dielectric constant.

FIG. 1. Geometry of the problem.

3. Field components

It is known that a rectangular metal waveguide loaded with dielectric lining on the top and bottom H -plane faces (Fig. 2), supports LSE and LSM modes^{7,14}. Each of these modes are characterized by five field components with $E_y = 0$ and $H_y = 0$ in the case of LSE and LSM modes respectively. The field components of the LSE mode are derived in terms of a magnetic type Hertzian vector potential $\vec{\pi}_M$ and the field components of the LSM mode are derived in terms of an electric type Hertzian vector potential $\vec{\pi}_E$.

4. LSE_{mn} mode

The magnetic-type Hertzian vector potential $\vec{\pi}_M$ can be expressed as

$$\vec{\pi}_M = a_y \psi_M \quad (3)$$

where the magnetic scalar potential is given by

$$\psi_M = f(x)g(y) \exp(j\omega t - \gamma_{mn} z). \quad (4)$$

The electric and magnetic field vectors \vec{E} and \vec{H} are given by

$$\vec{E} = -j\omega\mu_0\mu_r \nabla \times \vec{\pi}_M \quad (5)$$

$$\vec{H} = \nabla \times \frac{\vec{E}}{-j\omega\mu_0\mu_r} \quad (6)$$

where μ_0 = permittivity of free space = $4\pi \times 10^{-7}$ henry/meter. The field components derived from eqns. (5) and (6) are given by

$$E_{z1} = -j\omega\mu_0\mu_r \gamma_{mn} \psi_M \quad (7)$$

$$E_{y1} = 0 \quad (8)$$

$$E_{x1} = -j\omega\mu_0 \mu_r \frac{\partial \psi_M}{\partial x} \quad (9)$$

$$H_{z1} = \frac{\partial^2 \psi_M}{\partial x \partial y} \quad (10)$$

$$H_{y1} = -\left(\gamma_{mn}^2 \psi_M + \frac{\partial^2 \psi_M}{\partial x^2}\right) \quad (11)$$

$$H_{x1} = -\gamma_{mn} \frac{\partial \psi_M}{\partial y} \quad (12)$$

Where ψ_M satisfies the scalar Helmholtz equation

$$\frac{\partial^2 \psi_M}{\partial x^2} + \frac{\partial^2 \psi_M}{\partial y^2} + (\gamma_{mn}^2 + k_0^2 \mu_r \epsilon_r) \psi_M = 0 \quad (13)$$

where

$$k_0 = \omega \sqrt{\epsilon_0 \mu_0}$$

$i = 1, 2, 3$ indicating the regions 1, 2, 3 (Fig. 2)

$$\epsilon_{r2} = \epsilon_{re0}$$

$$\epsilon_{r3} = \epsilon_{r1}$$

The solution of eqn. (13) is of the form

$$\psi_M = \left\{ \begin{matrix} \sin \\ \cos \end{matrix} \right\} (k_{y1} y) \left\{ \begin{matrix} \sin \\ \cos \end{matrix} \right\} (k_x x) \exp(j\omega t - \gamma_{mn} z) \quad (14)$$

where k_{y1} and k_x denote the transverse propagation constants in the y and x directions respectively. The values of k_x are discrete and equal to $m\pi/a$, where $m = 0, 1, 2$, which are determined by applying the proper boundary conditions that $E_x = 0$ at $x = 0$ and at $x = a$.

Assuming that the guide is lossless the longitudinal propagation constant can be written as

$$\gamma_{mn} = j\beta_{mn} \quad (15)$$

where β_{mn} should be real for propagation to exist.

Equation (14) can be written in the form

$$\psi_M = g(y) \cos \frac{m\pi}{a} x \exp(j\omega t - \beta_{mn} z). \quad (16)$$

The field components in regions 1,2,3 of Fig. 1 b, derived with the aid of eqns. (7) to (12), are as follows:

$$E_{y_1} = \omega\mu_0\mu_r \beta_{mn} [C_i \sin k_{y_1} y + D_i \cos k_{y_1} y] \cos \frac{m\pi}{a} x \exp(-j\beta_{mn} z) \quad (17)$$

$$E_{y_2} = 0 \quad (18)$$

$$E_{y_3} = j\omega\mu_0\mu_r \frac{m\pi}{a} [C_i \sin k_{y_1} y + D_i \cos k_{y_1} y] \sin \frac{m\pi}{a} x \exp(-j\beta_{mn} z) \quad (19)$$

$$H_{x_1} = -\frac{m\pi}{a} k_{y_1} [C_i \cos k_{y_1} y - D_i \sin k_{y_1} y] \times \sin \frac{m\pi}{a} x \exp(-j\beta_{mn} z) \quad (20)$$

$$H_{y_1} = \left(\beta_{mn}^2 + \frac{m^2\pi^2}{a^2} \right) [C_i \sin k_{y_1} y + D_i \cos k_{y_1} y] \times \cos \frac{m\pi}{a} x \exp(-j\beta_{mn} z) \quad (21)$$

$$H_{x_3} = -j\beta_{mn} k_{y_1} [C_i \cos k_{y_1} y - D_i \sin k_{y_1} y] \cos \frac{m\pi}{a} x \exp(-j\beta_{mn} z) \quad (22)$$

where $i = 1, 2, 3$ respectively in the three regions 1, 2, 3 and $k_{y_3} = k_{y_1}$. The harmonic time dependence $e^{j\omega t}$ has been omitted for the sake of convenience.

The boundary conditions at the interface of the different regions are:

Between regions 1 and 2, i.e., at $y = (b - d)$,

$$E_{y_1} = E_{y_2}, \quad H_{x_1} = H_{x_2}, \quad E_{z_1} = E_{z_2}, \quad H_{z_1} = H_{z_2} \quad (23)$$

Between regions 1 and 3, i.e., at $y = d$,

$$E_{y_1} = E_{y_3}, \quad H_{x_1} = H_{x_3}, \quad E_{z_1} = E_{z_3}$$

$$\text{and } H_{z_1} = H_{z_3} \quad (24)$$

$$\text{At } y = b, \quad E_{y_2} = E_{z_2} = 0 \quad (25)$$

$$\text{At } y = 0, \quad E_{y_3} = E_{z_3} = 0. \quad (26)$$

The conditions (25) and (26) give

$$D_2 = -C_2 \tan(k_{y_2} b) \quad (27)$$

$$\text{and } D_3 = 0. \quad (28)$$

Applying the boundary conditions (23) and (24) and using eqns. (27) and (28), we obtain

$$C_1 \sin(k_{y_1} y) + D_1 \cos(k_{y_1} y_1) - C_3 \sin(k_{y_3} y_1) = 0 \quad (29)$$

$$C_1 k_{y_2} \cos k_{y_2} y_1 - D_1 k_{y_2} \sin(k_{y_2} y_1) - C_3 k_{y_3} \cos(k_{y_3} y_1) = 0 \quad (30)$$

$$\cos(k_{y_2} b) [C_1 \sin(k_{y_2} y_2) + D_1 \cos(k_{y_2} y_2)] + C_2 \sin(k_{y_2} y_1) = 0 \quad (31)$$

$$\cos(k_{y_2} b) [C_1 k_{y_2} \cos(k_{y_2} y_2) - D_1 k_{y_2} \sin(k_{y_2} y_2)] - C_2 k_{y_3} \cos(k_{y_3} y_1) = 0 \quad (32)$$

where $y_1 = d$, $y_2 = b - d$.

For a non-trivial solution of eqns. (29) to (32), the following characteristic equation is satisfied:

$$\begin{vmatrix} \sin(k_{y_1} y_1), \cos(k_{y_1} y_1), 0, -\sin(k_{y_3} y_1) \\ k_{y_2} \cos(k_{y_2} y_2), -k_{y_2} \sin(k_{y_2} y_2), 0, -k_{y_3} \cos(k_{y_3} y_1) \\ \cos(k_{y_2} b) \sin(k_{y_2} y_2), \cos(k_{y_2} b), \sin(k_{y_3} y_1), 0, \cos(k_{y_3} y_2) \\ k_{y_2} \cos(k_{y_2} b), -k_{y_2} \cos(k_{y_2} b), -k_{y_3} \cos(k_{y_3} y_2), 0, \\ \cos(k_{y_2} y_2) \times \sin(k_{y_2} y_2) \end{vmatrix} = 0 \quad (33)$$

which on simplification becomes

$$\begin{aligned} & k_{y_2}^2 \cos^2(k_{y_2} d) \sin k_{y_1} (b - 2d) \\ & + 2k_{y_2} k_{y_3} \cos(k_{y_2} d) \sin(k_{y_2} d) \cdot \cos k_{y_3} (b - 2d) \\ & - k_{y_3}^2 \sin^2(k_{y_2} d) \sin k_{y_1} (b - 2d) = 0 \end{aligned} \quad (34)$$

where

$$k_{y_2}^2 = \omega^2 \mu_0 \mu_r \epsilon_0 \epsilon_{\text{rod}} - \beta_{\text{mn}}^2 - \frac{m^2 \pi^2}{a^2} \quad (35)$$

and

$$k_{y_3}^2 = \omega^2 \mu_0 \mu_r \epsilon_0 \epsilon_{\text{rs}} - \beta_{\text{mn}}^2 - \frac{m^2 \pi^2}{a^2} \quad (36)$$

5. LSM_{mn} mode

The field components are derived in this case with the aid of the electric-type Hertzian vector potential $\vec{\pi}_e$ given by

$$\vec{\pi}_e = \mathbf{a}_y \psi_E \quad (37)$$

where the electric scalar potential ψ_E is given by

$$\psi_E = h(x) l(y) \exp(j\omega t - \gamma_{mn} z). \quad (38)$$

The magnetic and electric field vectors \mathbf{H} and \mathbf{E} are expressed as

$$\mathbf{H} = j\omega \epsilon_0 \epsilon_{r_i} \nabla \times \vec{\pi}_e \quad (39)$$

$$\mathbf{E} = \nabla \times \left(\frac{\mathbf{H}}{j\omega \epsilon_0 \epsilon_{r_i}} \right) \quad (40)$$

where $i = 1, 2, 3$ refer to the regions 1, 2, 3 in Fig. 2. The field components derived from eqns. (39) and (40) are given by

$$H_{x_i} = j\omega \epsilon_0 \epsilon_{r_i} \gamma_{mn} \psi_E \quad (41)$$

$$H_{y_i} = 0 \quad (42)$$

$$H_{z_i} = j\omega \epsilon_0 \epsilon_{r_i} \frac{\delta \psi_E}{\delta x} \quad (43)$$

$$E_{x_i} = \frac{d^2 \psi_E}{dx \delta y} \quad (44)$$

$$E_{y_i} = - \left(\gamma_{mn}^2 \psi_E + \frac{d^2 \psi_E}{dx^2} \right) \quad (45)$$

$$E_{z_i} = - \gamma_{mn} \frac{d\psi_E}{dy} \quad (46)$$

Proceeding in a similar way as in the case of the LSE_{mn} mode, the solution of the scalar Helmholtz eqn. (13) is of the form

$$\begin{aligned} \psi_E &= l(y) \sin \frac{m\pi}{a} x \exp(j\omega t - \gamma_{mn} z) \\ &= (G_i \sin k_{y_1} y + H_i \cos k_{y_1} y) \sin \frac{m\pi}{a} x \exp(j\omega t - \gamma_{mn} z) \end{aligned} \quad (47)$$

where $i = 1, 2, 3$ in the three regions 1, 2, 3.

Using eqns. (41) to (47), and applying the boundary conditions given by eqns. (23) to (26), we obtain the characteristic equation for LSM_{mn} modes as

$$\begin{aligned} &\epsilon_{r_1}^2 k_{y_2}^2 \cos^2(k_{y_2} d) \sin k_{y_2} (b - 2d) \\ &+ 2\epsilon_{r_0} \epsilon_{r_2} k_{y_1} k_{y_2} \cos(k_{y_2} d) \sin(k_{y_2} d) \cos k_{y_2} (b - 2d) \\ &- \epsilon_{r_0}^2 k_{y_2}^2 \sin^2(k_{y_2} d) \sin k_{y_2} (b - 2d) = 0 \end{aligned} \quad (48)$$

where $k_{y_1}^2$ and $k_{y_2}^2$ are given by eqns. (35) and (36),

6. Solution of the characteristic equations for LSE and LSM modes

It is found that the solution of the characteristic eqns. (34) and (48) for LSE_{m_n} and LSM_{m_n} modes respectively, exist only when the transverse propagation constant k_{y_2} is real. But k_{y_1} may be real or imaginary, which means that

$$\omega^2 \mu_0 \mu_r \epsilon_0 \epsilon_{r2} > \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right) \quad (49)$$

In order that k_{y_2} is real, but k_{y_1} is real or imaginary, the following inequality condition must be satisfied.

$$\omega^2 \mu_0 \mu_r \epsilon_0 \epsilon_{r2} \geq \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right), \quad (50)$$

which means that $|k_{y_1}|^2$ is always greater than $|k_{y_2}|^2$. This is justified as the computation of ϵ_{r2} shows that ϵ_{r2} is always greater than ϵ_{r20} .

It is also observed that when k_{y_1} is always real and k_{y_2} is real, the characteristic equation is expressed only in terms of circular trigonometric sine and cosine functions. However, when k_{y_1} is imaginary and k_{y_2} is real, the characteristic equation will involve not only circular trigonometric functions but also hyperbolic functions. We may designate these solutions of eqns. (34) and (48) as (1) a completely sinusoidal mode (k_{y_1} and k_{y_2} are both real and positive) designated as Mode 1 and (2) partly sinusoidal and partly hyperbolic mode (k_{y_1} is imaginary and k_{y_2} is real and positive) designated as Mode 2.

If, however, k_{y_2} is imaginary, or in other words,

$$\omega^2 \mu_0 \mu_r \epsilon_0 \epsilon_{r2} < \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right) \quad (51)$$

the characteristic equation will involve only hyperbolic functions and the modes obtained from the solution may then be identified as completely hyperbolic mode. But when the characteristic equation involves only hyperbolic functions it is found that there is no solution. Hence it is concluded that the completely hyperbolic modes cannot exist. The non-existence of the completely hyperbolic modes can be justified by the following argument. The characteristic equation for this mode, if it exists, is given by:

$$\begin{aligned} & k_{y_1}^2 \cosh^2(k_{y_1} d) \sinh(k_{y_2} (b-2)) \\ & + 2k_{y_1} k_{y_2} \cosh(k_{y_1} d) \sinh(k_{y_2} d) \cosh(k_{y_2} (b-2d)) \\ & + k_{y_2}^2 \sinh^2(k_{y_1} d) \sinh(k_{y_2} (b-2d)) = 0 \end{aligned} \quad (52)$$

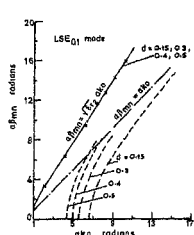


Fig. 2.1-Normalized phase constant α_{fm} vs. a/k_0 for LSE₀₁ mode

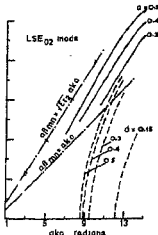


Fig. 2.2-Normalized phase constant α_{fm} vs. a/k_0 for LSE₀₂ mode

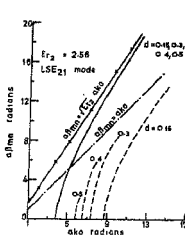


Fig. 2.3-Normalized phase constant α_{fm} vs. a/k_0 for LSE₂₁ mode

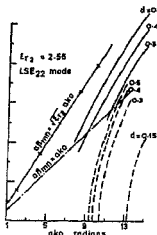


Fig. 2.4-Normalized phase constant α_{fm} vs. a/k_0 for LSE₂₂ mode

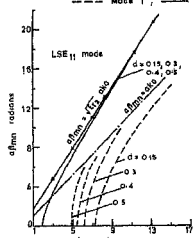


Fig. 2.3-Normalized phase constant α_{fm} vs. a/k_0 for LSE₁₁ mode

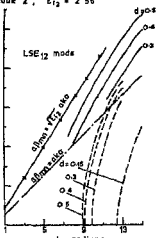


Fig. 2.4-Normalized phase constant α_{fm} vs. a/k_0 for LSE₁₂ mode

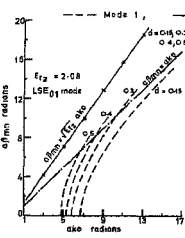


Fig. 2.7-Normalized phase constant α_{fm} vs. a/k_0 for LSE₀₁ mode

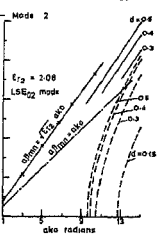


Fig. 2.8-Normalized phase constant α_{fm} vs. a/k_0 for LSE₀₂ mode

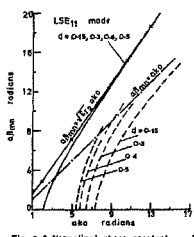


Fig. 2.9-Normalized phase constant α_{fm} vs. a/k_0 for LSE₁₁ mode

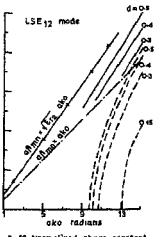


Fig. 2.10-Normalized phase constant α_{fm} vs. a/k_0 for LSE₁₂ mode

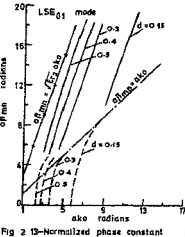


Fig. 2.13-Normalized phase constant α_{fm} vs. a/k_0 for LSE₀₁ mode

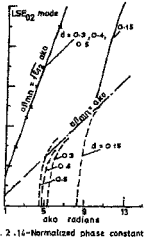


Fig. 2.14-Normalized phase constant α_{fm} vs. a/k_0 for LSE₀₂ mode

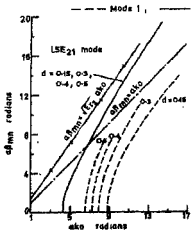


Fig. 2.11-Normalized phase constant α_{fm} vs. a/k_0 for LSE₂₁ mode

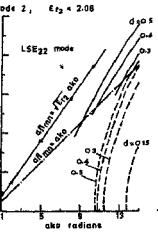


Fig. 2.12-Normalized phase constant α_{fm} vs. a/k_0 for LSE₂₂ mode

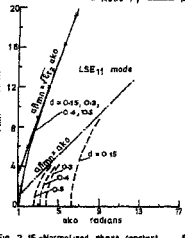


Fig. 2.15-Normalized phase constant α_{fm} vs. a/k_0 for LSE₁₁ mode

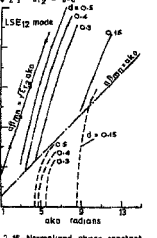


Fig. 2.16-Normalized phase constant α_{fm} vs. a/k_0 for LSE₁₂ mode

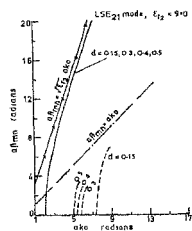


Fig 2 17-Normalized phase constant a_{fm} vs a_0 for LSE₂₁ mode

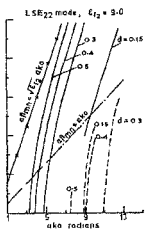


Fig 2 18-Normalized phase constant a_{fm} vs a_0 for LSE₂₂ mode

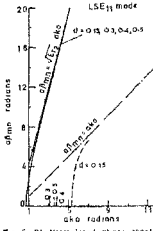


Fig 2 21-Normalized phase constant a_{fm} vs a_0 for LSE₁₁ mode

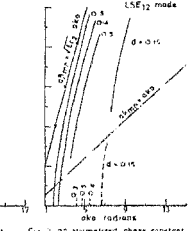


Fig 2 22-Normalized phase constant a_{fm} vs a_0 for LSE₁₂ mode

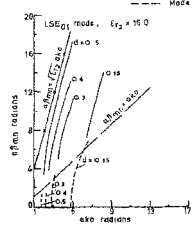


Fig 2 19-Normalized phase constant a_{fm} vs a_0 for LSE₂₁ mode

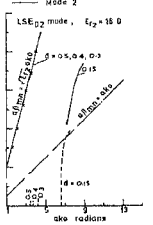


Fig 2 20-Normalized phase constant a_{fm} vs a_0 for LSE₂₂ mode

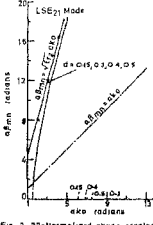


Fig 2 23-Normalized phase constant a_{fm} vs a_0 for LSE₂₁ mode

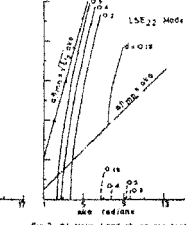


Fig 2 24-Normalized phase constant a_{fm} vs a_0 for LSE₂₂ mode

FIG. 2 -NORMALIZED PHASE CONSTANT a_{fm} vs a_0 FOR LSE MODE (Parameter being d)

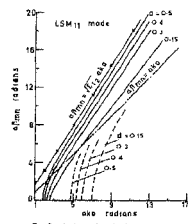


Fig 3 1-Normalized phase constant a_{fm} vs a_0 for LSM₁₁ mode

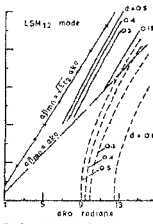


Fig 3 2-Normalized phase constant a_{fm} vs a_0 for LSM₁₂ mode

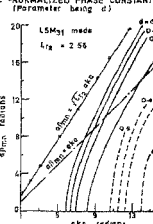


Fig 3 5-Normalized phase constant a_{fm} vs a_0 for LSM₁₁ mode

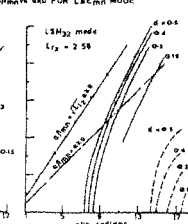


Fig 3 6-Normalized phase constant a_{fm} vs a_0 for LSM₁₂ mode

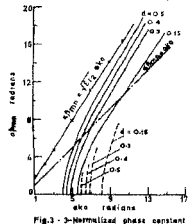


Fig 3 3-Normalized phase constant a_{fm} vs a_0 for LSM₁₂ mode

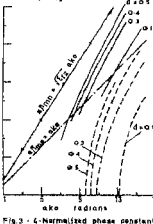


Fig 3 4-Normalized phase constant a_{fm} vs a_0 for LSM₁₂ mode

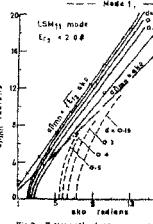


Fig 3 7-Normalized phase constant a_{fm} vs a_0 for LSM₁₁ mode

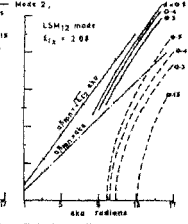


Fig 3 8-Normalized phase constant a_{fm} vs a_0 for LSM₁₂ mode

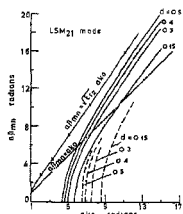


Fig. 3 - 5-Normalized phase constant α_{fmN} vs a/k_0 for LSM₂₁ mode

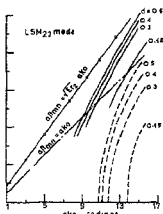


Fig. 3 - 10-Normalized phase constant α_{fmN} vs a/k_0 for LSM₂₂ mode

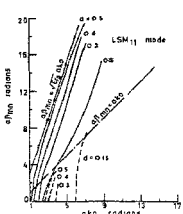


Fig. 3 - 13-Normalized phase constant α_{fmN} vs a/k_0 for LSM₁₁ mode

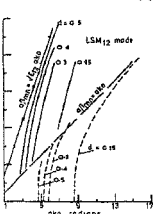


Fig. 3 - 14-Normalized phase constant α_{fmN} vs a/k_0 for LSM₁₂ mode

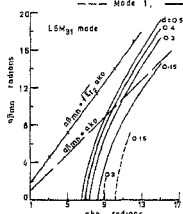


Fig. 3 - 11-Normalized phase constant α_{fmN} vs a/k_0 for LSM₃₁ mode

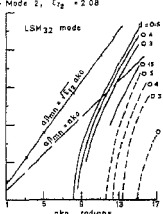


Fig. 3 - 12-Normalized phase constant α_{fmN} vs a/k_0 for LSM₃₂ mode

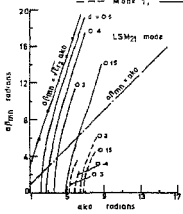


Fig. 3 - 15-Normalized phase constant α_{fmN} vs a/k_0 for LSM₂₁ mode

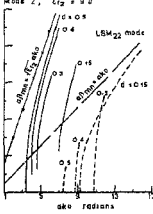


Fig. 3 - 16-Normalized phase constant α_{fmN} vs a/k_0 for LSM₂₂ mode

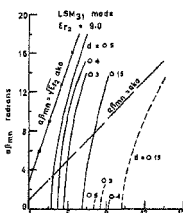


Fig. 3 - 17-Normalized phase constant α_{fmN} vs a/k_0 for LSM₃₁ mode

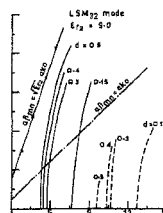


Fig. 3 - 18-Normalized phase constant α_{fmN} vs a/k_0 for LSM₃₂ mode

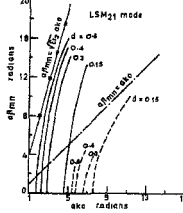


Fig. 3 - 21-Normalized phase constant α_{fmN} vs a/k_0 for LSM₂₁ mode

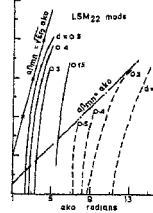


Fig. 3 - 22-Normalized phase constant α_{fmN} vs a/k_0 for LSM₂₂ mode

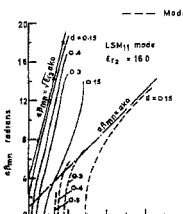


Fig. 3 - 19-Normalized phase constant α_{fmN} vs a/k_0 for LSM₁₁ mode

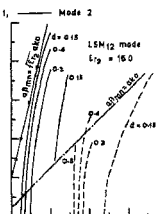


Fig. 3 - 20-Normalized phase constant α_{fmN} vs a/k_0 for LSM₁₂ mode

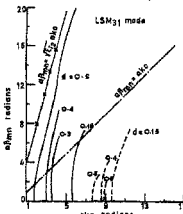


Fig. 3 - 23-Normalized phase constant α_{fmN} vs a/k_0 for LSM₃₁ mode

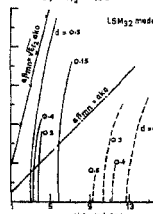


Fig. 3 - 24-Normalized phase constant α_{fmN} vs a/k_0 for LSM₃₂ mode

FIG. 3 - NORMALIZED PHASE CONSTANT α_{fmN} VS a/k_0 FOR LSM_{MAN} MODE

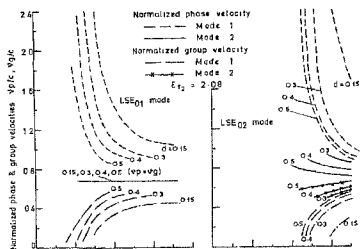
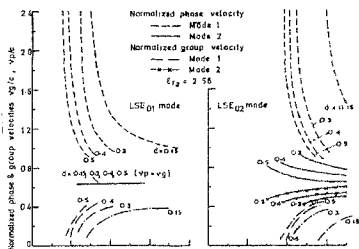
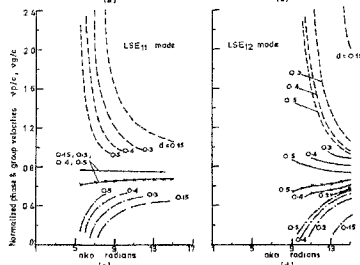
FIG. 4.1-NORMALIZED PHASE AND GROUP VELOCITIES VS ak_0 RADIANS (parameter being d)FIG. 4.2-NORMALIZED PHASE AND GROUP VELOCITIES VS ak_0 RADIANS

FIG. 4.3-NORMALIZED PHASE AND GROUP VELOCITIES VS RELATIVE PERMITTIVITY

7. Numerical computations for LSE_{mn} and LSM_{mn} modes

Numerical computations of the different propagation characteristics like the axial phase constant β_{mn} , the guide wavelength $\lambda_{gmn} = 2\pi/\beta_{mn}$, the phase velocity $v_{pmn} = \omega/\beta_{mn}$, the group velocity

$$v_{gmn} = \frac{d\omega}{d\beta_{mn}} = \frac{c}{\sqrt{\epsilon_{r2}}} \left[1 - \frac{k_{y2}^2 + \frac{m^2 \pi^2}{a^2}}{\omega^2 \epsilon_0 \mu_0 \epsilon_{r2}} \right]^{1/2}$$

the cut-off frequency $f_{c,mn}$, and attenuation constant below cut-off for dominant and higher order modes have been made. Figs. 2.1 to 3.6 present the normalized phase constant β_{mn} vs. ak_0 for different LSE and LSM modes respectively for various values of d and ϵ_{r2} . Figs. 4.1 to 5.2 present the normalized phase and group velocities for various LSE and LSM modes respectively vs. ak_0 for various values of d and ϵ_{r2} .

where $k_{y_1} = jk_{y_1}$, and $k_{y_2} = jk_{y_2}$, and $k_{y_{2r}}$ and $k_{y_{2i}}$ are real and positive. Equation (52) is satisfied if and only if all the terms of this equation are zero at the same time. This means that $k_{y_{2r}}$ and $k_{y_{2i}}$ simultaneously become zero. Since $k_{y_{2r}}$ and $k_{y_{2i}}$ satisfy the equations

$$\beta_{mn}^2 = \epsilon_{rs} k_0^2 + k_{y_{2r}}^2 - \frac{m^2 \pi^2}{a^2} \quad (53)$$

and

$$\beta_{mn}^2 = \epsilon_{tq} k_0^2 + k_{y_{2i}}^2 - \frac{m^2 \pi^2}{a^2} \quad (54)$$

both $k_{y_{2r}}$ and $k_{y_{2i}}$ cannot become zero. Hence it can be concluded that the characteristic eqn. (52) does not have any solution for the complete hyperbolic modes.

In the case of partly sinusoidal and partly hyperbolic modes the transverse propagation constant in region 1 is

$$k_{y_1} = jk_{y_{2r}} \quad (55)$$

where $k_{y_{2r}}$ is a real positive quantity. In this case the characteristic eqns. (34) and (48) for LSE_{mn} and LSM_{mn} modes respectively become

$$\begin{aligned} & k_{y_2}^2 \cos^2(k_{y_2} d) \sinh(k_{y_{2r}}(b-2d)) \\ & + 2k_{y_2} k_{y_{2r}} \cos(k_{y_2} d) \sin(k_{y_{2i}}) \cosh(k_{y_{2r}}(b-2d)) \\ & + k_{y_{2r}}^2 \sin^2(k_{y_2} d) \sinh(k_{y_{2r}}(b-2d)) = 0 \end{aligned} \quad (56)$$

and

$$\begin{aligned} & - \epsilon_{rs}^2 k_{y_{2r}}^2 \cos^2(k_{y_2} d) \sinh(k_{y_{2r}}(b-2d)) \\ & + 2\epsilon_{tq} \epsilon_{rs} k_{y_{2r}} k_{y_2} \cos(k_{y_2} d) \sinh(k_{y_2} d) \cosh(k_{y_{2r}}(b-2d)) \\ & - \epsilon_{tq}^2 k_{y_2}^2 \sin^2(k_{y_2} d) \\ & - \epsilon_{tq}^2 k_{y_{2i}}^2 \sin^2(k_{y_2} d) \sinh(k_{y_{2r}}(b-2d)) = 0 \end{aligned} \quad (57)$$

where

$$k_{y_{2r}}^2 = \beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} - \epsilon_{tq} k_0^2 \quad (58)$$

and k_{y_2} is given by eqn. (36).

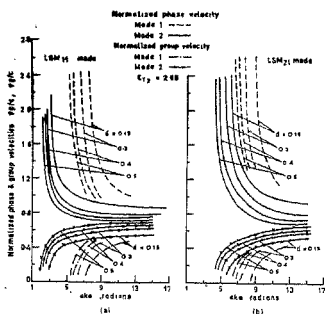


FIG. 5.1. Normalized phase and group velocities vs. ak_0 for LSM_{11} mode (Parameter being d).

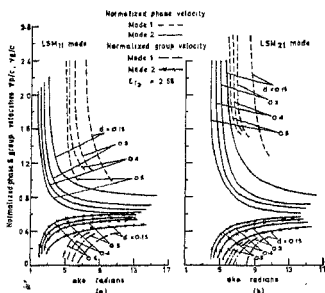
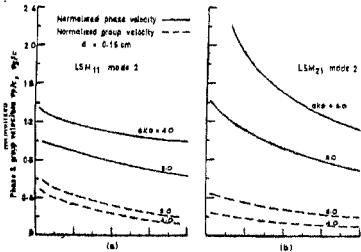


FIG. 5.2. Normalized phase and group velocities vs. ak_0 for LSM_{21} mode.



8. Cut-off frequency of LSE_{mn} and LSM_{mn} modes

The cut-off condition is determined by putting $\beta_{mn} = 0$ in eqns. (35) and (36). For modes type 1, both k_{y1} and k_{y2} are real and therefore eqn. (35) becomes

$$k_{y1}^2 = \omega^2 \mu_0 \mu_r \epsilon_0 \epsilon_{req} - \beta_{mn}^2 - \frac{m^2 \pi^2}{a^2} \geq 0$$

and hence at cut-off,

$$k_{y1c}^2 = \epsilon_{req} k_{0c}^2 - \frac{m^2 \pi^2}{a^2} \geq 0 \tag{59}$$

where the subscript c indicates the values at cut-off, and

$$k_{0c}^2 = \omega_{c1}^2 \mu_0 \epsilon_0.$$

Hence for mode 1, the cut-off condition is given by

$$\omega_{c1}^2 \geq \frac{1}{\epsilon_{req}} \frac{m^2 \pi^2}{a^2} c^2 \tag{60}$$

or

$$f_{c1} \geq \frac{mc}{2a\sqrt{\epsilon_{req}}} \tag{61}$$

Similarly for mode 2 to exist, k_{y2} is real and k_{y1} is imaginary, and the cut-off condition for the mode is derived from eqn. (35) as

$$k_{y2}^2 = \epsilon_{req} k_{0c}^2 - \frac{m^2 \pi^2}{a^2} \leq 0 \tag{62}$$

where $k_{0c}^2 = \omega_{c2}^2 \mu_0 \epsilon_0$

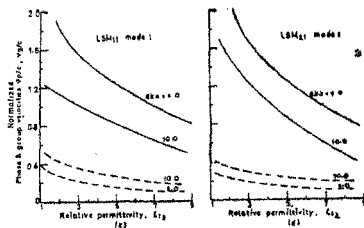


FIG. 5.3. Normalized phase and group velocities vs. ϵ_{r2} for LSM_{mn} .

which yields

$$\omega_{c_2}^2 \leq \frac{1}{\epsilon_{\text{req}}} \frac{m^2 \pi^2}{a^2} c^2 \quad (63)$$

or

$$f_{c_2} \leq \frac{mc}{2a \sqrt{\epsilon_{\text{req}}}} \quad (64)$$

It is evident that the cut-off frequency for mode 2 is lower than that of mode 1. Equations (59) and (62) are solved for obtaining the cut-off frequencies for both LSE_{mn} and LSM_{mn} modes.

Figures 6.1 to 7 present the normalized cut-off frequency ak_{0c} vs. filling factor d/a for LSE_{mn} and LSM_{mn} modes respectively. Figs. 8.1 to 9 present the normalized cut-off frequency ak_{0c} vs. relative permittivity ϵ_r for LSE_{mn} and LSM_{mn} modes respectively.

9. Bandwidth

The percentage bandwidth is defined as

$$B_w = 200 \times \frac{f_c^+ - f_c^-}{f_c^+ + f_c^-} \quad (65)$$

where f_c^- = cut-off frequency of the lowest mode

f_c^+ = cut-off frequency of the next higher order mode.

The percentage bandwidth of the LSE_{mn} and LSM_{mn} modes has been calculated as a function of dielectric filling factor d/a and ϵ_r , and the results are shown in Figs. 10 and 11.

10. Attenuation constant below cut-off

In the region below cut-off the square of the transverse propagation constants $k_{z_2}^2$ and $k_{z_1}^2$ are real, and the modes below cut-off are non-propagating. γ_{mn}^2 can be written as

$$\gamma_{mn}^2 = a_{mn}^2 \quad (66)$$

and a_{mn} satisfies the equations

$$k_{z_2}^2 = \epsilon_r k_0^2 + a_{mn}^2 - \frac{m^2 \pi^2}{a^2} \quad (67)$$

$$k_{z_1}^2 = \epsilon_{\text{req}} k_0^2 + a_{mn}^2 - \frac{m^2 \pi^2}{a^2} \quad (68)$$

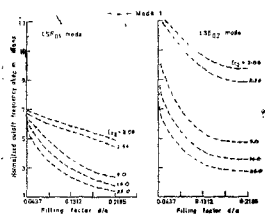


Fig. 6.1. Normalized cut off frequency ak_{c0} vs. filling factor d/a .

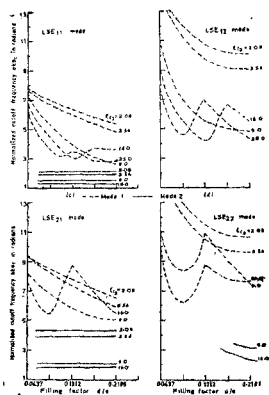


Fig. 6.2. Normalized cut off frequency ak_{c0} vs. filling factor d/a .

The attenuation constant below cut-off a_{mn} has been calculated for both LSE_{mn} modes and LSM_{mn} modes and are shown in Figs. 12 and 13 respectively.

11. Relative intensities of the field components of LSE_{mn} and LSM_{mn} modes—

For LSE_{mn} modes, (eqns. (29) to (32)), three of the amplitude constants C_1, D_2, C_2, C_3 can be expressed in terms of one of them, say C_2 , and hence their relative amplitudes can be calculated. Equations (27) and (28) give the values of D_2 and D_3 . It is similar for LSM_{mn} modes.

Using the calculated values of the above amplitude constants, the relative intensities of the field components for LSE_{mn} and LSM_{mn} modes are calculated and presented in Figs. 14.1 to 15.2 respectively.

12. Orthogonal properties of the fields of LSE_{mn} and LSM_{mn} modes

The following orthogonal relation holds good for LSE_{mn} and LSM_{mn} modes¹⁴ in the dielectric-lined rectangular metal waveguide

$$\iint_S \mathbf{E}_{t(mn)} \times \mathbf{H}_{t(pq)} \cdot \mathbf{a}_z da = 0 \quad (69)$$

where $m \neq p$ and $n \neq q$ or both, and S denotes the cross-section of the lined waveguide, $\mathbf{E}_{t(mn)}$ is the transverse electric field for the ' mn 'th mode. For a lossy medium, $\mathbf{H}_{t(pq)}$ is placed by $\mathbf{H}_{t(pq)}^*$ so that

$$\iint_S \mathbf{E}_{t(mn)} \times \mathbf{H}_{t(pq)}^* \cdot \mathbf{a}_z ds = 0. \quad (70)$$

Further in the case of LSE_{mn} modes the transverse electric fields for two different modes are orthogonal. The same is also true for the transverse and the longitudinal magnetic field components which is as follows :

$$\iint_S \mathbf{E}_{t(mn)} \cdot \mathbf{E}_{t(pq)} da = 0$$

$$\iint_S \mathbf{H}_{t(mn)} \cdot \mathbf{H}_{t(pq)} da = 0 \quad (71)$$

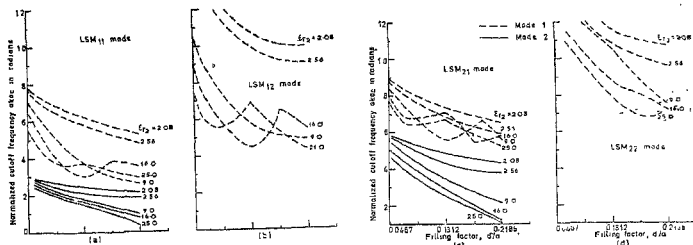


FIG. 7. Normalized cut off frequency ak_{c0} vs. filling factor d/a for LSM_{mn} mode.

$$\iint_S E_x(mn) \cdot E_x(pq) da = 0$$

$$\iint_S H_z(mn) \cdot H_z(pq) da = 0.$$

None of the orthogonal properties given by eqn. (71) hold good for LSM_{mn} modes. The orthogonal properties of LSM_{mn} modes can be treated as described by Collins¹⁴.

The above orthogonal properties are used to evaluate the total power flow by the summation of the power flow in each region carried by each non-degenerate mode individually.

13. Power flow for LSE_{mn} and LSM_{mn} modes

The average power flow along the positive longitudinal z -direction is calculated by using the relation

$$P_z = \frac{1}{2} \operatorname{Re} \iint_S (\mathbf{E}_t \times \mathbf{H}_t) \cdot \mathbf{a}_z dy dx \quad (72)$$

where S is the cross-section of the lined waveguide. The total power flow is the sum of the power P_{z1} carried inside the region ($d \leq y \leq (b-d)$), the power P_{z2} in the region 2 ($(b-d) \leq y \leq b$); and the power P_{z3} in the region 3 ($0 \leq y \leq d$) (Fig. 2).

For LSE_{mn} modes, $E_{y2} = 0$ ($i = 1, 2, 3$), and hence the total power flow P_{zT} is given by

$$P_{zT(LSE)} = \frac{1}{2} \operatorname{Re} \int_0^a \left[\int_d^{(b-d)} (E_{x1} H_{y1}^* dy + \int_{(b-d)}^b E_{x2} H_{y2}^* dy + \int_0^d E_{x3} H_{y3}^* dy) \right] dx \quad (73)$$

Substituting the expressions for the appropriate field components from eqns. (17) to (22), we obtain

$$\begin{aligned} \frac{P_{zT(LSE)}}{|C_3|^2} = & \frac{a\omega\mu_0\epsilon_1\beta_{mn}}{2N_0} \left(\beta_{mn}^2 + \frac{m^2\pi^2}{a^2} \right) \cdot \operatorname{Re} \left[|E_1|^2 \frac{b-2d}{2} - \frac{\sin 2k_{y1}(b-2d)}{4k_{y1}} \right. \\ & \left. + |F_1|^2 \left(\frac{b-2d}{2} + \frac{\sin 2k_{y1}(b-2d)}{2k_{y1}} \right) \right] \end{aligned}$$

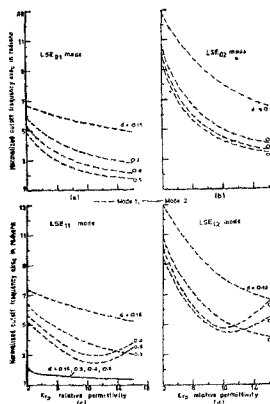


FIG. 8.1. Normalized cut off frequency vs. relative permittivity ϵ_{r2} .

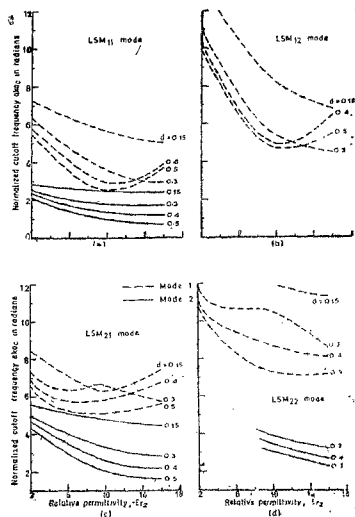


FIG. 9. Normalized cut off frequency ω_{c0} vs. relative permittivity ϵ_{r2} for LSM_{mn} mode.

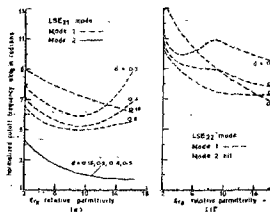


FIG. 8.2. Normalized cut off frequency vs. relative permittivity ϵ_{r2} .

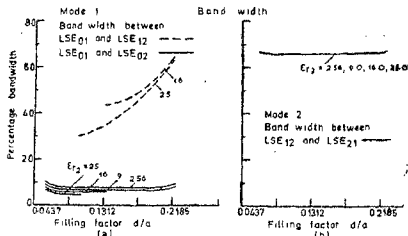


FIG. 10. Percentage bandwidth vs. filling factor d/a for LSE_{01n} mode.

$$\begin{aligned}
 & + (E_1^* F_1^* + E_1^* F_1) \frac{\sin^2 k_{y1} (b-2d)}{2k_{y1}} + |E_3|^2 \left[\frac{d}{2} - \frac{\sin 2k_{y2} d}{4k_{y2}} \right] \\
 & + |F_2|^2 \left[\frac{d}{2} + \frac{\sin 2k_{y2} d}{4k_{y2}} \right] + (E_2^* F_2^* + E_2^* F_2) \frac{\sin^2 k_{y2} d}{2k_{y2}} \\
 & + \left[\frac{d}{2} - \frac{\sin 2k_{y2} d}{4k_{y2}} \right]
 \end{aligned}
 \tag{74}$$

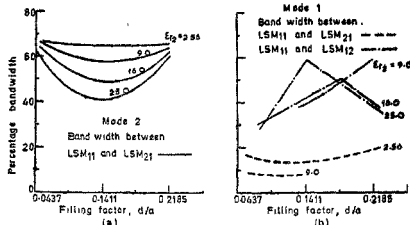


FIG. 11. Percentage bandwidth vs. filling factor d/a for LSM_{mn} mode.

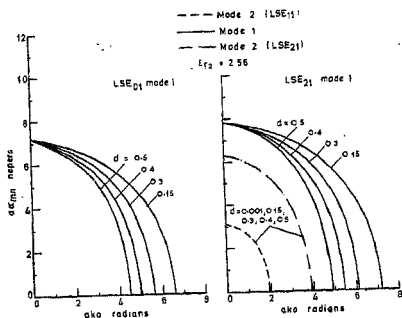


FIG. 12. Normalized attenuation α_{mn} below cut off vs. ak_0 for LSE_{mn} mode.

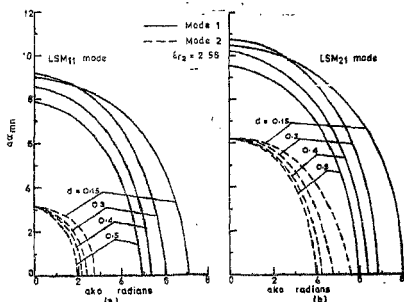


FIG. 13. Normalized attenuation constant α_{mn} below cut off vs. ak_0 for LSM_{mn} mode.

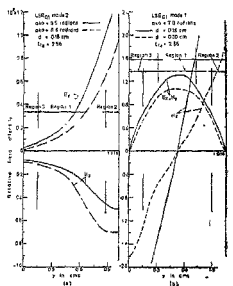


FIG. 14.1. Relative field intensity along y -direction for LSE_{01} mode.

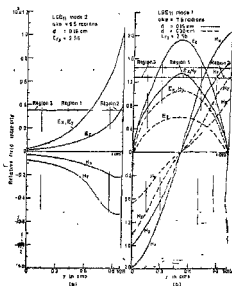


FIG. 14.2. Relative field intensity along y -direction for LSE_{11} mode.

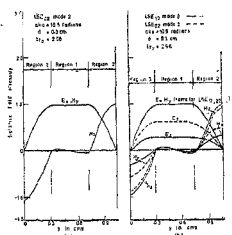


FIG. 14.3. Relative field intensity along y -direction for LSE_{02} , LSE_{12} and LSE_{22} modes.

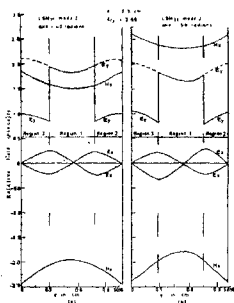


FIG. 15.1. Relative field intensity along y -direction for LSM_{11} and LSM_{21} modes 2.

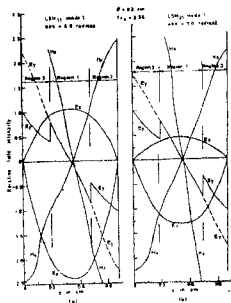


FIG. 15.2. Relative field intensity along y -direction for LSM_{11} and LSM_{21} modes 1.

where N_0 is the Neumann factor given by

$$\begin{aligned} N_0 &= 1 \text{ when } m = 0 \\ &= 2 \text{ when } m = 1, 2, 3 \end{aligned} \quad (75)$$

and

$$\begin{aligned} E_1 &= \frac{k_{y2}}{k_{y1}} \cos k_{y1} d \\ F_1 &= \sin k_{y1} d \end{aligned} \quad (76)$$

$$E_2 = \cos k_{y2} \cos k_{y1} (b - 2d) - \frac{k_{y2}}{k_{y1}} \sin k_{y1} d \sin k_{y1} (b - 2d)$$

$$F_2 = \frac{k_{y2}}{k_{y1}} \cos k_{y1} d \sin k_{y1} (b - 2d) + \sin k_{y1} d \cos k_{y1} (b - 2d) \quad (77)$$

Similarly for LSM_{mn} modes, the total power flow is given by

$$\begin{aligned} \frac{P_{yT} (LSM)}{|H_3|^2} &= \frac{1}{4} \omega \epsilon_0 a \beta_{mn} \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right) \\ &\times \operatorname{Re} \left[| \epsilon_{\text{req}} | \left\{ | T_1 |^2 \left(\frac{b - 2d}{2} - \frac{\sin 2k_{y1} (b - 2d)}{4k_{y1}} \right) \right. \right. \\ &\left. \left. + | S_1 |^2 \left(\frac{b - 2d}{2} - \frac{\sin 2k_{y2} (b - 2d)}{4k_{y2}} \right) \right\} \right] \end{aligned}$$

$$\begin{aligned}
 & + \left\{ (T_1 S_1^* + T_1^* S_1) \frac{\sin 2k_{y_1} (b - 2d)}{2k_{y_1}} \right\} \\
 & + |\epsilon_{rs}| \left[|T_2|^2 \left(\frac{d}{2} - \frac{\sin 2k_{y_2} d}{4k_{y_2}} \right) + |S_2|^2 \left(\frac{d}{2} + \frac{\sin 2k_{y_2} d}{4k_{y_2}} \right) \right. \\
 & \left. + (T_3 S_2^* + T_3^* S_2) \frac{\sin^2 k_{y_2} d}{2k_{y_2}} \right] + |\epsilon_{rs}| \left[\left(\frac{d}{2} + \frac{\sin 2k_{y_2} d}{4k_{y_2}} \right) \right] \quad (78)
 \end{aligned}$$

where

H_3 is an amplitude factor for LSM_{mn} modes corresponding to C_3 for LSE_{mn} modes,

$$T_1 = -\frac{k_{y_2}}{k_{y_1}} \sin k_{y_2} d$$

$$S_1 = \frac{\epsilon_{rs}}{\epsilon_{r0q}} \cos k_{y_2} d$$

$$T_2 = -\sin k_{y_2} d \cos k_{y_1} (b - 2d) + \frac{k_{y_2}}{k_{y_1}} \frac{\epsilon_{r2}}{\epsilon_{r0q}} \cos k_{y_2} d. \quad (79)$$

$$\sin k_{y_1} (b - 2d)$$

$$T_3 = \cos k_{y_2} d \cos k_{y_1} (b - 2d)$$

$$- \frac{k_{y_2}}{k_{y_1}} \frac{\epsilon_{r0q}}{\epsilon_{r2}} \sin k_{y_2} d \cdot \sin k_{y_1} (b - 2d). \quad (80)$$

The variation of the total relative power and the relative power in regions 1, 2 and 3 for some of the LSE_{mn} and LSM_{mn} modes are shown in Figs. 16.1 to 17.2 respectively.

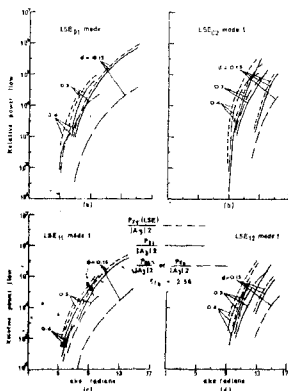


FIG. 16-1. Relative power flow in regions 1, 2 and 3 and total relative power flow vs. ak_0 for LSE_{mn} mode.

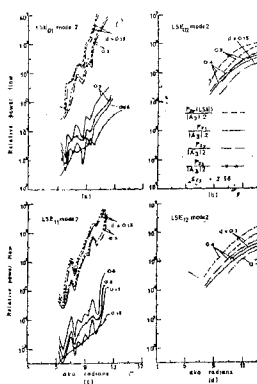


FIG. 16-2. Relative power flow in regions 1, 2 and 3 and total relative power flow vs. ak_0 for LSE_{mn} mode 2.

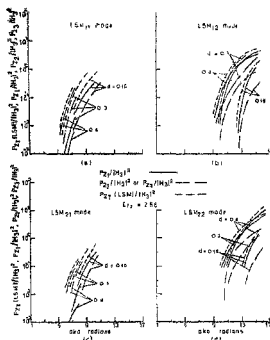


FIG. 17.1. Relative power flow in regions 1, 2 and 3 and total relative power flow vs. ak_0 for LSM_{mn} mode 1.

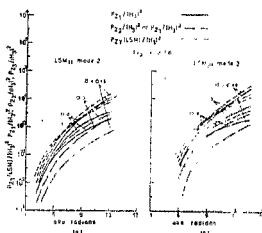


FIG. 17.2. Relative power flow in regions 1, 2 and 3 and total relative power flow vs. ak_0 for LSM_{mn} mode 2.

14. Power loss and attenuation constants for LSE_{mn} and LSM_{mn} modes

There are two types of power losses, namely, (i) power loss in the dielectric media and (ii) ohmic loss due to the finite conductivity of the metallic walls. Both these types of losses are calculated to calculate the attenuation constant in the dielectric-lined waveguide.

In the previous sections it was assumed that the dielectric material used for the lining is perfect (zero conductivity) and the metallic wall of the waveguide is a perfect conductor (in future conductivity). However, in practice, the power loss in the dielectric-lined waveguide is caused by the finite value of the loss tangent of the material, and by the finite conductivity of the metal walls, and consequently, the axial propagation constant becomes complex.

In the presence of loss, the power transport along the waveguide decreases exponentially according to the factor $\exp(-2a_z z)$, where a is the attenuation constant. If P_0 is the power flow at $z = 0$, when $P_z = P_0 \exp(-2a_z z)$ is the power flow at $z = z$ in the z direction. The rate of decrease of the power transport is then given by [14]

$$dP_z/dz = P_z = -2a_z P_z. \quad (81)$$

If the relative permittivity of the dielectric lining is given by

$$\epsilon_r = \epsilon_r - j\epsilon_r' \quad (82)$$

where

$$\epsilon_r' / \epsilon_r = \tan \delta,$$

the power loss P per unit length in the dielectric material is given by

$$\begin{aligned} P_d &= \frac{1}{2} \iint_S |\mathbf{E}| \cdot |\mathbf{J}| da \\ &= \frac{\omega \epsilon_0 \epsilon_r'}{2} \iint_S \mathbf{E} \cdot \mathbf{E}^* da \end{aligned} \quad (83)$$

where $\mathbf{J} = \sigma_{di} \mathbf{E} = \omega \epsilon_0 \epsilon_r' \mathbf{E}$ the conduction current density

σ_{di} = finite conductivity of the medium ($i = 1, 2, 3$ denoting the regions 1, 2, 3)

S = cross-section of the guide transverse to the direction of propagation.

Equation (83) becomes

$$P_d = \frac{\sigma_{di}}{2} \iint_S (|E_{xt}|^2 + |E_{yt}|^2 + |E_{zt}|^2) dy dx \quad (84)$$

in the different regions 1, 2, 3,

where $\sigma_{di} = \omega \epsilon_0 \epsilon_{ri} \tan \delta_i$,

$\tan \delta_i$ = loss tangent of medium i ($i = 1, 2, 3$).

If the dielectric lining is lossy and its dielectric constant is

$$\epsilon_{r2} = \epsilon_{r2} - j \epsilon_{r2}' \quad (85)$$

where $\tan \delta_2 = \epsilon_{r2}' / \epsilon_{r2}$, then the equivalent dielectric constant ϵ_{req} is also complex and is given by

$$\epsilon_{req} = \epsilon_{req} - j \epsilon_{req}' \quad (86)$$

$$= \frac{a (\epsilon_{r2} - j \epsilon_{r2}')}{(a - 2d) \left[\frac{2d}{(a - 2d)} + \epsilon_{r2} - j \epsilon_{r2}' \right]} \quad (87)$$

(using eqns. (2) and (85)). Therefore

$$\epsilon_{req} = \frac{a \left[\epsilon_{r2} \left(\frac{2d}{a - 2d} + \epsilon_{r2} \right) + \epsilon_{r2}' \tan \delta_2 \right]}{(a - 2d) \left[\left(\frac{2d}{a - 2d} + \epsilon_{r2} \right)^2 + \epsilon_{r2}'^2 \tan^2 \delta_2 \right]} \quad (88)$$

and

$$\begin{aligned} \tan \delta_{eq} &= \frac{\epsilon_{req}'}{\epsilon_{req}} \\ &= \frac{a \left[\epsilon_{r2} \tan \delta_2 \left(\frac{2d}{a - 2d} + \epsilon_{r2} \right) - \epsilon_{r2}' \tan \delta_2 \right]}{\epsilon_{req} (a - 2d) \left[\left(\frac{2d}{a - 2d} + \epsilon_{r2} \right)^2 + \epsilon_{r2}'^2 \tan^2 \delta_2 \right]} \end{aligned} \quad (89)$$

As $\tan \delta_2 \rightarrow 0$, eqn. (88) reduces to eqn. (2). The total power loss $P_{dT(LSE)}$ in the dielectric media for the LSE_{mn} mode is the sum of the power losses P_{d_1} , P_{d_2} and P_{d_3} in regions 1, 2 and 3 respectively. Hence

$$\begin{aligned} P_{dT(LSE)} &= P_{d_1} + P_{d_2} + P_{d_3} \\ &= \frac{1}{2} \sigma_{d_1} \int_0^a \int_0^{(b-d)} (|E_{x_1}|^2 + |E_{y_1}|^2 + |E_{z_1}|^2) dy dx \\ &+ \frac{1}{2} \sigma_{d_2} \int_0^b \int_{(b-d)}^b (|E_{x_2}|^2 + |E_{y_2}|^2 + |E_{z_2}|^2) dy dx \\ &+ \frac{1}{2} \sigma_{d_3} \int_0^a \int_0^d (|E_{x_3}|^2 + |E_{y_3}|^2 + |E_{z_3}|^2) dy dx \end{aligned} \quad (90)$$

where $\sigma_{d_i} = \sigma_{d_0}$ is the finite conductivity of the dielectric regions 2 and 3, σ_{d_1} is the finite conductivity of the equivalent dielectric region, and $\mu_r = 1$ for all the regions. Making use of eqns. (17), (18) and (19),

$$\begin{aligned} P_{dT(LSE)} &= \frac{a^2 \omega^2 \mu_0^2}{2N_0} \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \left[\sigma_{d_2} |A_3|^2 \left(\frac{d}{2} - \frac{\sin^2 k_{y_2} d}{4k_{y_2}} \right) \right. \right. \\ &+ \sigma_{d_1} \left\{ |A_1|^2 \left(\frac{(b-2d)}{2} - \frac{\sin 2k_{y_1} (b-2d)}{4k_{y_1}} \right) \right. \\ &+ |B_1|^2 \left(\frac{(b-2d)}{2} + \frac{\sin^2 k_{y_1} (b-2d)}{2k_{y_1}} \right) + (A_1 B_1^* + A_1^* B_1) \\ &\left. \left. \left. \sin^2 k_{y_1} (b-2d) / 2k_{y_1} \right\} \right. \\ &+ \sigma_{d_2} \left\{ |A_2|^2 \left(\frac{d}{2} - \frac{\sin 2k_{y_2} d}{4k_{y_2}} \right) \right. \\ &+ |B_2|^2 \left(\frac{d}{2} + \frac{\sin 2k_{y_2} d}{4k_{y_2}} \right) \\ &\left. \left. \left. + (A_2 B_2^* + A_2^* B_2) \frac{\sin^2 k_{y_2} d}{2k_{y_2}} \right\} \right] \end{aligned} \quad (91)$$

where the amplitude constants A_1 , A_2 , B_1 and B_2 are given in terms of C_1 , C_2 , D_2 and D_3 by the two equations:

$$\begin{aligned} C_k &= A_k \cos k_{y_k} y_k + B_k \sin k_{y_k} y_k \\ D_k &= B_k \cos k_{y_k} y_k - A_k \sin k_{y_k} y_k \end{aligned} \quad (92)$$

where $k = 1, 2$.

the attenuation constant α_T for LSE_{mn} mode can be calculated as

$$\alpha_d(LSE) = \frac{P_{dT(LSE)}}{2 P_{eT(LSE)}} \text{ nepers per cm} \quad (93)$$

$$= \frac{8 \cdot 686 P_{dT(LSE)}}{2 P_{zT(LSE)}} db \text{ per cm} \quad (93)$$

where $P_{zT(LSE)}$ is the power flow given by eqn. (74).

Due to the finite conductivity of the waveguide metallic wall also, the electromagnetic field is attenuated. The surface current density \mathbf{J}_s in the metal wall is given by

$$\mathbf{J}_s = \mathbf{n} \times \mathbf{H}.$$

Hence the power loss per unit length of the metal wall is given by

$$\begin{aligned} P_m &= \frac{1}{2} \operatorname{Re} Z_s \oint_{\text{wall}} \mathbf{J}_s \cdot \mathbf{J}_s^* dl \\ &= \frac{1}{2} R_s \oint_{\text{wall}} \mathbf{H}_s \cdot \mathbf{H}_s^* dl \end{aligned} \quad (94)$$

where

$$Z_s = \frac{1+j}{\delta} = R_s + j X_s \quad (95)$$

σ_ω being the finite conductivity of the metallic wall, Z_s the surface impedance of the metal wall, and $R_s = 1/\sigma_\omega \delta$ is the surface resistance of the metal wall, and $\delta = (2/\omega\mu_0\sigma_\omega)^{1/2}$ is the skin depth. Hence

$$R_s = \left(\frac{\omega\mu_0}{2} \right)^{1/2}.$$

Hence the total power dissipated per unit length in the metal walls for the LSE_{mn} mode is

$$\begin{aligned} P_{mT(LSE)} &= \frac{1}{2} R_s \oint_{\text{side walls}} (|H_{y1}|^2 + |H_{z1}|^2) dy \\ &\quad + \frac{1}{2} R_s \oint_{\text{top and bottom walls}} (|H_{x1}|^2 + |H_{z1}|^2) dx \end{aligned} \quad (96)$$

($i = 1, 2, 3$)

$$\begin{aligned} &= \frac{R_s}{2} \left\{ a |k_{y2}|^2 \left[\frac{\beta_{mn}^2}{N_0} + \frac{m^2 \pi^2}{2a^2} \right] (|A_3|^2 + |A_2 \cos k_{y2} d - B_2 \sin k_{y2} d|^2) \right. \\ &\quad + 2 \left[\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right]^2 (|A_3|^2) \left(\frac{d}{2} - \frac{\sin k_{y2} d}{4k_{y2}} \right) \\ &\quad + |A_1|^2 \left(\frac{(b-2d) \sin 2k_{y1} (b-2d)}{2} \frac{1}{4k_{y1}} \right) \\ &\quad + |B_1|^2 \left(\frac{(b-2d)}{2} + \frac{\sin 2k_{y1} (b-2d)}{4k_{y1}} \right) + |A_2|^2 \left(\frac{d}{2} - \frac{\sin 2k_{y2} d}{4k_{y2}} \right) \\ &\quad + |B_2|^2 \left(\frac{d}{2} + \frac{\sin 2k_{y2} d}{4k_{y2}} \right) + (A_2 B_1^* + A_1^* B_2) \frac{\sin^2 k_{y1} (b-2d)}{4k_{y1}} \\ &\quad \left. + (A_2 B_x^* + A_x^* B_2) \frac{\sin^2 k_{y2} d}{2k_{y2}} + 2\beta_{mn}^2 (|A_3|^2 |k_{y2}|^2) \right\} \end{aligned}$$

$$\begin{aligned}
& \times \left(\frac{d}{2} + \frac{\sin 2k_{y2}d}{4k_{y2}} \right) + |A_1|^2 |k_{y1}|^2 \left(\frac{(b-2d)}{2} + \frac{\sin 2k_{y1}(b-2d)}{4k_{y1}} \right) \\
& + |B_1|^2 |k_{y2}|^2 \left(\frac{(b-2d)}{2} - \frac{\sin 2k_{y2}(b-2d)}{4k_{y2}} \right) + |A_2|^2 |k_{y2}|^2 \\
& \times \left(\frac{d}{2} + \frac{\sin 2k_{y2}d}{4k_{y2}} \right) + |B_2|^2 |k_{y2}|^2 \left(\frac{d}{2} - \frac{\sin 2k_{y2}d}{4k_{y2}} \right) \\
& - (A_1 B_1^* + A_1^* B_1) |k_{y1}|^2 \frac{\sin^2 k_{y1}(b-2d)}{2k_{y1}} \\
& - \left(A_2 B_2^* + A_2^* B_2 \right) |k_{y2}|^2 \frac{\sin^2 k_{y2}(b-2d)}{2k_{y2}} \} \quad (97)
\end{aligned}$$

where A_1, B_1, A_2, B_2 are given by eqns. (92) and $A_3 = C_3$, and $B_3 = D_3$, and A_1, A_2, B_1 and B_2 are related to A_3 by the following equations :

$$B_1 = A_3 \sin k_{y2}d = A_3 F_1 \quad (98)$$

$$A_1 = A_3 \frac{k_{y2}}{k_{y1}} \cos k_{y2}d = A_3 E_1 \quad (99)$$

$$B_2 = A_3 \left[\frac{k_{y2}}{k_{y1}} \cos k_{y2}d \sin k_{y1}(b-2d) + \sin k_{y2}d \cos k_{y1}(b-2d) \right] = A_3 F_2 \quad (100)$$

$$\begin{aligned}
A_2 = A_3 \left[\cos k_{y2}d \cos k_{y1}(b-2d) \right. \\
\left. - \frac{k_{y2}}{k_{y1}} \sin k_{y2}d \sin k_{y1}(b-2d) \right] = A_3 E_2. \quad (101)
\end{aligned}$$

The attention constant $a_{m(LSE)}$ for LSE_{mn} mode is given by

$$\begin{aligned}
a_{m(LSE)} &= \frac{P_{mT(LSE)}}{2P_{eT(LSE)}} \text{ nepers per cm} \\
&= \frac{8 \cdot 686 P_{mT(LSE)}}{2P_{eT(LSE)}} \text{ db per cm.} \quad (102)
\end{aligned}$$

The total attenuation constant $a_{T(LSE)}$ for LSE_{mn} mode is given by

$$a_{d(LSE)} + a_{m(LSE)} \quad (103)$$

using eqns. (93) and (102).

Similarly the total attenuation constant $a_{T(LSM)}$ for LSM_{mn} modes is given by

$$a_{T(LSM)} = a_{d(LSM)} + a_{m(LSM)} \quad (104)$$

where

$$\begin{aligned}
a_{d(LSM)} &= \frac{P_{dT(LSM)}}{2P_{eT(LSM)}} \text{ nepers per cm} \\
&= 8 \cdot 686 \frac{P_{dT(LSM)}}{2P_{eT(LSM)}} \quad (105)
\end{aligned}$$

$P_{AT(LSM)}$

$$\begin{aligned}
&= \frac{\sigma d_1}{4} a \left[\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right] \left[|k_{y_2}|^2 \left\{ |G_1|^2 \frac{b-2d}{2} - \frac{\sin 2k_{y_2}(b-2d)}{4k_{y_2}} \right. \right. \\
&+ |H_1|^2 \left. \left(\frac{b-2d}{2} - \frac{\sin 2k_{y_2}(b-2d)}{4k_{y_2}} \right) - (G_1 H_1^* + G_1^* H_1) \right. \\
&\times \left. \frac{\sin^2 k_{y_2}(b-2d)}{2k_{y_2}} \right\] + \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right) \left\{ |G_1|^2 \left(\frac{b-2d}{2} - \frac{\sin 2k_{y_2}(b-2d)}{4k_{y_2}} \right) \right. \\
&+ |H_1|^2 \left. \left(\frac{b-2d}{2} + \frac{\sin 2k_{y_2}(b-2d)}{4k_{y_2}} \right) + (G_1 H_1^* + G_1^* H_1) \right. \\
&\times \left. \frac{\sin^2 k_{y_2}(b-2d)}{2k_{y_2}} \right\} + \frac{\sigma d_2}{4} a \left[\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right] \left[|k_{y_2}|^2 \left\{ |G_2|^2 \right. \right. \\
&\left. \left. \left(\frac{d}{2} + \frac{\sin 2k_{y_2}d}{4k_{y_2}} \right) + |H_2|^2 \left(\frac{d}{2} - \frac{\sin 2k_{y_2}d}{4k_{y_2}} \right) - (G_2 H_2^* + G_2^* H_2) \frac{\sin^2 k_{y_2}d}{2k_{y_2}} \right\} \right. \\
&+ \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right) \left\{ |G_2|^2 \left(\frac{d}{2} - \frac{\sin 2k_{y_2}d}{4k_{y_2}} \right) + |H_2|^2 \left(\frac{d}{2} + \frac{\sin 2k_{y_2}d}{4k_{y_2}} \right) \right. \\
&+ \left. (G_2 H_2^* + G_2^* H_2) \frac{\sin^2 k_{y_2}d}{2k_{y_2}} \right\} + \frac{\sigma d_3}{4} |H_3|^2 \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right) \\
&\times \left[|k_{y_2}|^2 \left(\frac{d}{2} - \frac{\sin 2k_{y_2}d}{4k_{y_2}} \right) + \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right) \left(\frac{d}{2} + \frac{\sin 2k_{y_2}d}{4k_{y_2}} \right) \right] \quad (106)
\end{aligned}$$

and $P_{CT(LSM)}$ is given by eqn. (78).

and

$$\begin{aligned}
\alpha_m(LSM) &= \frac{P_{mT(LSM)}}{2P_{zT(LSM)}} \text{ nepers per cm} \\
&= 8.686 \frac{P_{mT(LSM)}}{2P_{zT(LSM)}} db \text{ per cm} \quad (107)
\end{aligned}$$

where

 $P_{mT(LSM)}$

$$\begin{aligned}
&= \frac{R_s}{2} \left[\omega^2 \epsilon_0^2 \frac{a}{2} \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right) \epsilon_{r_2}^2 \left\{ |H_3|^2 + |G_2 \sin k_{y_2}d + H_2 \cos k_{y_2}d|^2 \right\} \right. \\
&+ 2\omega^2 \epsilon_0^2 \frac{m^2 \pi^2}{a^2} \left\{ \epsilon_{r_2}^2 |H_3|^2 \left(\frac{d}{2} + \frac{\sin 2k_{y_2}d}{4k_{y_2}} \right) + \epsilon_{r_{04}}^2 |G_1|^2 \right. \\
&\times \left. \left(\frac{b-2d}{2} - \frac{\sin 2k_{y_2}(b-2d)}{4k_{y_2}} \right) + \epsilon_{r_{04}}^2 |H_1|^2 \left(\frac{b-2d \sin 2k_{y_2}(b-2d)}{4k_{y_2}} \right) \right.
\end{aligned}$$

$$\begin{aligned}
 & + \epsilon_{r2}^2 (G_1 H_1^* + G_1^* H_1) \frac{\sin^2 k_{y2} (b - 2d)}{2k_{y2}} + \epsilon_{r2}^2 |G_2|^2 \left[\frac{d}{2} - \frac{\sin 2k_{y2} d}{2k_{y2}} \right] \\
 & + \epsilon_{r2}^2 |H_2|^2 \left[\frac{d}{2} + \frac{\sin 2k_{y2} d}{4k_{y2}} \right] + \epsilon_{r2}^2 (G_2 H_2^* + G_2^* H_2) \frac{\sin^2 k_{y2} d}{2k_{y2}} \Big] \quad (108)
 \end{aligned}$$

The total attenuation constants for different LSE and LSM modes vs. ak_0 are shown in Figs. 18 and 19 respectively.

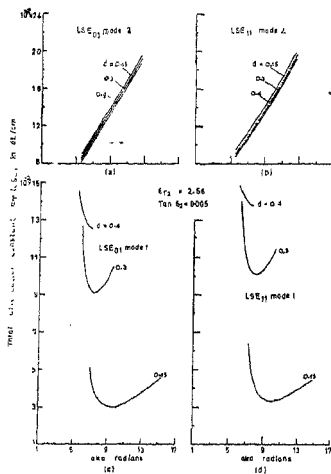


FIG. 18. Total attenuation constant α_t (LSE) vs. ak_0 .

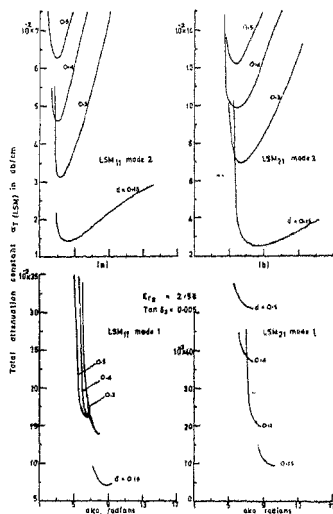


FIG. 19. Total attenuation constant α_t (LSM) vs. ak_0 .

15. Power handling capacity

The power handling capacity of the dielectric-lined waveguide is calculated by using the following two methods:

- (i) Breakdown electric field.
- (ii) Temperature rise in the dielectric.

The power handling capacity calculated by the first method determines the power capabilities within the limits of the electric breakdown of the dielectric medium free from

obstacles and discontinuities^{3,15}. This provides the maximum transmissible power on the basis of the highest allowable electric field strength.

However, the power transmission through the dielectric materials is accompanied by heating, and since the dielectric materials soften at high temperatures, the maximum possible degree of overheating has to be determined. This is done by the second method which determines the maximum power handling capacity by the dielectric-lined waveguide.

15.1. Breakdown electric field method

The maximum transmissible power in the dielectric-lined waveguide is calculated by knowing the highest permissible value of the electric field which causes the breakdown at the interfaces of the equivalent dielectric and the dielectric material.

For LSE_{mn} modes, in the case of the mode for which $m = 0$, it is evident from the field components of various regions (eqns. (17) to (22)) that only the E_x component exists and therefore the maximum value of E at the interface of the two media will decide the dielectric breakdown. At $y = y_1$, with the aid of eqn. (17), the maximum electric field is given by

$$E_{x_1(\max)} = \omega\mu_0\mu_r\beta_{mn}D_1 \quad (109)$$

and using eqn. (98), we have

$$E_{x_1(\max)} = A_3\omega\mu_0\mu_r\beta_{mn} \sin k_{y_2}d,$$

so that

$$A_3 = \frac{E_{x_1(\max)}}{\omega\mu_0\mu_r\beta_{mn} \sin k_{y_2}d} = C_3. \quad (110)$$

The breakdown electric field strength for air is 2.9×10^4 volts/cm. If the dielectric lining of the waveguide is thin, the thickness of the equivalent dielectric region will be large and the dielectric density small. In the limiting case when $d \rightarrow 0$, the waveguide is completely filled with air ($\epsilon_r = 1$), and its dielectric breakdown is 2.9×10^4 volts/cm under normal temperature and pressure.

Considering the intrinsic breakdown of the dielectric with pulses of short duration and at sufficiently low temperatures where heating effects are avoided, the maximum electric field that can be applied to dielectric materials depends mainly on the discharge inception field and thus on the permittivity of the material. Therefore since $\epsilon_{\text{req}} > 1$, the equivalent dielectric material withstands a greater breakdown field than air ($\epsilon_r = 1$).

The breakdown field for the equivalent dielectric material can also be assumed to be 2.9×10^4 volts/cm at normal temperature and pressure. Hence

$$E_{x_1(\max)} = 2.9 \times 10^4 \text{ volts/cm.} \quad (111)$$

Therefore from eqn. (110), we have

$$A_3 = \frac{2.9 \times 10^4 \text{ volts/cm}}{\omega \mu_0 \beta_{mn} \sin k_{y_2} d} \quad (112)$$

(putting $\mu_r = 1$).

Substituting eqn. (112) in eqn. (74), the maximum power handling capacity for the LSE_{mn} modes can be calculated.

The variation of the maximum power handling capacity $P_{sT(LSE_{mn}) \text{ max}}/a^2$ with frequency and dielectric lining thickness d for some LSE_{mn} modes of type 1 are shown in Fig. 20 for $\epsilon_{r_2} = 2.08$ and $\epsilon_{r_1} = 2.56$.

For LSE_{mn} modes for which $m > 0$, there are two electric field components E_x and E_y . Assuming the dielectric breakdown at the interface $y = d$, it is necessary to find the greater of the two field components E_x and E_y . The component thus found decides the breakdown field of the equivalent dielectric material.

From eqns. (17) and (19), and from the boundary conditions (23) to (26), it can be seen that at $y = d = y_1$,

$$E_{x_1} = \omega \mu_0 \mu_r \beta_{mn} A_3 \sin k_{y_2} d \cos \frac{m\pi}{a} x \quad (113)$$

$$E_{y_1} = j\omega \mu_0 \mu_r \frac{m\pi}{a} A_3 \sin k_{y_2} d \sin \frac{m\pi}{a} x. \quad (114)$$

E_{x_1} is maximum at $x = 0$ and at $x = a/m$ along the interface $y = d$.

Therefore

$$E_{x_1(\text{max})} = \omega \mu_0 \mu_r \beta_{mn} A_3 \sin k_{y_2} d \quad (115)$$

and E_{y_1} is maximum at $x = a/2m$ along the interface. This gives

$$E_{y_1(\text{max})} = j\omega \mu_0 \mu_r \frac{m\pi}{a} A_3 \sin k_{y_2} d. \quad (116)$$

Comparing eqns. (115) and (116), it can be seen that $E_{x_1(\text{max})} > E_{y_1(\text{max})}$ when $\beta_{mn} a > m\pi$. (117)

Hence $E_{x_1(\text{max})} = 2.9 \times 10^4 \text{ volts/cm}$ (118)

which again gives

$$A_3 = \frac{E_{x_1(\text{max})}}{\omega \mu_0 \beta_{mn} \sin(k_{y_2} d)} \quad (119)$$

Equation (119) can be used in eqn. (74) to find the maximum power handling capacity for the LSE_{mn} ($m > 1$) modes.

The variations of maximum power handling capacity $P_{sT(LSE_{mn}) \text{ max}}/a^2$ with frequency and dielectric lining thickness for LSE₁₁ and LSE₁₂ modes of type 1 are shown in Fig. 21.

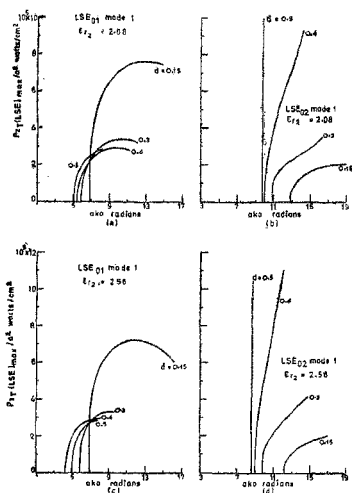


FIG. 20. Maximum power handling capacity vs. ak_0 for LSE_{0n} ($n = 1$ and 2) mode 1.

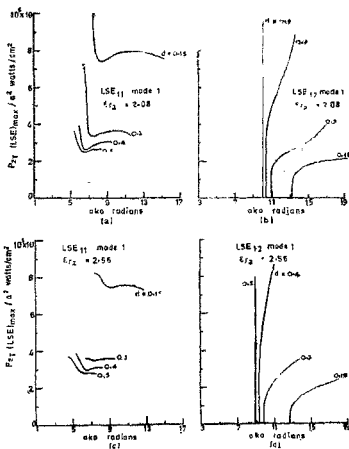


FIG. 21. Maximum power handling capacity vs. ak_0 for LSM_{1n} ($n = 1$ and 2) mode 1.

For LSM_{mn} modes the electric field components at $y = d = y_1$ can be written as follows:

$$E_{z_1} = -H_3 k_{y_2} \frac{m\pi}{a} \sin k_{y_2} d \cos \frac{m\pi}{a} x \quad (120)$$

$$E_{y_1} = H_3 \frac{\epsilon_{r_2}}{\epsilon_{r_0}} \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right) \cos k_{y_2} d \frac{\sin m\pi}{z} x \quad (121)$$

$$E_{x_1} = jH_3 k_{y_2} \beta_{mn} \sin k_{y_2} y \frac{\sin m\pi}{a} x. \quad (122)$$

When $x = 0$ or a

$$(E_{z_1})_{\max} = H_3 \frac{m\pi}{a} k_{y_2} \sin k_{y_2} d. \quad (123)$$

When $x = a/2m$,

$$(E_{y_1})_{\max} = H_3 \frac{\epsilon_{r_2}}{\epsilon_{r_0}} \left(\beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} \right) \cos k_{y_2} d \quad (124)$$

and

$$(E_{x_1})_{\max} = j\beta_{mn} H_3 k_{y_2} \sin k_{y_2} d. \quad (125)$$

Therefore $E_{y_2(\max)} > E_{z_2(\max)} > E_{x_2(\max)}$

when $a\beta_{mn} > m\pi$.

Hence the maximum power handling capacity is calculated by putting $E_{y_2(\max)} = 2.9 \times 10^4$ volts/cm which gives

$$H_3 = \frac{\epsilon_{\text{req}} a^2 2.9 \times 10^4 \text{ volts/cm}}{\epsilon_{r2} (a^2 \beta_{mn}^2 + m^2 \pi^2) \cos k_{yz} d} \quad (126)$$

Using eqn. (126) in eqn. (78), the maximum power handling capacity for LSM_{mn} modes is found. The variation of $P_{eT(LSM)}(\max)/a^2$ with frequency and dielectric lining thickness for some of the LSM_{mn} modes of type 2 are shown in Fig. 22.

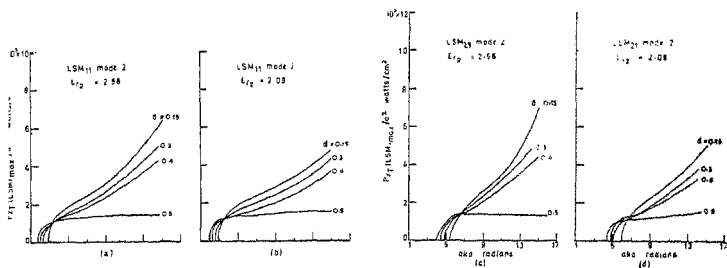


Fig. 22. Maximum power handling capacity vs. ak_0 for LSM_{mn} ($m = 1$ and 2) mode 2.

15.2. Method using temperature raise in the dielectric

The power lost per unit length is expressed as follows:

$$\frac{dP_z}{dz} = \frac{2aP_z}{8.686} \quad (123)$$

where P_z is the axial power flow and a is the attenuation constant in decibels per unit length.

The heat is mostly developed in the dielectric itself as the field is concentrated in the dielectric materials of higher permittivity at high frequencies.

The general equation of heat conduction is given by [24, 25]

$$\frac{dQ}{dt} = k_h \frac{A}{d_0} (T_1 - T_2) \text{ kilocalories per hour} \quad (124)$$

where dQ/dt = rate of heat flow

A_1 = surface area at right angles to the heat flow in (cm)²

d_0 = length of the conducting path in cm

k_h = thermal conductivity of the dielectric in kilocalories/hour (cm) (°C).

T_1 and T_2 are temperatures in °C on the two faces of the interface between two dielectrics (in this case T_1 is in air and T_2 is in the dielectric lining).

The thermal resistance R_T is defined as

$$R_T = \frac{d_0}{k_d A_d} \quad (125)$$

The power loss per unit length is given by [24]

$$\frac{dP_g}{dz} = 1.1633 \frac{dQ}{dt} \text{ in watts} \quad (126)$$

$$(1 \text{ kilocal/hour} = 1.1633 \text{ watts})$$

From eqns. (126) and (123), we obtain

$$\frac{dQ}{dt} = \frac{aP_g}{5.037} \quad (127)$$

The following assumptions are made for calculating the average power flow based on the softening temperature of the dielectric lining material:

- (i) that there is no air gap between the dielectric lining and the metal wall; and
- (ii) the heat transfer by convection from the metallic surface is negligible.

Considering that the heat developed in the dielectric flows through the outer surface of the dielectric lining uniformly, the total rate of heat flow [25] is:

$$\frac{dQ}{dt} \frac{(T_1 - T_2) + (T_2 - T_3)}{R_d + R_m} = \frac{T_1 - T_2}{R_d + R_m} \quad (128)$$

where

$T_1 - T_2$ = temperature difference between the two faces of the dielectric lining in °C

$T_2 - T_3$ = temperature difference between the two faces of the metallic wall

$R_d = \left(\frac{d}{k_d A_d} \right)$ = the thermal resistance of the dielectric

k_d = the thermal conductivity of the dielectric lining in kilocal (hour) (cm) (°C)

A_d = average dielectric area in (cm)²

d = dielectric thickness in cm

$R_m = \left(\frac{d_1}{k_m A_m} \right)$ = the thermal resistance of the metal surface

k_m = the thermal conductivity of the metal in kilocal/(hr) (cm) (°C)

A_m = average metallic area in (cm)²

d_1 = metallic wall thickness in cm

Equation (128) can be written as

$$(T_1 - T_3) = \frac{dQ}{dt} R_d + \frac{dQ}{dt} R_m \quad (129)$$

which for LSE_{mn} mode becomes

$$(T_1 - T_3) = \frac{P_{dT(LSE)}}{5 \cdot 037} \left(\frac{d_d a_d(LSE)}{K_d A_d} + \frac{d_1 a_m(LSE)}{K_m A_m} \right) \quad (130)$$

where

$a_d(LSE)$ = dielectric attenuation in db/cm given by eqn. (93)

$a_m(LSE)$ = metallic attenuation in db/cm given by eqn. (102)

The average dielectric area in (cm)² is

$$A_d = \frac{A_{e1} + A_{d1}}{2} = 2(a + b - 2d) \quad (131)$$

and the average metallic area in (cm)² is

$$A_m = \frac{A_{d1} + A_{m1}}{2} = 2(a + b + 2d_1) \quad (132)$$

where

A_{e1} = surface area of the air-dielectric boundary = $2(a + b - 4d)$ in (cm)²

A_{d1} = surface area of the dielectric-metal boundary = $2(a + b)$ in (cm)²

and

A_{m1} = surface area of the outer metal surface

= $2(a + b + 4d_1)$ in (cm)².

Then eqn. (130) can be written as

$$P_{dT(LSE)} = \frac{5 \cdot 037 (T_1 - T_3)}{\frac{d_d a_d(LSE)}{K_d A_d} + \frac{d_1 a_m(LSE)}{K_m A_m}} \quad (133)$$

Maximum transmissible power limited by the dielectric overheating in the dielectric-lined waveguide is given by:

$$P'_{dT(LSE)\max} = \frac{5 \cdot 037 (T_1 - T_3)_{\max}}{\frac{d_d a_d(LSE)}{K_d A_d} + \frac{d_1 a_m(LSE)}{K_m A_m}} \quad (134)$$

where

$(T_1 - T_3)_{\max}$ = maximum temperature difference between the inner dielectric surface and the outer metallic surface

Similarly for LSM modes,

$$P'_{dT(LSM)\max} = \frac{5 \cdot 037 (T_1 - T_3)_{\max}}{\frac{d_d a_d(LSM)}{K_d A_d} + \frac{d_1 a_m(LSM)}{K_m A_m}} \quad (135)$$

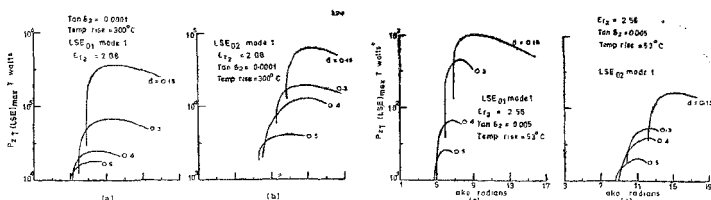


FIG. 23. Maximum power handling capacity (Temp. rise) vs. ak_0 for LSE_{0n} ($n = 1$ and 2) mode 1.

where a_d (LSM) and $a_{m(LSM)}$ are given by eqns. (103) and (107) respectively. The power handling capacity has been calculated in the two cases of dielectric lining, viz., perspex and teflon for both LSE and LSM modes using eqns. (134) and (135). The heat conductivity K_d for perspex, teflon and brass are taken to be equal to 0.1116×10^{-2} kilocal/(hr) (cm) ($^{\circ}$ C), 0.1666×10^{-2} kilocal/(hr) (cm) ($^{\circ}$ C), and 0.9360 kilocal/(hr) (cm) ($^{\circ}$ C) respectively. The softening temperature T_1 for perspex and teflon are 78° C and 327° C respectively. The ambient temperature T_3 of the guide wall is taken to be 25° C, and the metallic wall thickness is $d_1 = 0.127$ cm. The variations of power handling capacity $P'_{ST(LSE)max}$ with frequency and dielectric lining thickness are shown in Figs. 23 and 24 for two values 2.08 and 2.56 of the relative dielectric constant for some of the LSE_{mn} modes. Fig. 25 shows the variations of $P'_{ST(LSM)max}$ with frequency and d for some LSM_{mn} modes of type 2.

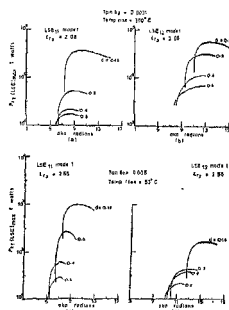


FIG. 24. Maximum power handling capacity (Temp. rise) vs. ak_0 for LSE_{0n} ($n = 1$ and 2) mode 1.

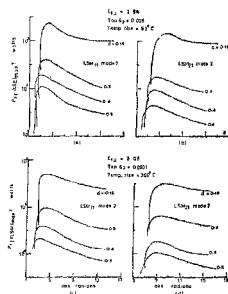


FIG. 25. Maximum power handling capacity (Temp. rise) vs. ak_0 for LSM_{in} ($m = 1$ and 2) mode 2.

16. Experimental work

An experimental study of the following has been done:

- (i) Guide wavelength of LSM_{11} mode type 2 at X-band (8.0–12.4 GHz);
Ku-band (12.4–18.0 GHz); and
Ku-band (26.5–40.0 GHz)
- (ii) Cut-off frequency of the LSM_{11} mode type 2.

The waveguide used is an X-band ($a = 2.286$ cm, $b = 1.016$ cm) slotted line-section with uniform dielectric lining on the inside on all four sides with perspex or teflon of different thicknesses.

Tables I and II give the frequency-guide wavelength characteristics in X- and Ku-bands respectively.

Table III gives the cut-off frequency for LSM_{11} mode type 2 for perspex and teflon for two dielectric coating thicknesses.

17. Discussion

17.1. The validity of the theory

The approximate theory for the dielectric-lined rectangular waveguide has been derived by using the concept of the equivalent dielectric constant as given by eqns. (1) and (2). The experimental verification of the theoretical values of the guide wavelength and cut-off frequency proves the accuracy of the approximate theory.

17.2. The characteristic equation

The characteristic equation for LSE_{mn} modes as given by eqn. (34) reduces to

$$\tan(k_{z_2} b) = 0 \quad \text{or} \quad k_{z_2} = \frac{n\pi}{b} \quad (136)$$

when $d = 0$ for the air-filled waveguide so that $\epsilon_{\text{eq}} = 1$ and

$$\beta_{mn}^2 = k_0^2 - \frac{m^2 \pi^2}{a^2} - \frac{n^2 \pi^2}{b^2} \quad (137)$$

(from eqn. (54)).

which is satisfied by the TE_{mn} and TM_{mn} modes of the air-filled waveguide.

When the waveguide is completely filled with the dielectric material (ϵ_r), i.e., when $d = b/2$, eqn. (34) reduces to

$$2 \tan\left(k_{z_2} \frac{b}{2}\right) = 0 \quad \text{or} \\ k_{z_2} = 2n\pi/b \quad (138)$$

Table I

Frequency-guide wavelength characteristics

Frequency : X-band (8.0-12.4 GHz)

Frequency in GHz	Theoretical				Measured g in cm
	LSM ₁₁		LSM ₀₁		
	Mode 1	Mode 2	Mode 1	Mode 2	
(a) $\epsilon_{r2} = 2.56$; $d = 0.15$ cm					
8.3594	..	4.2799	4.5600
9.4093	..	3.4898	3.6400
10.4493	..	2.9744	3.100
11.4942	..	2.6045	..	16.0050	2.7400
(b) $\epsilon_{r2} = 2.08$; $d = 0.15$ cm					
8.3594	..	4.4828	4.700
9.4033	..	3.6307	3.800
10.4433	..	3.0843	3.200
11.4942	..	2.6961	2.800
(c) $\epsilon_{r2} = 2.08$; $d = 0.2$ cm					
8.3594	..	4.2960	4.36
9.4093	..	3.4467	3.54
10.4493	..	2.8620	2.96
11.4942	..	2.4813	2.60
(d) $\epsilon_{r2} = 2.56$; $d = 0.3$ cm					
8.3594	..	3.3383	3.62
9.4093	..	2.8041	3.04
10.4493	..	2.4289	..	6.2043	2.58
11.4942	..	2.1475	..	3.6930	2.32
(e) $\epsilon_{r2} = 2.08$; $d = 0.03$ cm					
8.3594	..	3.6523	3.95
9.4093	..	3.0431	3.26
10.4493	..	2.6254	..	25.28	2.90
11.4942	..	2.3166	..	4.8328	2.50

Table II
Frequency-guide wavelength characteristics

Frequency: Ku-band (12.4–18.0 GHz)

Frequency in GHz	Theoretical		<i>g</i> in cm		Measured in <i>g</i> cm
	LSM ₁₁		LSM ₂₁		
	Mode 1	Mode 2	Mode 1	Mode 2	
(a) $\epsilon_{r2} = 2.56$; $d = 0.15$ cm					
13.6107	..	2.0994	..	3.4633	2.180
14.6577	..	1.9169	..	2.7881	2.000
15.7077	4.2022	1.7643	..	2.3720	1.820
16.7517	2.2805	1.6345	..	2.0815	1.680
17.7956	2.2991	1.5224	4.6769	1.8635	1.560
(b) $\epsilon_{r2} = 2.08$; $d = 0.15$ cm					
13.6107	..	2.1708	..	3.8158	2.24
14.6577	..	1.9824	..	3.0022	2.02
15.7077	5.2790	1.8254	..	2.5271	1.84
16.7517	3.2831	1.6923	..	2.2050	1.72
17.7956	2.5513	1.5777	9.9442	1.9678	1.58
(c) $\epsilon_r = 2.08$; $d = 0.2$ cm					
13.6107	..	2.0612	..	2.9612	2.14
14.6577	..	1.8814	..	2.7612	1.92
15.7077	6.52	1.7216	..	2.4212	1.76
16.7517	3.92	1.6624	..	2.0312	1.68
17.7956	2.86	1.4324	..	1.7616	1.48
(d) $\epsilon_{r2} = 2.56$; $d = 0.3$ cm					
13.6107	3.4838	1.7478	..	2.3323	1.84
14.6577	2.4869	1.5994	7.4171	2.0104	1.69
15.7077	2.0119	1.4739	3.1080	1.7766	1.48
16.7517	..	1.3662	..	1.5967	1.37
17.7956	..	1.2726	..	1.4525	1.34
(e) $\epsilon_{r2} = 2.08$; $d = 0.3$ cm					
13.6107	6.6017	1.8844	..	2.6911	1.94
14.6577	3.3748	1.7257	..	2.2806	1.76
15.7077	2.5194	1.5922	8.4446	1.9962	1.61
16.7517	2.0755	1.4781	3.3585	1.7840	1.49
17.7956	1.7911	1.3792	2.4383	1.6176	1.39

Table III
Cut-off frequency for LSM₁₁ mode type 2

Material of lining	Thickness of lining in cm	Theoretical cut-off frequency of LSM ₁₁ mode 2 in GHz	Measured cut-off frequency in GHz
Perspex ($\epsilon_r = 2.56$)	0.15	5.9285	5.60
Perspex	0.30	5.5447	5.20
Teflon	0.20	5.7420	5.50
Teflon	0.30	5.38	5.30

and then

$$\beta_{mn}^2 = \epsilon_r k_0^2 - \frac{m^2 \pi^2}{a^2} - \frac{n^2 \pi^2}{b^2} \quad (139)$$

(from eqn. (53)).

The above results are true for LSM_{mn} modes also.

17.3. Improper modes

In the case of partly sinusoidal and partly hyperbolic LSE_{mn} modes the transverse propagation constant k_{y_1} (in region 1) is imaginary. Putting $k_{y_1} = jk_{y_1'}$, where $k_{y_1'}$ is a real quantity, eqn. (54) becomes

$$k_{y_1'}^2 = \beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} - \epsilon_{\text{rod}} k_0^2. \quad (140)$$

For the propagation of partly sinusoidal and partly hyperbolic mode, the following condition must be satisfied by eqn. (140)

$$k_{y_1'}^2 = \beta_{mn}^2 + \frac{m^2 \pi^2}{a^2} - \epsilon_{\text{rod}} k_0^2 \geq 0. \quad (141)$$

At cut-off, $\beta_{mn} = 0$, and hence the cut-off frequencies of the partly sinusoidal and partly hyperbolic mode satisfy the following inequality :

$$\frac{m^2 \pi^2}{a^2} - \epsilon_{\text{rod}} k_0^2 \geq 0$$

or

$$\frac{\omega_c}{2\pi} = f_c \leq \frac{m\pi c}{a\sqrt{\epsilon_{\text{rod}}}} \quad (142)$$

where $c = 1/\sqrt{\mu_0 \epsilon_0}$

from $m = 0$, at cut-off, we obtain

$$k_{y1r}^2 (\text{cut-off}) = -\omega^2 \mu_0 \mu_r \epsilon_0 \epsilon_{r10} \quad (143)$$

From eqn. (143) it is evident that k_{y1r} (cut-off) cannot become positive. Therefore partly sinusoidal and partly hyperbolic LSE_{mn} modes cannot propagate in the dielectric-lined metal rectangular waveguide, and hence are in proper modes.

17.4. Propagation characteristics of LSE_{mn} and LSM_{mn} modes

(i) It is observed from Figs. 3.1 to 4.6 that (a) the $a\beta_{mn}$ vs. ak_0 characteristics of both LSE_{mn} and LSM_{mn} modes vary almost in a similar fashion; (b) in both types of modes the cut-off frequency increases with the mode index m and order of appearance n of modes; (c) generally LSM_{mn} modes of type 2 and LSE_{mn} modes of type 1 propagate for a larger frequency range for all values of d ; (d) LSM_{11} mode type 2 is the dominant mode (with lowest cut-off frequency); (e) in the cases of all modes of various types, at high frequencies, ak_0 vs. $a\beta_{mn}$ curves for the dielectric-lined waveguide approach towards the phase constant vs. frequency curve of the completely filled waveguide. The energy is then concentrated practically in the dielectric lining and the inhomogeneous waveguide can be used as a dielectric guide. As a result the losses in the dielectric-lined waveguide increase at high frequency.

(ii) At high frequencies the phase velocity and the group velocity approach asymptotically $1/\epsilon_r$ times the velocity of light (Figs. 5.1 to 6.3), and the product $v_p v_g / C^2$ for both LSE_{mn} and LSM_{mn} modes approach $1/\epsilon_r$ for all d and ak_0 as shown in Table IV.

Table IV
Product of normalized phase and group velocities

ϵ_r	$1/\epsilon_r$	Dielectric lining thickness d in cm	$v_p v_g / c^2$	
			LSM_{11} mode type 2	LSM_{21} mode type 2
2.56	0.390	0.15	0.389	0.390
		0.30	0.388	0.388
		0.40	0.389	0.390
		0.50	0.390	0.390
9.0	0.111	0.15	0.110	0.111
		0.30	0.109	0.110
		0.40	0.111	0.111
		0.50	0.110	0.109

(iii) The percentage bandwidth in the dielectric-lined waveguide cannot be more than 66.6% which happens to be the bandwidth between the TE_{20} and TE_{10} modes of the air-filled waveguide.

(iv) In the case of all the modes of LSE_{mn} and LSM_{mn} types, the total attenuation constant α_T vs. ak_0 curves tend to infinity near cut-off frequency, and then most of the curves pass through minima and then approach infinity again at very high frequencies (Figs. 18, 19 and 26). This shows that at high frequencies all the energy is concentrated almost in the dielectric lining which introduces high losses. The attenuation constant of higher order modes helps to assess the order of mode purity. It may be said that the higher the attenuation of the higher order modes the greater is the mode purity of the dominant LSM_{11} mode type 2. It is also observed that the total attenuation constant in the case of LSM_{11} and LSM_{21} modes of type 2 is minimum at $ak_0 = 4.0$ and $ak_0 = 7.82$ respectively at $d = 0.15$ cm.

(v) It is interesting to find that the power handling capacity (temp. rise method) is maximum at the points where the attenuation constant is minimum of the various modes (Table V).

(vi) From Figs. 24, 25 and 26 it is evident that the power handling capacity of the dominant LSM_{11} mode type 2 due to method 2 is higher than that of any other mode for all values of d and ϵ_{r2} , though the maximum power handling capacity of the higher order LSE_{mn} and LSM_{mn} modes is not significantly less than that of the LSM_{11} mode type 2.

(vii) The maximum transmissible power decreases with the increase of frequency, i.e., with the increase of field concentration and dielectric-lining thickness, and this can be explained by the fact that the power losses in the dielectric increase with d and ak_0 .

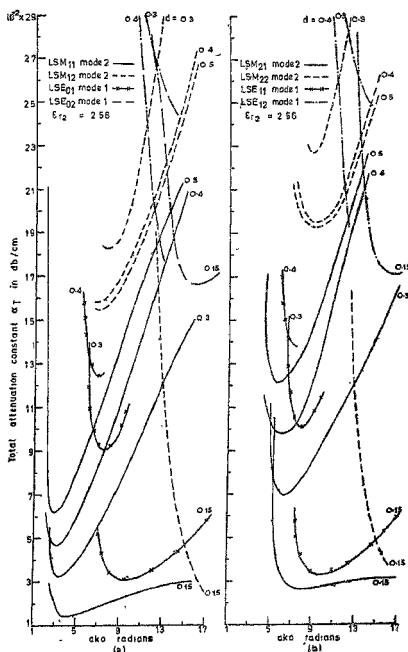


FIG. 26. Total attenuation constant vs. ak_0 for LSE_{mn} and LSM_{mn} modes (Parameter being d).

(viii) The phase shift in the dielectric-lined waveguide with respect to the air-filled waveguide is calculated from the relation:

$$\phi_{\text{shift}} (\text{deg/cm}) = \frac{2\pi \times 180}{\pi} \left(\frac{1}{\lambda_g (\text{dielectric})} - \frac{1}{\lambda_g (\text{air})} \right). \quad (144)$$

Table VI gives the phase shift as a function of frequency for $\epsilon_{r2} = 2.56$ and $d = 0.3$ cm.

(ix) The experimental determination of guide wavelength λ_g verifies the existence of the LSM₁₁ mode type 2. The discrepancy between theoretical and experimental values of λ_g and cut-off frequency f_c is very small and it may be ascribed to the approximations involved in the theory.

Table V

Frequency at which power handling capacity is maximum and total attenuation is minimum for LSM_{mn} mode type 2

ϵ_{r2}	Dielectric lining thickness d in cm	Maximum power handling capacity		Minimum attenuation	
		ak_c in radians		ak_c in radians	
		LSM ₁₁ mode type 2	LSM ₂₁ mode type 2	LSM ₁₁ mode type 2	LSM ₂₁ mode type 2
2.56	0.15	4.0	7.82	4.0	7.82
	0.30	3.62	6.58	3.62	6.6
	0.40	3.18	6.2	3.15	6.0
	0.50	3.0	5.6	3.0	5.6

Table VI

Phase shift-frequency relation LSM₁₁ mode 2

($\epsilon_{r2} = 2.56$; $d = 0.3$ cm)

Frequency in GHz	$g^{(\text{dielectric})}$ LSM ₁₁ mode 2	$g^{(\text{airfilled})}$ TE ₁₀ mode	in deg/cm
8.355	3.3383	5.80	45.79
9.399	2.8041	4.45	47.48
10.444	2.4289	3.68	50.40
11.488	2.1475	3.18	54.43
12.533	1.9266	2.80	58.28
13.577	1.7478	2.51	63.21
14.620	1.5994	2.29	68.11

18. Conclusions

The investigations on the dielectric-lined metal rectangular waveguide lead to the following conclusions :

- (i) LSM_{11} mode type 2 is the dominant mode.
- (ii) LSE_{01} , LSE_{11} and LSE_{21} modes of type 1 are improper modes as they do not satisfy the proper boundary conditions at $y = b$ for all d and ϵ_{r2} .
- (iii) Completely hyperbolic modes of either LSE_{mn} or LSM_{mn} types cannot exist in such a structure.
- (iv) In general, LSM_{11} mode type 2 has a lower attenuation constant than any other modes.
- (v) The maximum bandwidth that can be achieved between the dominant LSM_{11} mode and the next higher order mode LSM_{11} is 66.6 per cent which is the same as that of an airfilled rectangular metal waveguide.
- (vi) The power handling capacity due to temperature rise method in the case of the dominant LSM_{11} mode type 2 is generally the highest for all values of d and all frequencies.
- (vii) The power handling capacity (temperature rise method) is maximum when the total attenuation is minimum, though this is less than that of the TE_{10} mode in an airfilled waveguide.
- (viii) The power handling capacity calculated by the method of temperature rise is lower than that obtained by the breakdown field method.
- (ix) The measurement of guide wavelength λ_g establishes the existence of the dominant LSM_{11} mode type 2 at X- and Ku-bands.
- (x) Measurement of λ_g at Ka-band proves the existence of higher order LSM_{mn} modes especially of type 2.
- (xi) There is a fair agreement between the theoretical and experimental results of the guide wavelength at all frequencies.
- (xii) Experimental values of cut-off frequencies agree well with the theoretical values for LSM_{11} mode type 2 for various values of d and ϵ_{r2} .
- (xiii) The dielectric-lined metal rectangular waveguide may find application as a phase shifter, slow-wave structure and probably as a high frequency transmission line.

Further work is being carried out on the characteristics of such structures with very thin dielectric linings and also on the coupling between modes.

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